

Liawatidewi Yakob
Form 3
June 18, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Liawatidewi Yakob

(Last) (First) (Middle)

C/O AMPHASTAR
PHARMACEUTICALS,
INC., 11570 6TH STREET

(Street)

RANCHO
CUCAMONGA, CA 91730

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

06/07/2018

3. Issuer Name and Ticker or Trading Symbol

Amphastar Pharmaceuticals, Inc. [AMPH]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner

Officer Other

(give title below) (specify below)

SVP Corp Admin Center

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

39,289 ⁽¹⁾

D

À

Common Stock

10,369

I

See footnote ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Edgar Filing: Liawatidewi Yakob - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â (3)	08/28/2018	Common Stock	11,000	\$ 35.32	D	Â
Employee Stock Option (right to buy)	Â (3)	08/05/2019	Common Stock	11,500	\$ 20.26	D	Â
Employee Stock Option (right to buy)	Â (3)	03/08/2021	Common Stock	6,000	\$ 11.41	D	Â
Employee Stock Option (right to buy)	Â (3)	12/22/2021	Common Stock	4,903	\$ 14.23	D	Â
Employee Stock Option (right to buy)	Â (3)	11/07/2022	Common Stock	20,000	\$ 10.48	D	Â
Employee Stock Option (right to buy)	Â (3)	07/30/2023	Common Stock	26,000	\$ 10.93	D	Â
Employee Stock Option (right to buy)	Â (3)	04/14/2024	Common Stock	4,904	\$ 14.4	D	Â
Employee Stock Option (right to buy)	Â (4)	03/26/2025	Common Stock	17,800	\$ 14.95	D	Â
Employee Stock Option (right to buy)	Â (5)	03/17/2026	Common Stock	29,801	\$ 11.33	D	Â
Employee Stock Option (right to buy)	Â (6)	03/16/2027	Common Stock	23,352	\$ 13.35	D	Â
Employee Stock Option (right to buy)	Â (7)	03/15/2028	Common Stock	18,892	\$ 19.79	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Liawatidewi Yakob C/O AMPHASTAR PHARMACEUTICALS, INC. 11570 6TH STREET RANCHO CUCAMONGA, CA 91730	Â	Â	Â SVP Corp Admin Center	Â

Signatures

/s/ Eva Wen by power of attorney
06/18/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Of the reported shares, 21,801 shares are represented by restricted stock units, or RSUs which vest as follows: (i) 1,680 RSUs vest on March 26, 2019; (ii) 4,964 RSUs vest in two equal annual installments beginning on March 17, 2019; (iii) 7,022 RSUs vest in three equal annual installments beginning on March 16, 2019; and (iv) 8,135 RSUs vest in four equal annual installments beginning on March 15, 2019.
- (1) Of the reported shares, 21,801 shares are represented by restricted stock units, or RSUs which vest as follows: (i) 1,680 RSUs vest on March 26, 2019; (ii) 4,964 RSUs vest in two equal annual installments beginning on March 17, 2019; (iii) 7,022 RSUs vest in three equal annual installments beginning on March 16, 2019; and (iv) 8,135 RSUs vest in four equal annual installments beginning on March 15, 2019.
 - (2) The reported shares are held of record by the Yakob and Sunmoon Trust dated July 25, 2013 for which the reporting person serves as a trustee.
 - (3) Shares subject to the option are fully vested and immediately exercisable.
 - (4) Shares subject to the option vest in four equal annual installments beginning on March 26, 2016.
 - (5) Shares subject to the option vest in four equal annual installments beginning on March 17, 2017.
 - (6) Shares subject to the option vest in four equal annual installments beginning on March 16, 2018.
 - (7) Shares subject to the option vest in four equal annual installments beginning on March 15, 2019.

Â

Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.