

Edgar Filing: DIVIDEND & INCOME FUND, INC. - Form NSAR-B

DIVIDEND & INCOME FUND, INC.

Form NSAR-B

February 14, 2012

PAGE 1  
000 B000000 11/30/2011  
000 C000000 0001059213  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 DIVIDEND AND INCOME FUND, INC.  
001 B000000 811-08747  
001 C000000 2127850400  
002 A000000 11 HANOVER SQUARE  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10005  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 BEXIL ADVISERS LLC  
008 B000001 A  
008 C000001 801-71976  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10005  
012 A000001 BNY MELLON INVESTMENT SERVICING (US), INC.  
012 B000001 84-00000  
012 C010001 WESTBORO  
012 C020001 MA  
012 C030001 01581  
012 A000005 ILLINOIS STOCK TRANSFER COMPANY  
012 B000005 84-00115  
012 C010005 CHICAGO  
012 C020005 IL  
012 C030005 60606  
013 A000001 TAIT, WELLER & BAKER LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
PAGE 2  
013 B030001 19103  
015 A000001 STATE STREET BANK & TRUST COMPANY  
015 B000001 C  
015 C010001 KANSAS CITY  
015 C020001 MO  
015 C030001 64105

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015 E010001 X  
 018 000000 Y  
 019 A000000 N  
 019 B000000 0  
 020 A000001 MERRILL LYNCH  
 020 C000001 11  
 020 A000002 B. RILEY & CO INC.  
 020 C000002 8  
 020 A000003 GOLDMAN, SACHS & CO.  
 020 C000003 2  
 020 A000004 JANNEY MONTGOMERY SCOTT INC.  
 020 C000004 2  
 020 A000005 TRADE MANAGE CAPITAL INC.  
 020 C000005 2  
 020 A000006 BARCLAYS CAPITAL  
 020 C000006 1  
 020 A000007 STATE STREET GLOBAL MARKETS  
 020 C000007 1  
 020 C000008 0  
 020 C000009 0  
 020 C000010 0  
 021 000000 27  
 022 A000001 STATE STREET  
 022 C000001 20576  
 022 D000001 10382  
 022 A000002 WELLS FARGO  
 022 C000002 15671  
 022 D000002 18277  
 022 A000003 JEFFERIES & CO.  
 022 C000003 577  
 022 D000003 2022  
 022 A000004 CHASE SECURITIES  
 022 C000004 1651  
 022 D000004 309  
 022 A000005 CREDIT SUISSE FIRST BOSTON  
 022 C000005 915  
 022 D000005 973  
 022 A000006 BANK OF AMERICA  
 022 C000006 25  
 022 D000006 1825  
 022 A000007 GOLDMAN SACHS  
 022 C000007 1059  
 022 D000007 273  
 022 A000008 DEUTSCHE BANK SECURITIES  
 022 C000008 388  
 PAGE 3  
 022 D000008 858  
 022 A000009 STIFEL NICOLAUS & CO. INC.  
 022 C000009 534  
 022 D000009 184  
 022 A000010 PRINCERIDGE GROUP LLC  
 022 C000010 612  
 022 D000010 0  
 023 C000000 42008  
 023 D000000 35103  
 024 000000 N  
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 025 D000003 0  
 025 D000004 0  
 025 D000005 0  
 025 D000006 0

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| 028 | F010000 | 0    |
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| 028 | F030000 | 0    |
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| 042 | H000000 | 0    |
| 043 | 000000  | 0    |
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045 000000 Y  
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 047 000000 Y  
 048 000000 0.950  
 048 A010000 0  
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 054 L000000 N  
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 054 N000000 N  
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 055 B000000 Y  
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 060 A000000 Y  
 060 B000000 Y  
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 062 A000000 N  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0

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062 E000000 0.0  
062 F000000 0.0  
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062 H000000 0.0  
062 I000000 0.0  
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062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0

PAGE 6

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066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 Y  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
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070 M020000 N  
070 N010000 Y  
070 N020000 N  
070 O010000 Y  
070 O020000 Y  
070 P010000 Y  
070 P020000 N  
070 Q010000 N  
070 Q020000 N

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070 R010000 Y  
 070 R020000 Y  
 071 A000000 22057  
 071 B000000 26147  
 071 C000000 90212

PAGE 7

071 D000000 24  
 072 A000000 12  
 072 B000000 2565  
 072 C000000 2966  
 072 D000000 0  
 072 E000000 0  
 072 F000000 864  
 072 G000000 67  
 072 H000000 0  
 072 I000000 37  
 072 J000000 4  
 072 K000000 27  
 072 L000000 1  
 072 M000000 30  
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 072 O000000 1  
 072 P000000 200  
 072 Q000000 72  
 072 R000000 36  
 072 S000000 76  
 072 T000000 0  
 072 U000000 0  
 072 V000000 0  
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 072 Y000000 16  
 072 Z000000 4046  
 072AA000000 400  
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 073 B000000 0.0000  
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 074 B000000 0  
 074 C000000 0  
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 074 E000000 2  
 074 F000000 52420  
 074 G000000 0  
 074 H000000 0  
 074 I000000 6152  
 074 J000000 0  
 074 K000000 0  
 074 L000000 1077  
 074 M000000 271

PAGE 8

074 N000000 89758  
 074 O000000 0  
 074 P000000 92  
 074 Q000000 0

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|           |                 |                              |
|-----------|-----------------|------------------------------|
| 074       | R010000         | 0                            |
| 074       | R020000         | 0                            |
| 074       | R030000         | 0                            |
| 074       | R040000         | 18337                        |
| 074       | S000000         | 0                            |
| 074       | T000000         | 71329                        |
| 074       | U010000         | 16921                        |
| 074       | U020000         | 0                            |
| 074       | V010000         | 4.22                         |
| 074       | V020000         | 0.00                         |
| 074       | W000000         | 0.0000                       |
| 074       | X000000         | 72                           |
| 074       | Y000000         | 0                            |
| 075       | A000000         | 0                            |
| 075       | B000000         | 75044                        |
| 076       | 000000          | 3.46                         |
| 077       | A000000         | Y                            |
| 077       | B000000         | Y                            |
| 077       | Q020000         | Y                            |
| 078       | 000000          | N                            |
| 080       | A000000         | ICI MUTUAL INSURANCE COMPANY |
| 080       | C000000         | 1950                         |
| 081       | A000000         | Y                            |
| 081       | B000000         | 5                            |
| 082       | A000000         | Y                            |
| 082       | B000000         | 25                           |
| 083       | A000000         | N                            |
| 083       | B000000         | 0                            |
| 084       | A000000         | N                            |
| 084       | B000000         | 0                            |
| 085       | A000000         | Y                            |
| 085       | B000000         | N                            |
| 086       | A010000         | 0                            |
| 086       | A020000         | 0                            |
| 086       | B010000         | 0                            |
| 086       | B020000         | 0                            |
| 086       | C010000         | 0                            |
| 086       | C020000         | 0                            |
| 086       | D010000         | 0                            |
| 086       | D020000         | 0                            |
| 086       | E010000         | 0                            |
| 086       | E020000         | 0                            |
| 086       | F010000         | 0                            |
| 086       | F020000         | 0                            |
| 087       | A010000         | COMMON STOCK                 |
| 087       | A020000         | 25538A105                    |
| 087       | A030000         | DNI                          |
|           | PAGE            | 9                            |
| 088       | A000000         | N                            |
| 088       | B000000         | N                            |
| 088       | C000000         | N                            |
| 088       | D000000         | N                            |
| SIGNATURE | THOMAS O'MALLEY |                              |
| TITLE     | CFO             |                              |