

Edgar Filing: BESTEN C HENRY JR - Form 5

BESTEN C HENRY JR  
Form 5  
February 14, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

- Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- Form 3 Holdings Reported
- Form 4 Transactions Reported

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1. Name and Address of Reporting Person\*

|                          |         |          |
|--------------------------|---------|----------|
| Besten, Jr.              | C.      | Henry    |
| -----                    | -----   | -----    |
| (Last)                   | (First) | (Middle) |
| CityPlace One, Suite 300 |         |          |
| -----                    |         |          |
| (Street)                 |         |          |
| St. Louis                | MO      | 63141    |
| -----                    | -----   | -----    |
| (City)                   | (State) | (Zip)    |

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2. Issuer Name and Ticker or Trading Symbol

Arch Coal, Inc. (ACI)

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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

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4. Statement for Month/Year

December 31, 2001

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5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer  
(Check all applicable)

- Director
- 10% Owner

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Officer (give title below)  
Vice President - Strategic Marketing

Other (specify below)

7. Individual or Joint/Group Filing  
(Check applicable line)

Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  | Price |
|---------------------------------------|---|---|--|------------------|-------|
|                                       |   |   | Amount   | (A)<br>or<br>(D) |       |
| Common Stock                          |   |   |  |                  |       |
| Common Stock-401(k)plan               | (1)                                     | D                                       | 9,111  | D                | (1)   |
|                                       |   |   |  |                  |       |
|                                       |   |   |  |                  |       |
|                                       |   |   |  |                  |       |
|                                       |   |   |  |                  |       |
|                                       |   |   |  |                  |       |
|                                       |   |   |  |                  |       |
|                                       |   |   |  |                  |       |

(1) Disposed on various dates in 2001 pursuant to Arch Coal Inc.'s 401(k) plan at prices from \$1

\* If the form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially

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owned directly or indirectly.

(Print or Type Responses)

(Over)

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8) | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--|---|--|---|--|---|--|
| Employee Stock<br>Option                               | \$21.95   | 2/22/01  | A   | 13,600   | (1) 2/22/11   | Common<br>Stock 13,600   |
| Employee Stock<br>Option                               | \$22.90   | 6/27/01  | A   | 39,000   | 1/1/04 6/30/06  | Common<br>Stock 39,000   |
| Phantom Stock  | 1-for-1   | (1)  | A   | 38   | (2) (2)   | Common<br>Stock 3,483  |

(1) Vests in three equal annual installments commencing on 2/22/02.

(2) Acquired on various dates in 2001 pursuant to Arch Coal Inc.'s Deferred Compensation Plan.

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(3) Payable in cash upon termination of the Reporting Person's service as an Officer of Arch Coal

Explanation of Responses:

/s/ Janet L. Horgan

February 14, 2002

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By: Janet L. Horgan, as Attorney-in-Fact  
    \*\*Signature of Reporting Person

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal  
Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

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