

IRON MOUNTAIN INC

Form 3

November 07, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Day Roderick

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/01/2013

3. Issuer Name and Ticker or Trading Symbol
IRON MOUNTAIN INC [IRM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

Chief Financial Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting PersonC/O IRON MOUNTAIN
INCORPORATED,Â 745
ATLANTIC AVENUE

(Street)

BOSTON,Â MAÂ 02111

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock, par value \$.01 per share

1,480

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Performance Units	Â (1)	Â (1)	Common Stock	964	\$ (2)	D	Â
Performance Units	Â (3)	Â (3)	Common Stock	1,701	\$ (2)	D	Â
Restricted Stock Units	03/11/2014	03/11/2014	Common Stock	147	\$ (4)	D	Â
Restricted Stock Units	Â (5)	Â (5)	Common Stock	1,271	\$ (4)	D	Â
Restricted Stock Units	Â (6)	Â (6)	Common Stock	5,593	\$ (4)	D	Â
Restricted Stock Units	Â (7)	Â (7)	Common Stock	1,572	\$ (4)	D	Â
Employee Stock Option (Right to Buy)	Â (8)	09/01/2018	Common Stock	842	\$ 23.8425	D	Â
Employee Stock Option (Right to Buy)	Â (9)	06/04/2019	Common Stock	6,323	\$ 25.3112	D	Â
Employee Stock Option (Right to Buy)	Â (10)	12/10/2019	Common Stock	14,256	\$ 21.0436	D	Â
Employee Stock Option (Right to Buy)	Â (11)	06/03/2020	Common Stock	5,495	\$ 21.8337	D	Â
Employee Stock Option (Right to Buy)	03/11/2014	03/11/2021	Common Stock	1,149	\$ 25.0925	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Day Roderick C/O IRON MOUNTAIN INCORPORATED 745 ATLANTIC AVENUE BOSTON, MA 02111	Â	Â	Â Chief Financial Officer	Â

Signatures

/s/ Sarah Cammarata, under Power of Attorney dated October 11, 2013, from Roderick Day

11/07/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The performance units ("PUs") will fully vest on March 11, 2014, subject to certain exceptions, if the Reporting Person is, as of that date, continuing to perform services for Iron Mountain Incorporated.
 - (2) Each PU represents a contingent right to receive one share of Iron Mountain Incorporated common stock ("Common Stock").
 - (3) The PUs will fully vest on March 9, 2015, subject to certain exceptions, if the Reporting Person is, as of that date, continuing to perform services for Iron Mountain Incorporated.
 - (4) Each restricted stock unit ("RSU") represents a contingent right to receive one share of Common Stock.
 - (5) The RSUs vest in two substantially equal installments on March 9, 2014 and March 9, 2015.
 - (6) The RSUs vest in two substantially equal installments on June 13, 2014 and June 13, 2015.
 - (7) The RSUs were granted to the Reporting Person on March 15, 2013 and vest in three substantially equal annual installments beginning on the first anniversary of the grant date.
 - (8) This option is fully vested.
 - (9) 3,160 shares of this option have vested. The remaining shares vest on June 4, 2014.
 - (10) This option vests in two substantially equal annual installments on December 10, 2013 and December 10, 2014.
 - (11) 3,294 shares of this option have vested. The remaining shares vest in two substantially equal installments on June 3, 2014 and June 3, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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