

RIZAI MATTHEW M
Form 4
September 11, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RIZAI MATTHEW M

2. Issuer Name and Ticker or Trading Symbol
WORKIVA INC [WK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
2900 UNIVERSITY BOULEVARD
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/07/2017

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman & CEO

AMES, IA 50010

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class A Common Stock	09/07/2017		C(1)		173,354	A	\$ 0 (1)
Class A Common Stock	09/07/2017		G		173,354	D	\$ 0 0
Class A Common Stock					255,514	D	

By charitable remainder trust

By charitable remainder trust

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Class A
Common
Stock 575,000 I By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount of Underlying Security	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount of Underlying Security
Class B Common Stock ⁽²⁾	<u>(2)</u>	09/07/2017		<u>C(1)</u>	173,354	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	173,354
Employee Stock Option to Purchase Class A Common Stock ⁽³⁾	\$ 12.4					02/01/2018 ⁽⁴⁾	01/31/2027	Class A Common Stock	20,000
Employee Stock Option to Purchase Class A Common Stock ⁽³⁾	\$ 14.74					02/01/2017 ⁽⁴⁾	01/31/2026	Class A Common Stock	16,000
Employee Stock Option to Purchase Class A Common Stock ⁽⁵⁾	\$ 15.83					08/12/2015 ⁽⁶⁾	08/11/2024	Class A Common Stock	17,000
	<u>(2)</u>					<u>(2)</u>	<u>(2)</u>		1,200

