

TEVENS TIMOTHY T

Form 4

May 21, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TEVENS TIMOTHY T2. Issuer Name **and** Ticker or Trading
Symbol
COLUMBUS MCKINNON CORP
[CMCO]5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

140 JOHN JAMES AUDUBON
PARKWAY3. Date of Earliest Transaction
(Month/Day/Year)
05/17/2013☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President & CEO

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

AMHERST, NY 14228-1197

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)			
			Code	V	Amount		Price
Common Stock	05/17/2013		F		925 ⁽²⁾	D	\$ 18.9
Common Stock	05/17/2013		F		2,477 ⁽³⁾	D	\$ 18.9
Common Stock					6,038.6504 ⁽⁴⁾	D	
Common Stock					7,000	I	By spouse

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 5.46					05/17/2005 05/16/2014	Common Stock 95,000
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009 05/18/2018	Common Stock 8,770
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010 05/17/2019	Common Stock 45,172
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011 05/16/2020	Common Stock 33,190
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2011 05/22/2021	Common Stock 31,902

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197	X		President & CEO	

Signatures

Mary C. O'Connor, Power of Attorney for Timothy T.
Tevens

05/21/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Includes 53,821 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 6,028 shares become fully vested and non-forfeitable 5/18/2013; 1,543 shares become fully vested and non-forfeitable 5/19/2013; 6,868 shares become fully vested and non-forfeitable on 5/17/2014; 12,673 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2013; and the remaining 26,709 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (1) and non-forfeitable on 5/17/2014; 12,673 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2013; and the remaining 26,709 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
 - (2) Represents shares withheld to satisfy tax withholding obligation upon vesting of 2,566 restricted stock units on 5/17/2013.
 - (3) Represents shares withheld to satisfy tax withholding obligation upon vesting of 6,868 restricted stock units on 5/17/2013.
 - (4) Originally a 125,000 incentive stock option grant, reporting person exercised 30,000 options on 5/27/2009. The remaining 95,000 options are fully exercisable, subject to IRS limitations.
 - (5) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
 - (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
 - (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
 - (8) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
 - (9) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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