## Edgar Filing: ARV ASSISTED LIVING INC - Form 3

## ARV ASSISTED LIVING INC Form 3

May 14, 2001

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FORM 3			U.S. SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549					
		Filed pursuant Section 17	to (a)	ATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES  Section 16(a) of the Securities Exchange Act of 19 of the Public Utility Holding Company Act of 1935 30(f) of the Investment Company Act of 1940				
(Print or Typ								
1. Name and ALARCON	Address of Re	eporting Person*	2.	Date of Event Requiring Statement	4.	. Issuer Name and Tick Trading Symbol		
	(First)			April 20, 2001		ARV Assisted Living,		
245 FISCHER AVENUE, #D-1			IRS or Social Security Number of Reporting Person (Voluntary)		Person(s) to Issuer			
	(Street)		reison (voluntary)					
	CALIFORNIA	92626			-	 Dilector		
	(State)				-	X Officer (give title below)		
					-	Senior Vice President		
		 T	ABL	E 1 NON-DERIVATIVE SEC	URIT	 IES BENEFICIALLY OWNED		
1. Title of S (Instr. 4)	_		- <b></b> ·	2. Amount of Securities Beneficially Owned (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or i \*If the form is filed by more than one Reporting Person, see Instruction 5(b)(v).

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FORM 3	(CONTINUED)	T	ABLE I	I DE	RIVATIVE	SECURITIES	BENEFICIALLY	OWNED
		(E.G.,	PUTS,	CALLS,	WARRANTS	, OPTIONS,	CONVERTIBLE	SECURITIES)

1. Title of Derivative Security (Instr. 4) 2. Date Exercisable 3. Title and Amount of and Expiration Securities Underlying Date (Month/Derivative Security Price of Day/Year) (Instr. 4) Derivative Security Price of Day/Year) (Instr. 4) Date Amount or Exercis Expiration Number of able Date Title Shares

Employee Stock Option (Right to Buy) (1) 12/13/10 Common Stock 35,000 0.5625

Explanation of Responses:

- (1) The options vest in three equal installments over a three year period. The first installment quarter immediately following the Company achieving a positive cash flow and profitability for the second and third installments will vest on the first and second anniversaries of such dat no case will vesting begin later than December 14, 2005.
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ MICHELLE AI -----\*\*Signature of F

Note: File three copies of this Form, one of which must be manually signed.

If space provided is insufficient, See Instruction 6 for procedure.

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number  $\frac{1}{2}$