General Finance CORP Form 4 January 13, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287 January 31,

Expires:

Form filed by More than One Reporting

2005

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if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

I. Name and Ad GAGNON N		orting Person _	2. Issuer Name and Ticker or Trading Symbol General Finance CORP [GFN]	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Mid		(Middle)	3. Date of Earliest Transaction	(Check all applicable)			
1370 AVENUE OF THE AMERICAS, 24TH FLOOR			(Month/Day/Year) 01/11/2017	Director X 10% Owner Officer (give title below) Other (specify below)			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			

#### NEW YORK, NY 10019

(City)	(State)	Zip) Tabl	e I - Non-D	erivative	Secur	rities A	cquired, Disposed	l of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	(A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/11/2017		Code V	Amount	(D)	Price	238,621	I	By Managing Member as General Partner of Darwin Partnership
Common Stock	01/12/2017		S	3,008	D	\$ 5	235,613	I	By Managing Member as General Partner of

### Edgar Filing: General Finance CORP - Form 4

			Darwin Partnership		
Common Stock	966,520	D			
Common Stock	23,674	I	By self as Trustee of Gagnon Securities LLC Profit Sharing Plan		
Common Stock	242,524	I	By Limited Partner of the Family Partnership		
Common Stock	1,864,280	I	By Managing Member as General Partner of Gagnon Investment Associates		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control					

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

number.

1. Title of	2.	3. Transaction Date	3A. Deemed	4.		5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transa	ctio	nNumber	Expiration D	ate	Amou	ınt of	Derivative
Security	or Exercise		any	Code		of	(Month/Day/	Year)	Unde	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr.	8)	Derivative	e		Secur	ities	(Instr. 5)
	Derivative					Securities			(Instr	. 3 and 4)	
	Security					Acquired					
						(A) or					
						Disposed					
						of (D)					
						(Instr. 3,					
						4, and 5)					
										A mannt	
										Amount	
							Date	Expiration	T:41-	Or Normalian	
							Exercisable Date	Title Number			
				C- 1-	<b>1</b> 7	(A) (D)				of	
				Code	V	(A) (D)				Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

GAGNON NEIL

1370 AVENUE OF THE AMERICAS 24TH FLOOR NEW YORK, NY 10019



### **Signatures**

/s/ Neil Gagnon 01/13/2017

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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