### ESTEE LAUDER COMPANIES INC

Form 4

December 06, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

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Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

ESTEE LAUDER COMPANIES

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* TRUST UNDER ARTICLE 2 OF THE ZINTERHOFER 2008 **DESCENDANTS TRUST** 

**AGREEMENT** 

(Last) (First)

C/O THE ESTEE LAUDER **COMPANIES INC., 767 FIFTH AVENUE** 

(Street)

4. If Amendment, Date Original

(Middle)

Symbol

INC [EL]

(Month/Day/Year)

12/04/2013

Filed(Month/Day/Year)

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

(Check all applicable)

Director X\_\_ 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

\_ Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting Person

NEW YORK, NY 10153

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

Execution Date, if Code (Month/Day/Year) (Instr. 8)

4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following Reported

Transaction(s) (Instr. 3 and 4)

Applicable Line)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(A)

or

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A Disposed of (Instr. 3, 4, a 5)	) or (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(1)</u>	12/04/2013		P	100,000		<u>(1)</u>	<u>(1)</u>	Class A Common Stock	100,000
Class B Common Stock	(1)	12/04/2013		P	175,000		<u>(1)</u>	<u>(1)</u>	Class A Common Stock	175,000
Class B Common Stock	(1)						<u>(1)</u>	<u>(1)</u>	Class A Common Stock	1,331,324
Class B Common Stock	<u>(1)</u>						<u>(1)</u>	<u>(1)</u>	Class A Common Stock	9,865,192
Class B Common Stock	<u>(1)</u>	12/04/2013		P	275,000		<u>(1)</u>	<u>(1)</u>	Class A Common Stock	275,000
Class B Common Stock	(1)						<u>(1)</u>	<u>(1)</u>	Class A Common Stock	17,161,020

# **Reporting Owners**

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
TRUST UNDER ARTICLE 2 OF THE ZINTERHOFER 2008 DESCENDANTS						
TRUST AGREEMENT						
C/O THE ESTEE LAUDER COMPANIES INC.		X				
767 FIFTH AVENUE						
NEW YORK, NY 10153						
	X	X				

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### Edgar Filing: ESTEE LAUDER COMPANIES INC - Form 4

LAUDER AERIN C/O THE ESTEE LAUDER COMPANIES INC. 767 FIFTH AVENUE NEW YORK, NY 10153

LAUDER JANE C/O THE ESTEE LAUDER COMPANIES INC. 767 FIFTH AVENUE NEW YORK, NY 10153

 $X \qquad X$ 

## **Signatures**

Aerin Lauder, Trustee, 2008 descendants Trust, by Spencer G. Smul, attorney-in-fact

12/06/2013 Date

\*\*Signature of Reporting Person

12/06/2013

\*\*Signature of Reporting Person

Date

Jane Lauder, by Spencer G. Smul, Attorney-in-fact

Aerin Lauder, by Spencer G. Smul, attorney-in-fact

12/06/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares of Class B Common Stock may be converted immediately into Class A Common Stock on a one-for-one basis by the holder and are automatically converted into Class A Common Stock on a one-for-one basis upon transfer to a person or entity that is not a "Permitted Transferee" or soon after a record date for a meeting of stockholders where the outstanding Class B Common Stock constitutes less than 10% of the outstanding shares of Common Stock of the Issuer.
  - Owned directly by the Trust under Article 2 of The Zinterhofer 2008 Descendants Trust Agreement u/a/d December 24, 2008 (the "2008 Descendants Trust"). Owned indirectly by Aerin Lauder Zinterhofer and Jane Lauder as Trustees of the 2008 Descendants Trust. Aerin
- Lauder Zinterhofer disclaims beneficial ownership to the extent that she does not have a pecuniary interest in such securities. Jane Lauder disclaims beneficial ownership to the extent that she does not have a pecuniary interest in such securities.
- (3) Owned directly by Aerin Lauder.
- (4) Owned indirectly by Aerin Lauder as Grantor and beneficial owner of the Aerin Lauder Zinterhofer 2000 Revocable Trust.
  - Owned indirectly by Aerin Lauder as Trustee and ultimate beneficial owner of the Aerin Lauder Zinterhofer 2008 Grantor Retained
- (5) Annuity Trust and with respect to which she has sole voting and investment power. Aerin Lauder Zinterhofer disclaims beneficial ownership to the extent that she does not have a pecuniary interest in such securities.
- (6) Owned directly by Jane Lauder.
- (7) Owned indirectly by Jane Lauder as Grantor and beneficial owner of the Jane A. Lauder 2003 Revocable Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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