

Edgar Filing: HARROW JEFFREY - Form 3

HARROW JEFFREY
Form 3
November 30, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*

Harrow

Jeffrey

(Last)

(First)

(Middle)

2828 Charter Road

(Street)

Philadelphia

PA

19154

(City)

(State)

(Zip)

2. Date of Event Requiring Statement (Month/Day/Year)

11/20/01

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Issuer Name and Ticker or Trading Symbol

Marlton Technologies, Inc. (MTI)

5. Relationship of Reporting Person to Issuer
(Check all applicable)

Director

10% Owner

Officer (give title below)

Other (specify below)

6. If Amendment, Date of Original (Month/Day/Year)

7. Individual or Joint/Group Filing (Check applicable line)

Form Filed by One Reporting Person

Form Filed by More than One Reporting Person

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Table I -- Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature (Instr.) |
|------------------------------------|---|---|-----------------------|
| Common Stock | 2,005,000** | D | |
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**Does not include shares owned by the other parties to a Stockholder's Agreement to which Mr. Tarte is a party. Mr. Tarte does not have a pecuniary interest in such shares.

* If the Form is filed by more than one Reporting Person, see Instruction 5(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print of Type Responses)

(Over)

FORM 3 (continued)

Table II -- Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | Amount or Number of Shares | 4. Conversion or Exercise Price of Derivative Security |
|--|--|-----------------|---|----------------------------|--|
| | Date Exercisable | Expiration Date | Title | | |
| Warrant | 11/20/01 | 11/20/11 | Common Stock | 2,000,000 | \$0.50 |
| Stock Options | 08/01/98 | 07/31/03 | Common Stock | 10,000 | \$6.25 |
| Stock Options | 08/01/98 | 07/31/03 | Common Stock | 2,398 | \$2.08 |
| Stock Options | 08/07/00 | 06/21/05 | Common Stock | 13,336 | \$2.00 |

Explanation of Responses:

/s/ Jeffrey Harrow

 Jeffrey Harrow

11/30/01

 Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

(Print of Type Responses)

