

Pierce Robert W Jr
Form 4
February 07, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Pierce Robert W Jr

(Last) (First) (Middle)

C/O UFP TECHNOLOGIES,
INC., 100 HALE STREET

(Street)

NEWBURYPORT, MA 01950

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
UFP TECHNOLOGIES INC [UFPT]

3. Date of Earliest Transaction
(Month/Day/Year)
02/06/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock, \$.01 Par Value	02/06/2018		M		1,060 (2)	\$ 12.37	39,150 D
Common Stock, \$.01 Par Value	02/06/2018		M		3,500 (2)	\$ 10.14	42,650 D
Common Stock, \$.01 Par Value	02/06/2018		M		4,734 (1)	\$ 4.13	47,384 D
Common Stock, \$.01	02/06/2018		M		3,500 (1)	\$ 4.23	50,884 D

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Par Value

Common Stock, \$.01 Par Value	02/06/2018	M	<u>2,907</u> (1)	A	\$ 9.09	53,791	D
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Common Stock, \$.01 Par Value	02/06/2018	M	<u>3,500</u> (1)	A	\$ 9.25	57,291	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount of Shares
Stock Option (Right to Buy)	\$ 12.37	02/06/2018		M	1,060	06/04/2008 06/04/2018	Common Stock, \$.01 Par Value	1,060
Stock Option (Right to Buy)	\$ 10.14	02/06/2018		M	3,500	07/01/2008 07/01/2018	Common Stock, \$.01 Par Value	3,500
Stock Option (Right to Buy)	\$ 4.13	02/06/2018		M	4,734	06/03/2009 06/03/2019	Common Stock, \$.01 Par Value	4,734
Stock Option (Right to Buy)	\$ 4.23	02/06/2018		M	3,500	07/01/2009 07/01/2019	Common Stock, \$.01 Par Value	3,500
Stock Option	\$ 9.09	02/06/2018		M	2,907	06/09/2010 06/09/2020	Common Stock,	2,907

\$.01 Par
Value

Common
Stock,
\$.01 Par
Value

Reporting Owners

Reporting Owner Name / Address

Pierce Robert W Jr
C/O UFP TECHNOLOGIES, INC.
100 HALE STREET
NEWBURYPORT, MA 01950

X

Signatures

02/07/2018

Date _____

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares issued under the issuer's 2009 Non-Employee Director Stock Incentive Plan
- (2) Represents shares issued under the issuer's 1998 Directors Stock Option Incentive Plan

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