SWEENEY GARY D

Form 4

February 26, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

OMB APPROVAL

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Estimated average burden hours per response... 0.5

may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

Issuer

SWEENEY GARY D

WINTRUST FINANCIAL CORP

(Check all applicable)

5. Relationship of Reporting Person(s) to

[WTFC]

Symbol

(Last)

(Middle)

3. Date of Earliest Transaction

_X__ Director 10% Owner

(Month/Day/Year)

08/20/2015

Officer (give title Other (specify

9700 WEST HIGGINS ROAD, 8TH **FLOOR**

(Street)

(First)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

ROSEMONT, IL 60018

| (City) | (State) | (Zip) Table | e I - Non-D | erivative | Secur | rities Acq | uired, Disposed o | f, or Beneficial | ly Owned |
|--------------------------------------|---|---|---|--------------|------------------------------|----------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | (Instr. 3, | ispose 4 and (A) or | d of (D) 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 08/20/2015 | | Code V P | Amount 1 (1) | (D) | Price \$ 52.59 | 2,974 | D | |
| Common Stock | 11/27/2015 | | P | 1 (1) | A | \$ 52.32 | 2,975 | D | |
| Common Stock | 02/25/2016 | | P | 5 (1) | A | \$ 42.27 | 2,980 | D | |
| Common Stock | 05/26/2016 | | P | 4 (1) | A | \$ 52.78 | 2,984 | D | |
| Common Stock | 08/25/2016 | | P | 4 (1) | A | \$ 54.67 | 2,988 | D | |

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| Common Stock | 11/25/2016 | P | 3 (1) | A | \$ 65.86 | 2,991 | D |
|-----------------|------------|---|-------|---|-------------|-------|---|
| Common Stock | 02/23/2017 | P | 3 (1) | A | \$ 75.37 | 2,994 | D |
| Common Stock | 05/25/2017 | P | 4 (1) | A | \$ 71.06 | 2,998 | D |
| Common Stock | 08/24/2017 | P | 5 (1) | A | \$ 72.21 | 3,003 | D |
| Common Stock | 11/24/2017 | P | 5 (1) | A | \$ 79.01 | 3,008 | D |
| Common Stock | 02/22/2018 | P | 7 | A | \$ 86.52 | 3,015 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | isable and | 7. Title | e and | 8. Price of | 9 |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-----------------|----------|----------|-------------|---|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transact | iorNumber | Expiration Da | ate | Amou | nt of | Derivative | J |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security | |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securi | ties | (Instr. 5) |] |
| | Derivative | | | | Securities | | | (Instr. | 3 and 4) | | (|
| | Security | | | | Acquired | | | | | | J |
| | | | | | (A) or | | | | | | J |
| | | | | | Disposed | | | | | | - |
| | | | | | of (D) | | | | | | (|
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date | Expiration Date | Title | or | | |
| | | | | | | Exercisable | | | Number | | |
| | | | | | | 2 | 2 | | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

SWEENEY GARY D 9700 WEST HIGGINS ROAD, 8TH FLOOR X ROSEMONT, IL 60018

Reporting Owners 2

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Signatures

/s/Kathleen M. Boege, Attorney-in-fact

02/26/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Issuer inadvertently did not report shares owned by Reporting Person that were purchased through dividend reinvestments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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