CORNERSTONE PROGRESSIVE RETURN FUND Form N-PX August 21, 2012

#### OMB APPROVAL

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#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

#### FORM N-PX

# ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-22066

Cornerstone Progressive Return Fund

#### (Exact name of registrant as specified in charter)

350 Jericho Turnpike, Suite 206

Jericho, New York

11753

(Zip code)

Frank L. Newbauer, Esq.

Ultimus Fund Solutions, LLC 225 Pictoria Drive, Suite 450 Cincinnati, Ohio 45246

(Name and address of agent for service)

Registrant's telephone number, including area code: (646) 881-4985

(Address of principal executive offices)

Date of fiscal year end: December 31

Date of reporting period: July 1, 2011 - June 30, 2012

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. ss. 3507.

#### ITEM 1. PROXY VOTING RECORD.

Disclose the following information for each matter relating to a portfolio security considered at any shareholder meeting held during the period covered by the report and with respect to which the registrant was entitled to vote:

	(a)	The name of the issuer of the portfolio security;
	(b)	The exchange ticker symbol of the portfolio security;
(c) The Cour	ncil on Uniform S	Securities Identification Procedures ("CUSIP") number for the portfolio security;
	(d)	The shareholder meeting date;
	(e)	A brief identification of the matter voted on;
(f	) W	hether the matter was proposed by the issuer or by a security holder;
	(g)	Whether the registrant cast its vote on the matter;
(h)How the reg directors); an	•	ote (e.g., for or against proposal, or abstain; for or withhold regarding election of
	(i)	Whether the registrant cast its vote for or against management.
		SIGNATURES
		[See General Instruction F]
	-	ne Investment Company Act of 1940, the registrant has duly caused this report to be igned, thereunto duly authorized.
(Registrant)	Cornerstone Pro	gressive Return Fund
By (Signature an	nd Title)*	/s/ Ralph W. Bradshaw Ralph W. Bradshaw, President and Chairman

Date August 21, 2012

\* Print the name and title of each signing officer under his or her signature.

(Principal Executive Officer)

#### EXHIBIT A

Proxy Voting Record Cornerstone Progressive Return Fund July 1, 2011 - June 30, 2012

			Meeting	Propos	al		Mgmt	Vote
Company Name	CUSIP	Ticker	Date	#	Proposal Description	Proponent R	ecommendation	Cast
Transamerica Income Shares Inc Transamerica	893506105	TAI	7/7/2011	1.1	Elect John Waechter	Mgmt	For	For
Income Shares Inc Transamerica	893506105	TAI	7/7/2011	1.2	Elect Joyce Norden Elect Norman	Mgmt	For	For
Income Shares Inc Transamerica	893506105	TAI	7/7/2011	1.3	Nielsen Elect Russell	Mgmt	For	For
Income Shares Inc	893506105	TAI	7/7/2011	1.4	Kimball, Jr.	Mgmt	For	For For 93%
Invesco AIM	46133E102	PIA	7/14/2011	1.1	Elect David Arch	Mgmt	For	Abstain 7% For 93%
Invesco AIM	46133E102	PIA	7/14/2011	1.2	Elect Bob Baker	Mgmt	For	Abstain 7% For 93%
Invesco AIM	46133E102	PIA	7/14/2011	1.3	Elect Larry Soll	Mgmt	For	Abstain 7% For 93%
Invesco AIM	46133E102	PIA	7/14/2011	1.4	Elect Philip Taylor Elect Wayne	Mgmt	For	Abstain 7% For 93%
Invesco AIM Morgan Stanley	46133E102	PIA	7/14/2011	1.5	Whalen	Mgmt	For	Abstain 7% For 96.3%
Fund	46133A100	IIC	7/14/2011	1.1	Elect David Arch	Mgmt	For	Abstain 3.7% For
Morgan Stanley								96.3%Abstai
Fund	46133A100	IIC	7/14/2011	1.2	Elect Bob Baker	Mgmt	For	3.7%
Morgon Stoplay								For 96.3%Abstain
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.3	Elect Frank Bayley	Mgmt	For	3.7%
					5 5	U		For
Morgan Stanley								96.3%Abstair
Fund	46133A100	IIC	7/14/2011	1.4	Elect Larry Soll	Mgmt	For	3.7%
Morron Stoplay								For 96.3%Abstain
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.5	Elect Philip Taylor	Mgmt	For	3.7%
i unu	1012211100	пе	//1//2011	1.0	Liett I milp Tuylor	101gille	1 01	For
Morgan Stanley					Elect Wayne			96.3%Abstair
Fund	46133A100	IIC	7/14/2011	1.6	Whalen	Mgmt	For	3.7%
								For
Morgan Stanley	46122 4 100	ПС	7/1//0011	1 1	Elect Dervil A	Marit	Ear	96.3%Abstain
Fund Morgan Stanley	46133A100 46133A100	IIC IIC	7/14/2011 7/14/2011		Elect David Arch Elect Bob Baker	Mgmt Mgmt	For For	3.7% For
Fund	T0133A100	пс	//17/2011	1.4	Lieu Dob Datei	wigilli	1.01	96.3%Abstain

								3.7%
								For
Morgan Stanley	46102 4 100	но	7/14/0011	1.0				96.3%Abstain
Fund	46133A100	IIC	7/14/2011	1.3	Elect Frank Bayley	Mgmt	For	3.7%
Marran Stanlay								For 96.3%Abstain
Morgan Stanley	46122 & 100	IIC	7/14/2011	1 /	Flast Lower Coll	Mant	Ear	96.3%Abstan 3.7%
Fund	46133A100	ш	//14/2011	1.4	Elect Larry Soll	Mgmt	For	3.7% For
Morgon Stanloy								For 96.3%Abstain
Morgan Stanley Fund	46133A100	IIC	7/14/2011	1.5	Elect Philip Taylor	Mamt	For	96.3%Abstan 3.7%
Fullu	40133A100	пс	//14/2011	1.5	Elect Fillip Taylor	Mgmt	I'UI	5.7% For
Morgan Stanley					Elect Wayne			96.3%Abstai
Fund	46133A100	IIC	7/14/2011	1.6	Whalen	Mgmt	For	3.7%
I unu	-0100/1100	пс	// 1.7/ 2011	1.0	VV Hulth	1415111	1 01	For
Clough Global					Elect Andrew			36.3%Abstair
Allocation Fund	18913Y103	GLV	7/15/2011	1.1	Boynton	Mgmt	For	63.7%
/ moourien i une	10/12/102	OL,	111012011	1.1	Doymon	1,19,111	1 01	For
Clough Global					Elect Adam			36.3%Abstai
Allocation Fund	18913Y103	GLV	7/15/2011	1.2	Crescenzi	Mgmt	For	63.7%
1 110000000	10/12 1	02	// 10/ <u>-</u> c			<u>.</u> B		For
Clough Global								36.3%Abstai
Allocation Fund	18913Y103	GLV	7/15/2011	1.3	Elect Jerry Rutledge	Mgmt	For	63.7%
		-				8	-	For
Clough Global								98%Withhole
Equity Fund	18914C100	GLQ	7/15/2011	1.1	Elect Edmund Burke	Mgmt	For	2%
1 2			-			U		For
Clough Global								98%Withhole
Equity Fund	18914C100	GLQ	7/15/2011	1.2	Elect John Mee	Mgmt	For	2%
× •		-				C		For
								97%Withhole
Eaton Vance	278279104	EOI	7/22/2011	1.1	Elect Benjamin Esty	Mgmt	For	3%
					•	c		For
					Elect Thomas Faust,			97%Withhole
Eaton Vance	278279104	EOI	7/22/2011	1.2	Jr.	Mgmt	For	3%
								For
					Elect Allen			97%Withhole
Eaton Vance	278279104	EOI	7/22/2011	1.3	Freedman	Mgmt	For	3%
BlackRock Core								
Bond Trust	09249E101	BHK	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	For
BlackRock Core					Elect Michael			
Bond Trust	09249E101	BHK	7/28/2011	1.2	Castellano	Mgmt	For	For
BlackRock Core					Elect R. Glenn			
Bond Trust	09249E101	BHK	7/28/2011	1.3	Hubbard	Mgmt	For	For
BlackRock Core								
Bond Trust	09249E101	BHK	7/28/2011	1.4	Elect W. Carl Kester	Mgmt	For	For
BlackRock Credit								
Allocation Income								For 93%
Trust II Inc	09255H105	PSY	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	Against 7%
BlackRock Credit								
Allocation Income					Elect Michael			For 93%
Trust II Inc	09255H105	PSY	7/28/2011	1.2	Castellano	Mgmt	For	Against 7%

BlackRock Credit								
Allocation Income					Elect Richard			For 93%
Trust II Inc	09255H105	PSY	7/28/2011	1.3	Cavanagh	Mgmt	For	Against 7%
BlackRock Credit						8		8.
Allocation Income								For 93%
Trust II Inc	09255H105	PSY	7/28/2011	1.4	Elect Frank Fabozzi	Mgmt	For	Against 7%
BlackRock Credit	0)_001	10-	// <b>_</b> 0/ <b>_</b> 0/ <b>_</b> 0/ <b>_</b> 0		<b>Diccor</b>	11-9	1.01	1-8
Allocation Income					Elect Kathleen			For 93%
Trust II Inc	09255H105	PSY	7/28/2011	1.5	Feldstein	Mgmt	For	Against 7%
BlackRock Credit	0/20011100	101	112012011	1.0	1 clustolli	1019111	101	riguinse , ,
Allocation Income								For 93%
Trust II Inc	09255H105	PSY	7/28/2011	1.6	Elect James Flynn	Mgmt	For	Against 7%
BlackRock Credit	0/20011100	101	112012011	1.0	Licet suries i gini	1019111	101	riguinse , ,
Allocation Income								For 93%
Trust II Inc	09255H105	PSY	7/28/2011	1.7	Elect Henry Gabbay	Mgmt	For	Against 7%
BlackRock Credit	0723311138	101	112012011	1.,	Elect Hein's Guesa,	141GIIII	1 01	rigunise , ,.
Allocation Income								For 93%
Trust II Inc	09255H105	PSY	7/28/2011	1.8	Elect Jerrold Harris	Mgmt	For	Against 7%
BlackRock Credit	0723311103	101	112012011	1.0	Elect Jerrora Harris	Ivigint	1 01	Ingamor / /
Allocation Income					Elect R. Glenn			For 93%
Trust II Inc	09255H105	PSY	7/28/2011	1.9	Hubbard	Mgmt	For	Against 7%
BlackRock Credit	0723311103	191	112012011	1.7	Iluovalu	Wigin	1.01	Agamse 770
Allocation Income								For 93%
Trust II Inc	09255H105	PSY	7/28/2011	1.10	Elect W. Carl Kester	Mgmt	For	Against 7%
BlackRock Credit	0725511105	191	112012011	1.10	Elect W. Call Rester	Wigini	1.01	Agamst 770
Allocation Income								For 93%
Trust II Inc	09255H105	PSY	7/28/2011	1.11	Elect Karen Robards	Mgmt	For	Against 7%
BlackRock Credit	0725511105	191	112012011	1,11	Elect Katen Kobards	Wigini	1.01	Agamst 770
Allocation Income								
Trust III	09249V103	BPP	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	For
BlackRock Credit	U7247 ¥ 105	DII	112012011	1,1	Elect I auf Auger	Wigini	1.01	1.01
Allocation Income					Elect Michael			
Trust III	09249V103	BPP	7/28/2011	1.2	Castellano	Mgmt	For	For
BlackRock Credit	U9249 v 103	DII	//20/2011	1,2	Casicilano	Wigini	1.01	1.01
Allocation Income					Elect R. Glenn			
Trust III	09249V103	BPP	7/28/2011	1.3	Hubbard	Mamt	For	For
BlackRock Credit	09249 1 105	DFI	112012011	1.5	Πυθθαία	Mgmt	FUI	1.01
Allocation Income								
	09249V103	BPP	1100/2011	1.4	Elect W. Carl Kaster	Mamt	Eo.	For
Trust III BlackRock Credit	09249 v 105	DLL	7/28/2011	1.4	Elect W. Carl Kester	Mgiin	For	FUI
Allocation Income								
Trust IV	002508100	$\mathbf{DTT}$	7/28/2011	11	Flast Doul Audat	Mant	East	Ear
BlackRock Credit	092508100	BTZ	//28/2011	1.1	Elect Paul Audet	Mgmt	For	For
					Elect Michael			
Allocation Income	002500100	$\mathbf{DTT}$	7/00/0011	1 7		Mant	Eas	Ear
Trust IV Plack Pook Cradit	092508100	BTZ	7/28/2011	1.2	Castellano	Mgmt	For	For
BlackRock Credit					Elect D. Clonn			
Allocation Income	002500100	$\mathbf{DTT}$	7/20/2011	12	Elect R. Glenn	Mant	Eas	Ear
Trust IV Block Book Credit	092508100	BTZ	7/28/2011	1.3	Hubbard	Mgmt	For	For
BlackRock Credit								
Allocation Income Trust IV	092508100	BTZ	7/00/0011	1 /	Flast W. Corl Vootor	Mant	Eas	Ean
Trust I v	092308100	DIL	7/28/2011	1.4	Elect W. Carl Kester	MgIIIt	For	For

BlackRock Enhanced Government Fund								
Inc BlackRock Enhanced	09255K108	EGF	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	For
Government Fund Inc BlackRock	09255K108	EGF	7/28/2011	1.2	Elect Michael Castellano	Mgmt	For	For
Enhanced Government Fund Inc BlackRock Enhanced	09255K108	EGF	7/28/2011	1.3	Elect Richard Cavanagh	Mgmt	For	For
Government Fund Inc BlackRock Enhanced	09255K108	EGF	7/28/2011	1.4	Elect Frank Fabozzi	Mgmt	For	For
Government Fund Inc BlackRock Enhanced	09255K108	EGF	7/28/2011	1.5	Elect Kathleen Feldstein	Mgmt	For	For
Government Fund Inc BlackRock Enhanced	09255K108	EGF	7/28/2011	1.6	Elect James Flynn	Mgmt	For	For
Government Fund Inc BlackRock Enhanced	09255K108	EGF	7/28/2011	1.7	Elect Henry Gabbay	Mgmt	For	For
Government Fund Inc BlackRock	09255K108	EGF	7/28/2011	1.8	Elect Jerrold Harris	Mgmt	For	For
Enhanced Government Fund Inc BlackRock	09255K108	EGF	7/28/2011	1.9	Elect R. Glenn Hubbard	Mgmt	For	For
Enhanced Government Fund Inc BlackRock Enhanced	09255K108	EGF	7/28/2011	1.10	Elect W. Carl Kester	Mgmt	For	For
Government Fund Inc	09255K108	EGF	7/28/2011	1.11	Elect Karen Robards	Mgmt	For	For
BlackRock High Yield Trust. BlackRock High	09248N102	BHY	7/28/2011	1.1	Elect Paul Audet Elect Michael	Mgmt	For	For
Yield Trust. BlackRock High	09248N102	BHY	7/28/2011	1.2	Castellano Elect R. Glenn	Mgmt	For	For
Yield Trust.	09248N102 09248N102	BHY BHY	7/28/2011 7/28/2011	1.3 1.4	Hubbard Elect W. Carl Kester	Mgmt Mgmt	For For	For For

BlackRock High								
Yield Trust.								
BlackRock Income	;							
Opportunity Trust								
Inc.	092475102	BNA	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	For
BlackRock Income	;							
Opportunity Trust				_	Elect Michael			
Inc.	092475102	BNA	7/28/2011	1.2	Castellano	Mgmt	For	For
BlackRock Income	;							
Opportunity Trust	000475100	DIT	<b>E</b> /20 /2011	1.0	Elect R. Glenn			T
Inc.	092475102	BNA	7/28/2011	1.3	Hubbard	Mgmt	For	For
BlackRock Income	;							
Opportunity Trust	002475102		7/20/2011	14		Manat	E	<b>F</b> ee
Inc.	092475102	BNA	7/28/2011	1.4	Elect W. Carl Kester	Mgmt	For	For
BlackRock Income	09247F100	DVT	7/20/2011	1 1	Elect Doul Audat	Mamt	Ear	Ear
Trust Inc		BKT	7/28/2011	1.1	Elect Paul Audet Elect Michael	Mgmt	For	For
BlackRock Income Trust Inc	09247F100	BKT	7/28/2011	1.2	Castellano	Mgmt	For	For
BlackRock Income		DKI	//20/2011	1.2	Elect R. Glenn	Mgiin	FUI	FOI
Trust Inc	09247F100	BKT	7/28/2011	1.3	Hubbard	Mgmt	For	For
BlackRock Income		DEI	112012011	1.3	TIUUUalU	wigilli	1.01	1.01
Trust Inc	09247F100	BKT	7/28/2011	1.4	Elect W. Carl Kester	Mgmt	For	For
BlackRock	072471100	DIXI	//20/2011	1.7	Licet W. Call Rester	wight	101	101
Muniassets Fund								For 96%
Inc	09254J102	MUA	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	Against 4%
BlackRock	072343102	mon	1120/2011	1.1	Licet I adi / idet	Mgint	101	riguilist 470
Muniassets Fund					Elect Michael			For 96%
Inc	09254J102	MUA	7/28/2011	1.2	Castellano	Mgmt	For	Against 4%
BlackRock						8		8
Muniassets Fund					Elect R. Glenn			For 96%
Inc	09254J102	MUA	7/28/2011	1.3	Hubbard	Mgmt	For	Against 4%
BlackRock						e		8
Muniassets Fund								For 96%
Inc	09254J102	MUA	7/28/2011	1.4	Elect W. Carl Kester	Mgmt	For	Against 4%
BlackRock						C		C
Municipal Income								
Investment Quality								For 97%
Trust	09250G102	BAF	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	Against 3%
BlackRock								
Municipal Income								
Investment Quality					Elect Michael			For 96%
Trust	09250G102	BAF	7/28/2011	1.2	Castellano	Mgmt	For	Against 4%
BlackRock								
Municipal Income								
Investment Quality					Elect R. Glenn			For 96%
Trust	09250G102	BAF	7/28/2011	1.3	Hubbard	Mgmt	For	Against 4%
BlackRock								
Munienhanced							-	For 99%
Fund Inc	09253Y100	MEN	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	Against 1%
BlackRock	09253Y100	MEN	7/28/2011	1.2	Elect Michael	Mgmt	For	For 98%
Munienhanced					Castellano			Against 2%

Fund Inc								
BlackRock								
Munienhanced					Elect Richard			For 98%
Fund Inc	09253Y100	MEN	7/28/2011	1.3	Cavanagh	Mgmt	For	Against 2%
BlackRock					C C	C		C
Munienhanced					Elect Kathleen			For 97%
Fund Inc	09253Y100	MEN	7/28/2011	1.4	Feldstein	Mgmt	For	Against 3%
BlackRock								
Munienhanced	000503/100		7/20/2011	15			F	For 97%
Fund Inc BlackRock	09253Y100	MEN	7/28/2011	1.5	Elect James Flynn	Mgmt	For	Against 3%
Munienhanced								For 98%
Fund Inc	09253Y100	MEN	7/28/2011	1.6	Elect Henry Gabbay	Mgmt	For	Against 2%
BlackRock	072001100			110		1.18.1.10	1 01	1 <b>ig</b> uilist <b>2</b> /c
Munienhanced								For 98%
Fund Inc	09253Y100	MEN	7/28/2011	1.7	Elect Jerrold Harris	Mgmt	For	Against 2%
BlackRock								
Munienhanced					Elect R. Glenn			For 98%
Fund Inc	09253Y100	MEN	7/28/2011	1.8	Hubbard	Mgmt	For	Against 2%
BlackRock								<b>F</b> 00 <i>°</i>
Munienhanced	002523/100	MEN	7/20/2011	1.0	Elect Vener Debende	Manut	Ear	For 98%
Fund Inc BlackRock	09253Y100	MEN	7/28/2011	1.9	Elect Karen Robards	Mgmt	For	Against 2%
Strategic Bond								
Trust	09249G106	BHD	7/28/2011	1.1	Elect Paul Audet	Mgmt	For	For
BlackRock	0/2//0100	2112			210001 001 110000		1 01	1 01
Strategic Bond					Elect Michael			
Trust	09249G106	BHD	7/28/2011	1.2	Castellano	Mgmt	For	For
BlackRock								
Strategic Bond					Elect R. Glenn			
Trust	09249G106	BHD	7/28/2011	1.3	Hubbard	Mgmt	For	For
BlackRock								
Strategic Bond Trust	09249G106	BHD	7/28/2011	1 /	Elect W. Carl Kester	Mamt	For	For
Trust	092490100	впυ	//28/2011	1.4	Elect W. Carl Kester	MgIIIt	FOI	For 92%
First Trust								Against
Strategic High								5.5%Abstain
Income	33735C106	FHO	8/8/2011	1	Reorganization	Mgmt	For	2.5%
					C	e		For 92%
First Trust								Against
Strategic High								5.5%Abstain
Income Fund	337347108	FHI	8/8/2011	1	Reorganization	Mgmt	For	2.5%
First Trust								
Strategic High	227252100	FUN	0/0/2011	1	December	Manak	E	<b>F</b> a a
Income Fund II	337353106	FHY	8/8/2011	1	Reorganization	Mgmt	For	For For
Templeton Russia and East European								86%Withhole
Fund Inc	88022F105	TRF	8/19/2011	1.1	Elect Harris Ashton	Mgmt	For	14%
Templeton Russia	300 <b>221</b> 103		0,17,2011	1.1	Liver Humb / Ionton	1.19111	1.01	For
and East European					Elect J. Michael			86%Withhole
Fund Inc	88022F105	TRF	8/19/2011	1.2	Luttig	Mgmt	For	14%
						-		

Templeton Russia								For
and East European					Elect Larry		_	86%Withhole
Fund Inc	88022F105	TRF	8/19/2011	1.3	Thompson	Mgmt	For	14%
Templeton Russia								For
and East European					Elect Constantine			86%Withhole
Fund Inc	88022F105	TRF	8/19/2011	1.4	Tseretopoulos	Mgmt	For	14%
Templeton Russia								For 99%
and East European					Ratification of			Against 0.759
Fund Inc	88022F105	TRF	8/19/2011	2	Auditor	Mgmt	For	Abstain 0.259
Duke Energy								
Corp.	26441C105	DUK	8/23/2011	1	Reverse Stock Split	Mgmt	For	For
Duke Energy								
Corp.	26441C105	DUK	8/23/2011	2	Merger	Mgmt	For	For
Duke Energy					Right to Adjourn			
Corp.	26441C105	DUK	8/23/2011	3	Meeting	Mgmt	For	For
Eaton Vance								
Tax-Managed								For
Diversified Equity								97.7%Abstair
Income Fund	27828N102	ETY	8/26/2011	1.1	Elect William Park	Mgmt	For	2.3%
Eaton Vance								
Tax-Managed								For
Diversified Equity					Elect Ronald			97.7%Abstair
Income Fund	27828N102	ETY	8/26/2011	1.2	Pearlman	Mgmt	For	2.3%
Eaton Vance								
Tax-Managed								
Global Diversified								For
Equity Income								97.7%Abstair
Fund	27829F108	EXG	8/26/2011	1.1	Elect William Park	Mgmt	For	2.3%
Eaton Vance								
Tax-Managed								
Global Diversified								For
Equity Income					Elect Ronald			97.7%Abstair
Fund	27829F108	EXG	8/26/2011	1.2	Pearlman	Mgmt	For	2.3%
					Elect William			
H.J. Heinz Co.	423074103	HNZ	8/30/2011	1	Johnson	Mgmt	For	For
H.J. Heinz Co.	423074103	HNZ	8/30/2011	2	Elect Charles Bunch	Mgmt	For	For
					Elect Leonard	-		
H.J. Heinz Co.	423074103	HNZ	8/30/2011	3	Coleman, Jr.	Mgmt	For	Against
H.J. Heinz Co.	423074103	HNZ	8/30/2011	4	Elect John Drosdick	Mgmt	For	For
H.J. Heinz Co.	423074103	HNZ	8/30/2011	5	Elect Edith Holiday	Mgmt	For	For
					Elect Candace	2		
H.J. Heinz Co.	423074103	HNZ	8/30/2011	6	Kendle	Mgmt	For	Against
H.J. Heinz Co.	423074103	HNZ	8/30/2011	7	Elect Dean O'Hare	Mgmt	For	For
H.J. Heinz Co.	423074103	HNZ	8/30/2011	8	Elect Nelson Peltz	Mgmt	For	Against
H.J. Heinz Co.	423074103	HNZ	8/30/2011	9	Elect Dennis Reilley	Mgmt	For	Against
H.J. Heinz Co.	423074103	HNZ	8/30/2011	10	Elect Lynn Swann	Mgmt	For	Against
H.J. Heinz Co.	423074103	HNZ	8/30/2011	11	Elect Thomas Usher	Mgmt	For	Against
					Elect Michael	C		-
H.J. Heinz Co.	423074103	HNZ	8/30/2011	12	Weinstein	Mgmt	For	For
					Ratification of	C		
H.J. Heinz Co.	423074103	HNZ	8/30/2011	13	Auditor	Mgmt	For	For
						C		

H.J. Heinz Co.	423074103	HNZ	8/30/2011	14	Advisory Vote on Executive Compensation Frequency of Advisory Vote on Executive	Mgmt	For	For
H.J. Heinz Co. Western Asset	423074103	HNZ	8/30/2011	15	Compensation	Mgmt	1 Year	1 Year
Managed Municipals Portfolio Inc Western Asset	95766M105	MMU	9/23/2011	1.1	Elect Leslie Gelb	Mgmt	For	For 98.6%Withho 1.4%
Managed Municipals Portfolio Inc Western Asset	95766M105	MMU	9/23/2011	1.2	Elect William Hutchinson	Mgmt	For	For 98.7%Withho 1.3%
Managed Municipals Portfolio Inc MFS Charter	95766M105	MMU	9/23/2011	1.3	Elect Riordan Roett Elect William	Mgmt	For	For 98.7%Withho 1.3% For 98%
Income Trust	552727109	MCR	10/5/2011	1.1	Gutow	Mgmt	For	Against 2%
MFS Charter Income Trust	552727109	MCR	10/5/2011	1.2	Elect Michael Hegarty	Mgmt	For	For 98.5%Agains 1.5% For
MFS Charter Income Trust MFS Charter	552727109	MCR	10/5/2011	1.3	Elect John Kavanaugh	Mgmt	For	98.5%Agains 1.5% For 98%
Income Trust	552727109	MCR	10/5/2011	1.4	Elect Robert Uek	Mgmt	For	Against 2%
MFS Government Markets Income Trust MFS Government	59318T109	MGF	10/5/2011	1.1	Elect William Gutow	Mgmt	For	For 98% Against 2%
Markets Income Trust MFS Government	59318T109	MGF	10/5/2011	1.2	Elect Michael Hegarty	Mgmt	For	For 98% Against 2%
Markets Income Trust MFS Government	59318T109	MGF	10/5/2011	1.3	Elect John Kavanaugh	Mgmt	For	For 98% Against 2%
Markets Income Trust MFS Intermarket	59318T109	MGF	10/5/2011	1.4	Elect Robert Uek Elect William	Mgmt	For	For 98% Against 2% For 98%
Income Trust I MFS Intermarket	59318T109	СМК	10/5/2011	1.1	Gutow Elect Michael	Mgmt	For	Against 2% For 98%
Income Trust I MFS Intermarket	59318T109	СМК	10/5/2011	1.2	Hegarty Elect John	Mgmt	For	Against 2% For 97%
Income Trust I MFS Intermarket	59318T109	СМК	10/5/2011	1.3	Kavanaugh	Mgmt	For	Against 3% For 97.8%
Income Trust I Oracle Corp.	59318T109 68389X105	CMK ORCL	10/5/2011 10/12/2011	1.4 1.1	Elect Robert Uek Elect Jeffrey Berg	Mgmt Mgmt	For For For	Against 2.2% For
Oracle Corp.	68389X105	UKCL	10/12/2011	1.2		Mgmt	For	Withhold

					Elect H. Raymond Bingham Elect Michael			
Oracle Corp.	68389X105	ORCL	10/12/2011	1.3	Boskin	Mgmt	For	For
Oracle Corp.	68389X105	ORCL	10/12/2011	1.4	Elect Safra Catz	Mgmt	For	Withhold
Oracle Corp.	68389X105	ORCL	10/12/2011	1.5	Elect Bruce Chizen Elect George	Mgmt	For	For
Oracle Corp.	68389X105	ORCL	10/12/2011	1.6	Conrades Elect Lawrence	Mgmt	For	For
Oracle Corp.	68389X105	ORCL	10/12/2011	1.7	Ellison Elect Hector	Mgmt	For	For
Oracle Corp.	68389X105	ORCL	10/12/2011	1.8	Garcia-Molina	Mgmt	For	For
Oracle Corp.	68389X105	ORCL	10/12/2011	1.9	Elect Jeffrey Henley	Mgmt	For	For
Oracle Corp.	68389X105	ORCL	10/12/2011	1.10	Elect Mark Hurd	Mgmt	For	For
Oracle Corp.	68389X105	ORCL	10/12/2011	1.11	Elect Donald Lucas Elect Naomi	Mgmt	For	For
Oracle Corp.	68389X105	ORCL	10/12/2011	1.12	Seligman Advisory Vote on Executive	Mgmt	For	For
Oracle Corp.	68389X105	ORCL	10/12/2011	2	Compensation Frequency of Advisory Vote on Executive	Mgmt	For	For
Oracle Corp.	68389X105	ORCL	10/12/2011	3	Compensation Ratification of	Mgmt	1 Year	1 Year
Oracle Corp.	68389X105	ORCL	10/12/2011	4	Auditor Shareholder Proposal Regarding Retention of Shares	Mgmt	For	For
Oracle Corp.	68389X105	ORCL	10/12/2011	5	After Retirement	ShrHoldr	Against	Against For 96%
CLAYMORE FUNDS	007639107	AGC	10/25/2011	1	Elect Daniel Black	Mgmt	For	Against 3% Abstain 1% For 07%Withhel
Eaton Vance	278279104	EVF	10/28/2011	1.1	Elect Benjamin Esty	Mgmt	For	97%Withhole 3% For
Eaton Vance Cardinal Health,	278279104	EVF	10/28/2011	1.2	Elect Thomas Faust, Jr. Elect Colleen	Mgmt	For	97%Withhole 3%
Inc. Cardinal Health,	14149Y108	CAH	11/2/2011	1	Arnold	Mgmt	For	For
Inc. Cardinal Health,	14149Y108	CAH	11/2/2011	2	Elect George Barrett	Mgmt	For	For
Inc. Cardinal Health,	14149Y108	CAH	11/2/2011	3	Elect Glenn Britt	Mgmt	For	For
Inc. Cardinal Health,	14149Y108	CAH	11/2/2011	4	Elect Carrie Cox	Mgmt	For	For
Inc. Cardinal Health,	14149Y108	CAH	11/2/2011	5	Elect Calvin Darden	Mgmt	For	For
Inc.	14149Y108	CAH	11/2/2011	6	Elect Bruce Downey	Mgmt	For	For

Cardinal Health,								
Inc.	14149Y108	CAH	11/2/2011	7	Elect John Finn	Mgmt	For	For
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	8	Elect Gregory Kenny	Mgmt	For	For
Cardinal Health,	11171100	<i>C</i> <sup>1</sup>	11/2/2011	C	Reiniy	1115111	101	1 01
Inc.	14149Y108	CAH	11/2/2011	9	Elect David King	Mgmt	For	For
Cardinal Health,	1 41 40 100	CALL	11/0/0011	10	Elect Richard	14	<b>D</b>	Ese
Inc. Cardinal Health,	14149Y108	CAH	11/2/2011	10	Notebaert Elect David	Mgmt	For	For
Inc.	14149Y108	CAH	11/2/2011	11	Raisbeck	Mgmt	For	For
Cardinal Health,						-		
Inc.	14149Y108	CAH	11/2/2011	12	Elect Jean Spaulding	Mgmt	For	For
Cardinal Health,	14140100	CAH	11/2/2011	12	Ratification of	Mant	Eor	For
Inc. Cardinal Health,	14149Y108	САП	11/2/2011	13	Auditor 2011 Long-Term	Mgmt	For	For
Inc.	14149Y108	CAH	11/2/2011	14	Incentive Plan	Mgmt	For	For
					Advisory Vote on	C		
Cardinal Health,		~			Executive		_	
Inc.	14149Y108	CAH	11/2/2011	15	Compensation Frequency of	Mgmt	For	Against
					Frequency of Advisory Vote on			
Cardinal Health,					Executive			
Inc.	14149Y108	CAH	11/2/2011	16	Compensation	Mgmt	1 Year	1 Year
					Shareholder			
Continual Upolth					Proposal Regarding			
Cardinal Health, Inc.	14149Y108	CAH	11/2/2011	17	Independent Board Chairman	ShrHoldr	Against	For
Japan Smaller	171771100	C/ 111	11/2/2011	17	Chuirman	Unition	1 iguinor	For
Capitalization					Elect Shigeru			92%Withhole
Fund Inc.	47109U104	JOF	11/16/2011	1.1	Shinohara	Mgmt	For	8%
Japan Smaller					Elect Cher Wong			For
Capitalization Fund Inc.	47109U104	JOF	11/16/2011	1.2	Elect Chor Weng Tan	Mgmt	For	92%Withhole 8%
Nuveen Build	7/10/0105	101	11/10/2011	1.4	1 an	IVI 2 IIII	8 18 88	0/0
America Bond							TUI	For
Fund							1'01	
	67074C103	NBB	11/16/2011	1.1	Elect John Amboian	Mgmt	For	For 99%Withholo 1%
Nuveen Build	67074C103	NBB	11/16/2011	1.1	Elect John Amboian	-		For 99%Withhole 1% For
Nuveen Build America Bond						Mgmt	For	For 99%Withholo 1% For 99%Withholo
Nuveen Build America Bond Fund	67074C103 67074C103	NBB NBB	11/16/2011 11/16/2011	1.1 1.2	Elect John Amboian Elect David Kundert	Mgmt		For 99%Withholo 1% For 99%Withholo 1%
Nuveen Build America Bond						Mgmt	For	For 99%Withholo 1% For 99%Withholo
Nuveen Build America Bond Fund Nuveen Build America Bond Fund						Mgmt	For	For 99%Withhole 1% For 99%Withhole 1% For
Nuveen Build America Bond Fund Nuveen Build America Bond Fund Nuveen Insured	67074C103	NBB	11/16/2011	1.2	Elect David Kundert	Mgmt Mgmt	For For	For 99%Withholo 1% 99%Withholo 1% For 99%Withholo 1%
Nuveen Build America Bond Fund Nuveen Build America Bond Fund Nuveen Insured Municipal	67074C103 67074C103	NBB NBB	11/16/2011 11/16/2011	1.2 1.3	Elect David Kundert Elect Terence Toth	Mgmt Mgmt Mgmt	For For For	For 99%Withholo 1% For 99%Withholo 1% For 99%Withholo 1% For 96%
Nuveen Build America Bond Fund Nuveen Build America Bond Fund Nuveen Insured Municipal Opportunity Fund	67074C103 67074C103	NBB	11/16/2011	1.2	Elect David Kundert	Mgmt Mgmt	For For	For 99%Withholo 1% 99%Withholo 1% For 99%Withholo 1%
Nuveen Build America Bond Fund Nuveen Build America Bond Fund Nuveen Insured Municipal Opportunity Fund Nuveen Insured	67074C103 67074C103	NBB NBB	11/16/2011 11/16/2011	1.2 1.3	Elect David Kundert Elect Terence Toth	Mgmt Mgmt Mgmt	For For For	For 99%Withholo 1% For 99%Withholo 1% For 99%Withholo 1% For 96%
Nuveen Build America Bond Fund Nuveen Build America Bond Fund Nuveen Insured Municipal Opportunity Fund	67074C103 67074C103 670984103	NBB NBB	11/16/2011 11/16/2011	1.2 1.3	Elect David Kundert Elect Terence Toth Elect John Amboian	Mgmt Mgmt Mgmt	For For For	For 99%Withholo 1% For 99%Withholo 1% For 99%Withholo 1% For 96% Against 4%
Nuveen Build America Bond Fund Nuveen Build America Bond Fund Nuveen Insured Municipal Opportunity Fund Nuveen Insured Municipal Opportunity Fund	67074C103 67074C103 670984103	NBB NBB NIO	11/16/2011 11/16/2011 11/16/2011	1.2 1.3 1.1	Elect David Kundert Elect Terence Toth Elect John Amboian Elect Robert	Mgmt Mgmt Mgmt Mgmt	For For For	For 99%Withhole 1% For 99%Withhole 1% For 99%Withhole 1% For 96% Against 4%
Nuveen Build America Bond Fund Nuveen Build America Bond Fund Nuveen Insured Municipal Opportunity Fund Nuveen Insured Municipal Opportunity Fund Nuveen Insured Municipal	67074C103 67074C103 670984103 670984103	NBB NBB NIO NIO	11/16/2011 11/16/2011 11/16/2011 11/16/2011	<ol> <li>1.2</li> <li>1.3</li> <li>1.1</li> <li>1.2</li> </ol>	Elect David Kundert Elect Terence Toth Elect John Amboian Elect Robert Bremner	Mgmt Mgmt Mgmt Mgmt	For For For For	For 99%Withhole 1% For 99%Withhole 1% For 99%Withhole 1% For 96% Against 4% For 96% Against 4%
Nuveen Build America Bond Fund Nuveen Build America Bond Fund Nuveen Insured Municipal Opportunity Fund Nuveen Insured Municipal Opportunity Fund	67074C103 67074C103 670984103 670984103	NBB NBB NIO	11/16/2011 11/16/2011 11/16/2011	1.2 1.3 1.1	Elect David Kundert Elect Terence Toth Elect John Amboian Elect Robert	Mgmt Mgmt Mgmt Mgmt	For For For	For 99%Withhole 1% For 99%Withhole 1% For 99%Withhole 1% For 96% Against 4% For 96% Against 4%

Nuveen Insured								
Municipal								For 96%
Opportunity Fund	670984103	NIO	11/16/2011	1.4	Elect David Kundert	Mgmt	For	Against 4%
Nuveen Insured								
Municipal	(2000 4102		11/16/0011		Elect Judith		-	For 96%
Opportunity Fund	670984103	NIO	11/16/2011	1.5	Stockdale	Mgmt	For	Against 4%
Nuveen Insured								For 96%
Municipal Opportunity Fund	670984103	NIO	11/16/2011	1.6	Elect Carole Stone	Mamt	For	For 96% Against 4%
Nuveen Insured	0/0904105	MO	11/10/2011	1.0	Elect Calole Stolle	Mgmt	ГЛ	Agamsi 470
Municipal					Elect Virginia			For 96%
Opportunity Fund	670984103	NIO	11/16/2011	1.7	Stringer	Mgmt	For	Against 4%
Nuveen Insured	0.070				000	0		
Municipal								For 96%
Opportunity Fund	670984103	NIO	11/16/2011	1.8	Elect Terence Toth	Mgmt	For	Against 4%
					Elimination of			
Nuveen Insured					Fundamental			For 70%
Municipal					Investment Policy			Against 5%
Opportunity Fund	670984103	NIO	11/16/2011	2	Concerning Loans	Mgmt	For	Abstain 25%
					Approval of New			
N					Fundamental			E 700
Nuveen Insured					Investment Objective			For 70%
Municipal Opportunity Fund	670984103	NIO	11/16/2011	3	Concerning Loans	Mgmt	For	Against 5% Abstain 25%
Nuveen Insured	070984105	NIO	11/10/2011	5	Concerning Loans	wight	FUI	AUStaill 23%
Quality Municipal								For 97%
Fund	67062N103	NQI	11/16/2011	1.1	Elect John Amboian	Mgmt	For	Withhold 3%
Nuveen Insured						8		
Quality Municipal					Elect Robert			For 97%
Fund	67062N103	NQI	11/16/2011	1.2	Bremner	Mgmt	For	Withhold 3%
Nuveen Insured						-		
Quality Municipal								For 97%
Fund	67062N103	NQI	11/16/2011	1.3	Elect Jack Evans	Mgmt	For	Withhold 3%
Nuveen Insured								
Quality Municipal	(20 (2) 11 02	NOT						For 97%
Fund	67062N103	NQI	11/16/2011	1.4	Elect David Kundert	Mgmt	For	Withhold 3%
Nuveen Insured					Elect Judith			Ear 070
Quality Municipal Fund	67062N103	NQI	11/16/2011	1.5	Elect Judith Stockdale	Mgmt	For	For 97% Withhold 3%
Nuveen Insured	0700211103	NQI	11/10/2011	1.5	Stockuale	Wight	FUI	
Quality Municipal								For 97%
Fund	67062N103	NQI	11/16/2011	1.6	Elect Carole Stone	Mgmt	For	Withhold 3%
Nuveen Insured	0,0021(100		11,10,2011	110			1.01	() Iunioia e /
Quality Municipal					Elect Virginia			For 97%
Fund	67062N103	NQI	11/16/2011	1.7	Stringer	Mgmt	For	Withhold 3%
Nuveen Insured		-			-	-		
Quality Municipal								For 97%
Fund	67062N103	NQI	11/16/2011	1.8	Elect Terence Toth	Mgmt	For	Withhold 3%
Nuveen Insured	67062N103	NQI	11/16/2011	2	Elimination of	Mgmt	For	For 53%
Quality Municipal					Fundamental			Against
Fund					Investment Policy			4%Abstain 47

					Concerning Loans Approval of New Fundamental			
Nuveen Insured					Investment			For 53%
Quality Municipal					Objective			Against
Fund	67062N103	NQI	11/16/2011	3	Concerning Loans	Mgmt	For	4%Abstain 47
Nuveen Insured								
Tax-Free								
Advantage								For 98%
Municipal Fund	670657105	NEA	11/16/2011	1.1	Elect John Amboian	Mgmt	For	Withhold 2%
Nuveen Insured								
Tax-Free								For
Advantage	(70(57105		11/1//0011	1.0		Manut	<b>F</b> <sub>2</sub> , ,	98%Withhole
Municipal Fund Nuveen Insured	670657105	NEA	11/16/2011	1.2	Elect David Kundert	Mgmt	For	2%
Tax-Free								For
Advantage								98%Withhole
Municipal Fund	670657105	NEA	11/16/2011	1.3	Elect Terence Toth	Mgmt	For	2%
Nuveen Insured	0/000/100	1,111	11,10,2011	110	Elimination of	111gillit	1 01	270
Tax-Free					Fundamental			For 46%
Advantage					Investment Policy			Against
Municipal Fund	670657105	NEA	11/16/2011	2	Concerning Loans	Mgmt	For	3%Abstain 51
					Approval of New			
Nuveen Insured					Fundamental			
Tax-Free					Investment			For 46%
Advantage					Objective		_	Against
Municipal Fund	670657105	NEA	11/16/2011	3	Concerning Loans	Mgmt	For	3%Abstain 51
								For
Nuveen Municipal			11/16/2011	1 1	Elect John Ambaian	Manut	Ean	98%Withhole
Income Fund	67062J102	NMI	11/16/2011	1.1	Elect John Amboian	Mgmt	For	2% For
Nuveen Municipal								98%Withhole
Income Fund	67062J102	NMI	11/16/2011	1.2	Elect David Kundert	Mgmt	For	2%
income i una	070023102	1 (1)11	11/10/2011	1.2	Lieet Duvid Rundert	Might	1.01	For
Nuveen Municipal								98%Withhole
Income Fund	67062J102	NMI	11/16/2011	1.3	Elect Terence Toth	Mgmt	For	2%
					Elimination of	e		
					Fundamental			For 49%
Nuveen Municipal					Investment Policy			Against
Income Fund	67062J102	NMI	11/16/2011	2	Concerning Loans	Mgmt	For	3%Abstain 48
					Approval of New			
					Fundamental			
					Investment			For 49%
Nuveen Municipal			11/1//0011	2	Objective		Б	Against
Income Fund Nuveen Select	67062J102	NMI	11/16/2011	3	Concerning Loans	Mgmt	For	3%Abstain 48 For
Maturities								98.5%Withho
Municipal Fund	67061T101	NIM	11/16/2011	1.1	Elect John Amboian	Mgmt	For	98. <i>3%</i> Withino 1.5%
Nuveen Select	5,0011101	1 11111	11,10,2011	1.1		1118111	1.01	For
Maturities								98.4%Withho
Municipal Fund	67061T101	NIM	11/16/2011	1.2	Elect David Kundert	Mgmt	For	1.6%
L C						C		

	- J - J -							
Nuveen Select Maturities								For 98.4%Withho
Municipal Fund	67061T101	NIM	11/16/2011	1.3	Elect Terence Toth Elimination of	Mgmt	For	1.6%
Nuveen Select					Fundamental			For 43%
Maturities	67061T101	NIM	11/16/2011	2	Investment Policy	Mamt	For	Against 3%Abstain 54
Municipal Fund	070011101	INIIVI	11/10/2011	2	Concerning Loans Approval of New Fundamental	Mgmt	FOF	5%Abstam 54
Nuveen Select					Investment			For 43%
Maturities					Objective			Against
Municipal Fund Nuveen Select Tax	67061T101 K	NIM	11/16/2011	3	Concerning Loans	Mgmt	For	3%Abstain 54 For
Free Income	(=0(0))		11/1/2011					98%Withhol
Portfolio	67063X100	NXR	11/16/2011	1.1	Elect John Amboian	Mgmt	For	2%
Nuveen Select Tax Free Income	K							For 98%Withhold
Portfolio	67063X100	NXR	11/16/2011	1.2	Elect David Kundert	Mgmt	For	98% <b>W</b> 1011010 2%
Nuveen Select Tax		INAK	11/10/2011	1.2	Licet David Kuldert	Wigint	1.01	For
Free Income	x							98%Withhold
Portfolio	67063X100	NXR	11/16/2011	1.3	Elect Terence Toth Elimination of	Mgmt	For	2%
Nuveen Select Tax	X				Fundamental			For 50%
Free Income					<b>Investment Policy</b>			Against 3%
Portfolio	67063X100	NXR	11/16/2011	2	Concerning Loans Approval of New Fundamental	Mgmt	For	Abstain 47%
Nuveen Select Tax	<i>v</i>				Investment			For 50%
Free Income	X .				Objective			Against 3%
Portfolio	67063X100	NXR	11/16/2011	3	Concerning Loans	Mgmt	For	Abstain 47%
				-	8	8		For
					Elect Louis			97%Withhole
HELIOS FUNDS WELLS FARGO	42328A203	HAV	11/17/2011	1.1	Salvatore	Mgmt	For	3%
ADVANTAGE								For 96%
FUNDS WELLS FARGO	94987E109	ERH	12/12/2011	1.1	Elect Isiah Harris	Mgmt	For	Withhold 49
ADVANTAGE								For 96%
FUNDS WELLS FARGO	94987E109	ERH	12/12/2011	1.2	Elect David Larcker	Mgmt	For	Withhold 49
ADVANTAGE					Elect Olivia			For 96%
FUNDS BlackRock	94987E109	ERH	12/12/2011	1.3	Mitchell	Mgmt	For	Withhold 49
Enhanced								For 86%
Dividend								Against 109
Achievers Trust BlackRock	09251A104	BDJ	12/22/2011	1	Reorganization	Mgmt	For	Abstain 4%
Enhanced								For 86%
Dividend		_			Issuance of			Against 10%
Achievers Trust	09251A104	BDJ	12/22/2011	2	Common Stock	Mgmt	For	Abstain 4%
	746909100	PIM	1/26/2012	1.1	Elect Ravi Akhoury	Mgmt	For	For 95%

Putnam Master Intermediate								Against 5%
Income Trust								
Putnam Master								
Intermediate					Elect Barbara			For 96%
Income Trust	746909100	PIM	1/26/2012	1.2	Baumann	Mgmt	For	Against 4%
Putnam Master			·			U		0
Intermediate					Elect Jameson			For 96%
Income Trust	746909100	PIM	1/26/2012	1.3	Baxter	Mgmt	For	Against 4%
Putnam Master						-		-
Intermediate								For 95%
Income Trust	746909100	PIM	1/26/2012	1.4	Elect Charles Curtis	Mgmt	For	Against 5%
Putnam Master								
Intermediate					Elect Robert		_	For 96%
Income Trust	746909100	PIM	1/26/2012	1.5	Darretta	Mgmt	For	Against 4%
Putnam Master								<b>T</b> 0.67
Intermediate	746000100		1/06/0010	1.0	T1 ( 1 1 11'11		F	For 96%
Income Trust	746909100	PIM	1/26/2012	1.6	Elect John Hill	Mgmt	For	Against 4%
Putnam Master								
Intermediate	746000100	DIM	1/06/0010	17		Manut	E	For 96%
Income Trust	746909100	PIM	1/26/2012	1.7	Elect Paul Joskow	Mgmt	For	Against 4%
Putnam Master Intermediate					Elect Elizabeth			For 95%
Income Trust	746909100	PIM	1/26/2012	1.8	Kennan	Mamt	For	
Putnam Master	/40909100	PIN	1/20/2012	1.0	Kennan	Mgmt	For	Against 5%
Intermediate					Elect Kenneth			For 96%
Income Trust	746909100	PIM	1/26/2012	1.9	Leibler	Mgmt	For	Against 4%
Putnam Master	740707100	1 1111	1/20/2012	1.7	Leibier	wight	1.01	rigamst +/c
Intermediate					Elect George			For 95%
Income Trust	746909100	PIM	1/26/2012	1.10	Putnam, III	Mgmt	For	Against 5%
Putnam Master						8		8
Intermediate					Elect Robert			For 96%
Income Trust	746909100	PIM	1/26/2012	1.11	Patterson	Mgmt	For	Against 4%
Putnam Master						C		C
Intermediate					Elect Robert			For 96%
Income Trust	746909100	PIM	1/26/2012	1.12	Reynolds	Mgmt	For	Against 4%
Putnam Master								
Intermediate					Elect W. Thomas			For 96%
Income Trust	746909100	PIM	1/26/2012	1.13	Stephens	Mgmt	For	Against 4%
Putnam Premier								For 96%
Income Trust	746853100	PPT	1/26/2012	1.1	Elect Ravi Akhoury	Mgmt	For	Against 4%
Putnam Premier					Elect Barbara		-	For 96%
Income Trust	746853100	PPT	1/26/2012	1.2	Baumann	Mgmt	For	Against 4%
Putnam Premier	746952100	DDT	1/06/0010	1.2	Elect Jameson		г	For 96%
Income Trust	746853100	PPT	1/26/2012	1.3	Baxter	Mgmt	For	Against 4%
Putnam Premier	746952100	DDT	1/26/2012	1 /	Elast Charles Curtis	Mamt	Ear	For 96%
Income Trust	746853100	PPT	1/26/2012	1.4	Elect Charles Curtis	Mgmt	For	Against 4%
Putnam Premier Income Trust	746852100	ррт	1/26/2012	15	Elect Robert	Mamt	Ec.	For 96%
Putnam Premier	746853100	PPT	1/26/2012	1.5	Darretta	Mgmt	For	Against 4% For 96%
Income Trust	746853100	PPT	1/26/2012	1.6	Elect John Hill	Mgmt	For	For 96% Against 4%
meome rrust	10055100	111	112012012	1.0		wigint	1.01	ngamsi 4%

Putnam Premier								For 96%
Income Trust	746853100	PPT	1/26/2012	1.7	Elect Paul Joskow	Mgmt	For	Against 4%
Putnam Premier					Elect Elizabeth			For 96%
Income Trust	746853100	PPT	1/26/2012	1.8	Kennan	Mgmt	For	Against 4%
Putnam Premier					Elect Kenneth			For 96%
Income Trust	746853100	PPT	1/26/2012	1.9	Leibler	Mgmt	For	Against 4%
Putnam Premier					Elect George			For 96%
Income Trust	746853100	PPT	1/26/2012	1.10	Putnam, III	Mgmt	For	Against 4%
Putnam Premier					Elect Robert			For 96%
Income Trust	746853100	PPT	1/26/2012	1.11	Patterson	Mgmt	For	Against 4%
Putnam Premier					Elect Robert		_	For 96%
Income Trust	746853100	PPT	1/26/2012	1.12	Reynolds	Mgmt	For	Against 4%
Putnam Premier					Elect W. Thomas		-	For 96%
Income Trust	746853100	PPT	1/26/2012	1.13	Stephens	Mgmt	For	Against 4%
					<b>F</b> 1 ( <b>X</b> 7'11'			For
LMP Corporate	50200D 100	TII	1/07/0010	1 1	Elect William	Manat	<b>F</b>	98%Withhole
Loan Fund Inc	50208B100	TLI	1/27/2012	1.1	Hutchinson	Mgmt	For	2%
					Elect Leorusla			For
LMP Corporate	50208B100	TII	1/27/2012	1.2	Elect Jeswald	Manat	Ear	98%Withhole
Loan Fund Inc	30208B100	TLI	1/27/2012	1.2	Salacuse	Mgmt	For	2%
Western Asset Variable Rate								For 92%
Strategic Fund Inc	057667108	GFY	1/27/2012	1.1	Elect Carol Colman	Mgmt	For	Against 8%
Western Asset	937007108	UPI	1/2//2012	1.1	Elect Carol Collitali	wigini	101	Against 676
Variable Rate								For 92%
Strategic Fund Inc	957667108	GFY	1/27/2012	1.2	Elect Daniel Cronin	Mgmt	For	Against 8%
Becton, Dickinson	257007100	011	1/2//2012	1.2	Elect Basil	wight	1.01	riguinst 070
And Co.	075887109	BDX	1/31/2012	1	Anderson	Mgmt	For	For
Becton, Dickinson	0/200/10/	DDII	1,01,2012	1	Elect Henry Becton,	111gille	1 01	1 01
And Co.	075887109	BDX	1/31/2012	2	Jr.	Mgmt	For	For
Becton, Dickinson					Elect Edward	8		
And Co.	075887109	BDX	1/31/2012	3	DeGraan	Mgmt	For	For
Becton, Dickinson					Elect Vincent	U		
And Co.	075887109	BDX	1/31/2012	4	Forlenza	Mgmt	For	For
Becton, Dickinson					Elect Claire	U		
And Co.	075887109	BDX	1/31/2012	5	Fraser-Liggett	Mgmt	For	For
Becton, Dickinson					Elect Christopher	-		
And Co.	075887109	BDX	1/31/2012	6	Jones	Mgmt	For	For
Becton, Dickinson					Elect Marshall			
And Co.	075887109	BDX	1/31/2012	7	Larsen	Mgmt	For	For
Becton, Dickinson					Elect Edward			
And Co.	075887109	BDX	1/31/2012	8	Ludwig	Mgmt	For	For
Becton, Dickinson					Elect Adel			
And Co.	075887109	BDX	1/31/2012	9	Mahmoud	Mgmt	For	For
Becton, Dickinson					Elect Gary			
And Co.	075887109	BDX	1/31/2012	10	Mecklenburg	Mgmt	For	For
Becton, Dickinson								
And Co.	075887109	BDX	1/31/2012	11	Elect James Orr	Mgmt	For	For
Becton, Dickinson					Elect Willard			
And Co.	075887109	BDX	1/31/2012	12	Overlock, Jr.	Mgmt	For	For
	075887109	BDX	1/31/2012	13	Elect Bertram Scott	Mgmt	For	For

Becton, Dickinson								
And Co. Becton, Dickinson					Elect Alfred			
And Co.	075887109	BDX	1/31/2012	14	Sommer	Mgmt	For	For
Becton, Dickinson					Ratification of	0		
And Co.	075887109	BDX	1/31/2012	15	Auditor	Mgmt	For	For
<b>N</b> 11					Advisory Vote on			
Becton, Dickinson		עחת	1/21/2012	14	Executive	Mant	E.a.	East
And Co.	075887109	BDX	1/31/2012	16	Compensation Shareholder	Mgmt	For	For
					Proposal Regarding			
Becton, Dickinson					Adoption of			
And Co.	075887109	BDX	1/31/2012	17	Cumulative Voting	ShrHoldr	Against	Against
Emerson Electric					Elect Carlos	_	_	_
Co.	291011104	EMR	2/7/2012	1.1	Fernandez Gonzalez	Mgmt	For	For
Emerson Electric Co.	291011104	EMR	2/7/2012	1.2	Elect Arthur Golden	Mgmt	For	Withhold
Co. Emerson Electric	29101110-	EIVIIN	21112012	1.4	Elect William	Wight	1.01	
Co.	291011104	EMR	2/7/2012	1.3	Johnson	Mgmt	For	For
Emerson Electric						C		
Co.	291011104	EMR	2/7/2012	1.4	Elect John Menzer	Mgmt	For	For
Emerson Electric	<b>*</b> *****		2/7/2010	1 5	Elect August Busch	•• •	-	Γ
Co. Emerson Electric	291011104	EMR	2/7/2012	1.5	III Elect Rozanne	Mgmt	For	For
Emerson Electric Co.	291011104	EMR	2/7/2012	1.6	Ridgway	Mgmt	For	For
0.	<i>27</i> 101110.	Lavia	41114012	1.0	Advisory Vote on	14181111	1.01	1 01
Emerson Electric					Executive			
Co.	291011104	EMR	2/7/2012	2	Compensation	Mgmt	For	For
Emerson Electric				-	Ratification of	_		_
Co.	291011104	EMR	2/7/2012	3	Auditor	Mgmt	For	For
					Shareholder Proposal Regarding			
Emerson Electric					Sustainability			
Co.	291011104	EMR	2/7/2012	4	Report	ShrHoldr	Against	Against
	-				Shareholder		C	C
					Proposal Regarding			
Emerson Electric	20101110/	<u></u> (D	2/2/2012	E	Declassification of	01 II-14.	A	E-z
Co. WELLS FARGO	291011104	EMR	2/7/2012	5	the Board	ShrHoldr	Against	For
ADVANTAGE								For 98%
FUNDS	94987E109	ERC	2/13/2012	1.1	Elect Judith Johnson	Mgmt	For	Withhold 29
WELLS FARGO	-		·			C		
ADVANTAGE		~		_	Elect Leroy Keith,			For 98%
FUNDS	94987E109	ERC	2/13/2012	1.2	Jr.	Mgmt	For	Withhold 29
WELLS FARGO ADVANTAGE					Elect Donald			For 98%
FUNDS	94987E109	ERC	2/13/2012	1.3	Willeke	Mgmt	For	For 98% Withhold 29
WELLS FARGO	J17012-0.	L		1	W more	1118		· · · · · · · · · · · · · · · · · · ·
ADVANTAGE								For 98%
FUNDS	94987E109	EOD	2/13/2012	1.1	Elect Judith Johnson	e	For	Withhold 29
	94987E109	EOD	2/13/2012	1.2		Mgmt	For	For 98%

	0 0							
WELLS FARGO ADVANTAGE FUNDS					Elect Leroy Keith, Jr.			Withhold 29
WELLS FARGO								
ADVANTAGE					Elect Donald			For 98%
FUNDS	94987E109	EOD	2/13/2012	1.3	Willeke	Mgmt	For	Withhold 29
Eaton Vance Tax	070001100	FTO	2/24/2012	1 1			Г	For 98%
Advantage Eaton Vance Tax	27828U106	ETO	2/24/2012	1.1	Elect Scott Eston Elect Thomas Faust,	Mgmt	For	Withhold 29 For 98%
Advantage	27828U106	ETO	2/24/2012	1.2	Jr.	Mgmt	For	Withhold 29
Eaton Vance Tax	270200100	LIC	2/2 1/2012	1.2	51.	Mgint	1.01	For 98%
Advantage	27828U106	ETO	2/24/2012	1.3	Elect William Park	Mgmt	For	Withhold 29
Eaton Vance Tax					Elect Harriett			For 98%
Advantage	27828U106	ETO	2/24/2012	1.4	Taggart	Mgmt	For	Withhold 29
Nuveen Floating Rate Income								For 97%Withhole
Opportunity Fund	6706EN100	JRO	3/14/2012	1.1	Elect John Amboian	Mgmt	For	3%
Nuveen Floating	0700111100	JICO	5/14/2012	1.1	Licet John 7 millionan	Mgmit	101	For
Rate Income								97%Withhole
Opportunity Fund	6706EN100	JRO	3/14/2012	1.2	Elect David Kundert	Mgmt	For	3%
Nuveen Floating								For
Rate Income		ID O	2/1//2012	1.0				97%Withhole
Opportunity Fund Nuveen	6706EN100	JRO	3/14/2012	1.3	Elect Terence Toth	Mgmt	For	3%
Tax-Advantaged								
Floating Rate								For 97%
Fund	6706EV102	JFP	3/14/2012	1.1	Elect John Amboian	Mgmt	For	Withhold 3%
Nuveen								
Tax-Advantaged								
Floating Rate Fund	6706EV102	JFP	3/14/2012	1.2	Elast David Kundort	Mamt	For	For 97% Withhold 3%
Nuveen	0700E v 102	JLL	5/14/2012	1.2	Elect David Kundert	wight	FOI	withinoid 5%
Tax-Advantaged								
Floating Rate								For 97%
Fund	6706EV102	JFP	3/14/2012	1.3	Elect Terence Toth	Mgmt	For	Withhold 3%
								For
Petroleum &	716540100	DEO	3/20/2012	1 1	Elast Enrique Arzos	Mamt	For	93%Withhole 7%
Resources Corp	716549100	PEO	5/20/2012	1.1	Elect Enrique Arzac	Mgmt	For	For
Petroleum &					Elect Phyllis			94%Withhole
Resources Corp	716549100	PEO	3/20/2012	1.2	Bonanno	Mgmt	For	6%
-						-		For
Petroleum &								94%Withhole
Resources Corp	716549100	PEO	3/20/2012	1.3	Elect Kenneth Dale	Mgmt	For	6% F
Petroleum &					Elect Daniel			For 93%Withhole
Resources Corp	716549100	PEO	3/20/2012	1.4	Emerson	Mgmt	For	93% Withhon 7%
income of the	, 100 19100		2,20,2012	1.1				For
Petroleum &					Elect Frederic			94%Withhole
Resources Corp	716549100	PEO	3/20/2012	1.5	Escherich	Mgmt	For	6%
	716549100	PEO	3/20/2012	1.6	Elect Roger Gale	Mgmt	For	

Petroleum & **Resources** Corp

Petroleum &								For
Resources Corp								94%Withhole
*								6%
								For
Petroleum &					Elect Kathleen			94%Withhole
Resources Corp	716549100	PEO	3/20/2012	1.7	McGahran	Mgmt	For	6%
1						U		For
Petroleum &								94%Withhole
Resources Corp	716549100	PEO	3/20/2012	1.8	Elect Douglas Ober	Mgmt	For	6%
ſ		-				6		For
Petroleum &								94%Withhole
Resources Corp	716549100	PEO	3/20/2012	1.9	Elect Craig Smith	Mgmt	For	6%
1					U	U		For 98%
Petroleum &					Ratification of			Against
Resources Corp	716549100	PEO	3/20/2012	2	Auditor	Mgmt	For	1%Abstain 19
ſ		-				6		For 84%
Aberdeen Funds	00301L109	ISL	3/22/2012	1.1	Elect Enrique Arzac	Mgmt	For	Against 16%
						8		For
								98%Withhole
Eaton Vance	278279104	EFT	3/23/2012	1.1	Elect Scott Eston	Mgmt	For	2%
						8		For
								98%Withhole
Eaton Vance	278279104	EFT	3/23/2012	1.2	Elect William Park	Mgmt	For	2%
						0		For
					Elect Ronald			97%Withhole
Eaton Vance	278279104	EFT	3/23/2012	1.3	Pearlman	Mgmt	For	3%
						0		For
					Elect Harriett Tee			98%Withhole
Eaton Vance	278279104	EFT	3/23/2012	1.4	Taggart	Mgmt	For	2%
Nuveen Equity					66	U		For
Premium					Elect Robert			97%Withhole
Advantage Fund	6706ET107	JLA	3/30/2012	1.1	Bremner	Mgmt	For	3%
Nuveen Equity						C		For
Premium								97%Withhole
Advantage Fund	6706ET107	JLA	3/30/2012	1.2	Elect Jack Evans	Mgmt	For	3%
Nuveen Equity						C		For
Premium					Elect William			97%Withhole
Advantage Fund	6706ET107	JLA	3/30/2012	1.3	Schneider	Mgmt	For	3%
Nuveen Equity						-		For
Premium and					Elect Robert			98%Withhole
Growth Fund	6706EW100	JPG	3/30/2012	1.1	Bremner	Mgmt	For	2%
Nuveen Equity						-		For
Premium and								98%Withhole
Growth Fund	6706EW100	JPG	3/30/2012	1.2	Elect Jack Evans	Mgmt	For	2%
Nuveen Equity						-		For
Premium and					Elect William			98%Withhole
Growth Fund	6706EW100	JPG	3/30/2012	1.3	Schneider	Mgmt	For	2%
Nuveen Equity								For
Premium Income					Elect Robert			98%Withhole
Fund	6706ER101	JPZ	3/30/2012	1.1	Bremner	Mgmt	For	2%
	6706ER101	JPZ	3/30/2012	1.2	Elect Jack Evans	Mgmt	For	

For

	0 0							
Nuveen Equity								For
Premium Income								98%Withhole
Fund Nuwcon Equity								2% Ear
Nuveen Equity Premium Income					Elect William			For 98%Withhole
Fund	6706ER101	JPZ	3/30/2012	1.3	Schneider	Mgmt	For	98% w tullion 2%
Nuveen Equity	070021101	JIZ	5/50/2012	1.5	Schlichder	wight	1.01	For
Premium					Elect Robert			98%Withhole
Opportunity Fund	6706EM102	JSN	3/30/2012	1.1	Bremner	Mgmt	For	2%
Nuveen Equity						0		For
Premium								98%Withhole
Opportunity Fund	6706EM102	JSN	3/30/2012	1.2	Elect Jack Evans	Mgmt	For	2%
Nuveen Equity								For
Premium					Elect William			98%Withhole
Opportunity Fund	6706EM102	JSN	3/30/2012	1.3	Schneider	Mgmt	For	2%
Nuveen Global								T
Government Enhanced Income					Elect Debert			For
	67073C104	JGG	3/30/2012	1 1	Elect Robert	Mamt	For	98%Withhole 2%
Fund Nuveen Global	0/0/3C104	100	5/50/2012	1.1	Bremner	Mgmt	FOI	2%
Government								For
Enhanced Income								98%Withhole
Fund	67073C104	JGG	3/30/2012	1.2	Elect Jack Evans	Mgmt	For	2%
Nuveen Global						0		
Government								For
Enhanced Income					Elect William			98%Withhole
Fund	67073C104	JGG	3/30/2012	1.3	Schneider	Mgmt	For	2%
								For
General American					Elect Rodney		_	95.5%Withho
Investors Co., Inc.	368802104	GAM	4/11/2012	1.1	Berens	Mgmt	For	4.5%
								For
General American	260002104	GAM	4/11/2012	1.2	Elect Lewis Cullman	Mamt	For	95.5%Withho 4.5%
Investors Co., Inc.	308802104	UAM	4/11/2012	1.2	Cumman	Mgmt	FUI	4.3% For
General American					Elect Spencer			95.5%Withho
Investors Co., Inc.	368802104	GAM	4/11/2012	1.3	Davidson	Mgmt	For	4.5%
	200002101	01101	W11/2012	110	Duriuson	101gille	101	For
General American					Elect Gerald			95.5%Withho
Investors Co., Inc.	368802104	GAM	4/11/2012	1.4	Edelman	Mgmt	For	4.5%
						-		For
General American					Elect John Gordan,			95.5%Withho
Investors Co., Inc.	368802104	GAM	4/11/2012	1.5	III	Mgmt	For	4.5%
								For
General American		<b><i><u><u></u></u></i> (</b> ) <b>(</b>			Elect Betsy		-	95.5%Withho
Investors Co., Inc.	368802104	GAM	4/11/2012	1.6	Gotbaum	Mgmt	For	4.5%
Concerl Amoricon								For
General American Investors Co., Inc.	368802104	GAM	4/11/2012	1.7	Elect Sidney Knafel	Mgmt	For	95.5%Withho 4.5%
	300002104	UAM	4/11/2012	1./	Elect Stuncy Khatel	wight	I'UI	4.3% For
General American								95.5%Withho
Investors Co., Inc.	368802104	GAM	4/11/2012	1.8	Elect Daniel Neidich	Mgmt	For	4.5%
						0		

General American Investors Co., Inc.	368802104	GAM	4/11/2012	1.9	Elect D. Ellen Shuman	Mgmt	For	For 95.5%Withho 4.5% For 98.9%Agains
General American Investors Co., Inc. United	368802104	GAM	4/11/2012	2	Ratification of Auditor	Mgmt	For	0.6%Abstain 0.5%
Technologies Corp. United	913017109	UTX	4/11/2012	1	Elect Louis Chenevert	Mgmt	For	For
Technologies Corp. United	913017109	UTX	4/11/2012	2	Elect John Faraci	Mgmt	For	For
Technologies Corp. United	913017109	UTX	4/11/2012	3	Elect Jean-Pierre Garnier	Mgmt	For	For
Technologies Corp. United	913017109	UTX	4/11/2012	4	Elect Jamie Gorelick	Mgmt	For	For
Technologies Corp. United	913017109	UTX	4/11/2012	5	Elect Edward Kangas	Mgmt	For	For
Technologies Corp. United	913017109	UTX	4/11/2012	6	Elect Ellen Kullman	Mgmt	For	For
Technologies Corp. United	913017109	UTX	4/11/2012	7	Elect Richard McCormick	Mgmt	For	For
Technologies Corp. United	913017109	UTX	4/11/2012	8	Elect Harold McGraw III	Mgmt	For	For
Technologies Corp. United	913017109	UTX	4/11/2012	9	Elect Richard Myers	Mgmt	For	For
Technologies Corp. United	913017109	UTX	4/11/2012	10	Elect H. Patrick Swygert	Mgmt	For	For
Technologies Corp. United	913017109	UTX	4/11/2012	11	Elect Andre Villeneuve	Mgmt	For	For
Technologies Corp. United	913017109	UTX	4/11/2012	12	Elect Christine Whitman	Mgmt	For	For
Technologies Corp. United	913017109	UTX	4/11/2012	13	Ratification of Auditor Advisory Vote on	Mgmt	For	For
Technologies Corp. Columbia	913017109	UTX	4/11/2012	14	Executive Compensation	Mgmt	For	For
Management	19842X109	STK	4/12/2012	1.1	Elect Kathleen Blatz	Mgmt	For	For 100%

								For
Columbia		~~~~			Elect Pamela		_	99%Withhole
Management	19842X109	STK	4/12/2012	1.2	Carlton	Mgmt	For	1%
								For
Columbia	100403/100	OTT	4/10/0010	1.0	Elect Alison		Б	99%Withhole
Management	19842X109	STK	4/12/2012	1.3	Taunton-Rigby	Mgmt	For	1%
Columbia	100403/100	OTT	4/10/0010	2	Ratification of		г	F 1000
Management	19842X109	STK	4/12/2012	2	Auditor	Mgmt	For	For 100%
First Trust Active					Elect Dishand			For
Dividend Income Fund.	33731L100	FAV	4/18/2012	1.1	Elect Richard Erickson	Mamt	For	95.2%Withho 4.8%
First Trust Active	55751L100	ГАУ	4/16/2012	1.1	ETICKSOII	Mgmt	гог	4.8% For
Dividend Income					Elect Thomas			95.2%Withho
Fund.	33731L100	FAV	4/18/2012	1.2	Kadlec	Mgmt	For	4.8%
First Trust	557511100	1111	1/10/2012	1,2	Rudiee	Mann	1.01	For
Enhanced Equity					Elect Richard			97.4%Withho
Income Fund	337318109	FFA	4/18/2012	1.1	Erickson	Mgmt	For	2.6%
First Trust								For
Enhanced Equity					Elect Thomas			97.4%Withho
Income Fund	337318109	FFA	4/18/2012	1.2	Kadlec	Mgmt	For	2.6%
						e		For
FIRST TRUST					Elect Richard			98.4%Withho
FUND	33731K102	FEO	4/18/2012	1.1	Erickson	Mgmt	For	1.6%
								For
FIRST TRUST					Elect Thomas			98.4%Withho
FUND	33731K102	FEO	4/18/2012	1.2	Kadlec	Mgmt	For	1.6%
First Trust								
Specialty Finance								
& Financial								For
Opportunities	005000100	EGD	4/10/2010		Elect Richard		F	99%Withhole
Fund	33733G109	FGB	4/18/2012	1.1	Erickson	Mgmt	For	1%
First Trust								
Specialty Finance								<b>F</b>
& Financial					Elect Thomas			For 99%Withhole
Opportunities Fund	33733G109	FGB	4/18/2012	1.2	Kadlec	Mgmt	For	99% Withhon 1%
Eaton Vance	337330109	FOD	4/10/2012	1.2	Kaulee	wigini	1'01	For
Enhanced Equity								95%Withhole
Income Fund II	278277108	EOS	4/20/2012	1.1	Elect William Park	Mgmt	For	5%
Eaton Vance	270277100	LOD	1/20/2012	1.1		mgiin	1.01	For
Enhanced Equity					Elect Ronald			95%Withhole
Income Fund II	278277108	EOS	4/20/2012	1.2	Pearlman	Mgmt	For	5%
Eaton Vance						0		For
Enhanced Equity								95%Withhole
Income Fund II	278277108	EOS	4/20/2012	1.3	Elect Helen Peters	Mgmt	For	5%
Eaton Vance						-		For
Enhanced Equity								95%Withhole
Income Fund II	278277108	EOS	4/20/2012	1.4	Elect Scott Eston	Mgmt	For	5%
Eaton Vance								For
Enhanced Equity					Elect Harriet			95%Withhole
Income Fund II	278277108	EOS	4/20/2012	1.5	Taggart	Mgmt	For	5%

								Ear
EATON VANCE FUNDS	27829M103	EXD	4/20/2012	1.1	Elect Scott Eston	Mgmt	For	For 96.7%Withho 3.3% For
EATON VANCE FUNDS	27829M103	EXD	4/20/2012	1.2	Elect William Park	Mgmt	For	96.7%Withho 3.3% For
EATON VANCE FUNDS	27829M103	EXD	4/20/2012	1.3	Elect Ronald Pearlman	Mgmt	For	96.7%Withho 3.3% For
EATON VANCE FUNDS Eaton Vance	27829M103	EXD	4/20/2012	1.4	Elect Harriet Taggart	Mgmt	For	96.7%Withho 3.3%
Risk-Managed Diversified Equity Income Fund Eaton Vance	27829G106	ETJ	4/20/2012	1.1	Elect William Park	Mgmt	For	For 85.8%Withho 14.2%
Risk-Managed Diversified Equity Income Fund Eaton Vance Pisk Managed	27829G106	ETJ	4/20/2012	1.2	Elect Ronald Pearlman	Mgmt	For	For 85.8%Withho 14.2% For
Risk-Managed Diversified Equity Income Fund Eaton Vance	27829G106	ETJ	4/20/2012	1.3	Elect Helen Peters	Mgmt	For	85.8%Withho 14.2%
Risk-Managed Diversified Equity Income Fund Eaton Vance	27829G106	ETJ	4/20/2012	1.4	Elect Scott Eston	Mgmt	For	For 85.8%Withho 14.2%
Risk-Managed Diversified Equity Income Fund Eaton Vance	27829G106	ETJ	4/20/2012	1.5	Elect Harriet Taggart	Mgmt	For	For 85.8%Withho 14.2%
Tax-Managed Buy-Write Income Fund Eaton Vance	27828X100	ETB	4/20/2012	1.1	Elect Scott Eston	Mgmt	For	For 98%Withhole 2%
Tax-Managed Buy-Write Income Fund Eaton Vance	27828X100	ETB	4/20/2012	1.2	Elect Benjamin Esty	Mgmt	For	For 98%Withhole 2%
Tax-Managed Buy-Write Income Fund Eaton Vance	e 27828X100	ETB	4/20/2012	1.3	Elect Thomas Faust, Jr.	Mgmt	For	For 98%Withhole 2%
Tax-Managed Buy-Write Income Fund Eaton Vance Tax-Managed Buy-Write Income	27828X100 27828X100	ETB ETB	4/20/2012 4/20/2012	1.4 1.5	Elect Allen Freedman Elect Harriett Tee Taggart	Mgmt Mgmt	For For	For 97%Withhold 3% For 98%Withhold 2%

Fund Eaton Vance Tax-Managed Buy-Write Opportunities Fund Eaton Vance	27828Y108	ETV	4/20/2012	1.1	Elect Scott Eston	Mgmt	For	For 98%Withholo 2%
Tax-Managed Buy-Write Opportunities Fund Eaton Vance Tax-Managed	27828Y108	ETV	4/20/2012	1.2	Elect Benjamin Esty	Mgmt	For	For 98%Withhole 2%
Buy-Write Opportunities Fund Eaton Vance Tax-Managed	27828Y108	ETV	4/20/2012	1.3	Elect Thomas Faust, Jr.	Mgmt	For	For 98%Withholo 2%
Buy-Write Opportunities Fund Eaton Vance Tax-Managed	27828Y108	ETV	4/20/2012	1.4	Elect Allen Freedman	Mgmt	For	For 97%Withhole 3%
Buy-Write Opportunities Fund Eaton Vance Tax-Managed Clobal Buy, Write	27828Y108	ETV	4/20/2012	1.5	Elect Harriett Tee Taggart	Mgmt	For	For 97%Withhold 3% For
Global Buy-Write Opportunities Fund Eaton Vance Tax-Managed Global Buy-Write	27829C105	ETW	4/20/2012	1.1	Elect Scott Eston	Mgmt	For	For 97%Withhole 3% For
Opportunities Fund Eaton Vance Tax-Managed Global Buy-Write	27829C105	ETW	4/20/2012	1.2	Elect Benjamin Esty	Mgmt	For	97%Withholo 3% For
Opportunities Fund Eaton Vance Tax-Managed Global Buy-Write	27829C105	ETW	4/20/2012	1.3	Elect Thomas Faust, Jr.	Mgmt	For	97%Withhole 3% For
Opportunities Fund Eaton Vance Tax-Managed Global Buy-Write	27829C105	ETW	4/20/2012	1.4	Elect Allen Freedman	Mgmt	For	97%Withhole 3% For
Opportunities Fund	27829C105	ETW	4/20/2012	1.5	Elect Harriet Taggart	Mgmt	For	97%Withhol 3%

Dividendend								For 89%
Dividend and Income Fund, Inc.	25538A105	DNI	4/24/2012	1	Reorganization	Mgmt	For	Against 9%Abstain 29 For
Dividend and Income Fund, Inc. International Business Machines		DNI	4/24/2012	2.1	Elect Bruce Huber	Mgmt	For	97%Withhole 3%
Corp. International	459200101	IBM	4/24/2012	1	Elect Alain Belda	Mgmt	For	Against
Business Machines Corp. International	459200101	IBM	4/24/2012	2	Elect William Brody	Mgmt	For	For
Business Machines Corp. International	459200101	IBM	4/24/2012	3	Elect Kenneth Chenault	Mgmt	For	For
Business Machines Corp. International	459200101	IBM	4/24/2012	4	Elect Michael Eskew	Mgmt	For	Against
Business Machines Corp. International	459200101	IBM	4/24/2012	5	Elect David Farr	Mgmt	For	For
Business Machines Corp. International	459200101	IBM	4/24/2012	6	Elect Shirley Jackson	Mgmt	For	For
Business Machines Corp. International	459200101	IBM	4/24/2012	7	Elect Andrew Liveris	Mgmt	For	Against
Business Machines Corp. International	459200101	IBM	4/24/2012	8	Elect W. James McNerney, Jr.	Mgmt	For	For
Business Machines Corp. International	459200101	IBM	4/24/2012	9	Elect James Owens	Mgmt	For	For
Business Machines Corp. International	459200101	IBM	4/24/2012	10	Elect Samuel Palmisano	Mgmt	For	For
Business Machines Corp. International	459200101	IBM	4/24/2012	11	Elect Virginia Rometty	Mgmt	For	For
Business Machines Corp. International	459200101	IBM	4/24/2012	12	Elect Joan Spero	Mgmt	For	For
Business Machines Corp. International	459200101	IBM	4/24/2012	13	Elect Sidney Taurel Elect Lorenzo	Mgmt	For	For
Business Machines Corp. International	459200101	IBM	4/24/2012	14	Hector Zambrano Trevino	Mgmt	For	Against
Business Machines Corp.	459200101	IBM	4/24/2012	15	Ratification of Auditor	Mgmt	For	For

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International					Advisory Vote on			
Business Machines Corp.	459200101	IBM	4/24/2012	16	Executive Compensation	Mgmt	For	For
International	439200101	IDM	4/24/2012	10	Shareholder	wigini	1.01	1.01
Business Machines	2				Proposal Regarding			
Corp.	459200101	IBM	4/24/2012	17	Cumulative Voting	ShrHoldr	Against	Against
corp.	139200101	IDIVI	1/2 1/2012	17	Shareholder	Shiriolai	riguinist	r iguilist
					Proposal Regarding			
International					Political			
<b>Business Machines</b>	5				Contributions and			
Corp.	459200101	IBM	4/24/2012	18	Expenditures Report	ShrHoldr	Against	Against
International					Shareholder			
<b>Business Machines</b>					Proposal Regarding			
Corp.	459200101	IBM	4/24/2012	19	Lobbying Report	ShrHoldr	Against	Against
Cohen & Steers								For
Global Income	1024024102	DID	4/06/0010	1 1	Elect George		F	95%Withhole
Builder Inc.	19248M103	INB	4/26/2012	1.1	Grossman	Mgmt	For	5% Ear
Cohen & Steers Global Income								For 95%Withhole
Builder Inc.	19248M103	INB	4/26/2012	1.2	Elect Robert Steers	Mgmt	For	93% <b>W</b> Itiliiolo 5%
Cohen & Steers	1924011103	IND	4/20/2012	1.2	Elect Robert Steels	wigini	1.01	For
Global Income					Elect C. Edward			95%Withhole
Builder Inc.	19248M103	INB	4/26/2012	1.3	Ward, Jr.	Mgmt	For	5%
Guggenheim						0		For 94%
Enhanced Equity					Elect Roman			Against
Strategy Fund	40167K100	GGE	4/26/2012	1	Friedrich III	Mgmt	For	5%Abstain 19
Guggenheim								For 94%
Enhanced Equity					Elect Ronald			Against
Strategy Fund	40167K100	GGE	4/26/2012	2	Nyberg	Mgmt	For	5%Abstain 19
Abbott	000004100		4/07/0010	1 1				T
Laboratories	002824100	ABT	4/27/2012	1.1	Elect Robert Alpern Elect Roxanne	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	1.2	Austin	Mgmt	For	For
Abbott	002824100	ADI	4/2//2012	1.2	Austin	Mgiin	1'01	1'01
Laboratories	002824100	ABT	4/27/2012	1.3	Elect Sally Blount	Mgmt	For	For
Abbott	002021100		1/2//2012	1.5	Elect W. James	mgiin	1 01	1 01
Laboratories	002824100	ABT	4/27/2012	1.4	Farrell	Mgmt	For	For
Abbott						U		
Laboratories	002824100	ABT	4/27/2012	1.5	Elect Edward Liddy	Mgmt	For	For
Abbott					Elect Nancy			
Laboratories	002824100	ABT	4/27/2012	1.6	McKinstry	Mgmt	For	Withhold
Abbott					Elect Phebe			
Laboratories	002824100	ABT	4/27/2012	1.7	Novakovic	Mgmt	For	For
Abbott	000004100		4/07/0010	1.0	Elect William			T
Laboratories	002824100	ABT	4/27/2012	1.8	Osborn	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	1.9	Elect Samuel Scott III	Mgmt	For	For
Abbott	002824100	ADI	4/2//2012	1.9	111	Mgiin	1'01	1'01
Laboratories	002824100	ABT	4/27/2012	1.10	Elect Glenn Tilton	Mgmt	For	For
Abbott	552621100		., _ , , _012	1.10	Liver Stehn Thron	1125111	1 01	1.01
Laboratories	002824100	ABT	4/27/2012	1.11	Elect Miles White	Mgmt	For	For
						-		

	0 0							
Abbott Laboratories	002824100	ABT	4/27/2012	2	Ratification of Auditor Advisory Vote on	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	3	Executive Compensation Shareholder	Mgmt	For	For
Abbott Laboratories	002824100	ABT	4/27/2012	4	Proposal Regarding Animal Welfare Shareholder	ShrHoldr	Against	Against
Abbott Laboratories	002824100	ABT	4/27/2012	5	Proposal Regarding Lobbying Report Shareholder	ShrHoldr	Against	For
Abbott Laboratories	002824100	ABT	4/27/2012	6	Proposal Regarding Independent Board Chair Shareholder Proposal Regarding	ShrHoldr	Against	For
Abbott Laboratories	002824100	ABT	4/27/2012	7	Tax Gross-Up Payments Shareholder	ShrHoldr	Against	For
Abbott Laboratories	002824100	ABT	4/27/2012	8	Proposal Regarding Retention of Shares Until Retirement Shareholder Proposal Regarding Excluding Excluding	ShrHoldr	Against	Against
Abbott Laboratories	002824100	ABT	4/27/2012	9	Compliance Costs for the Purposes of Executive Compensation Shareholder Proposal Regarding Compensation in the	ShrHoldr	Against	For
Abbott Laboratories	002824100	ABT	4/27/2012	10	Event of a Change of Control	ShrHoldr	Against	For For
India Fund Inc	454089103	IFN	4/27/2012	1.1	Elect Martin Gilbert	Mgmt	For	For 84%Withhold 16% For
India Fund Inc	454089103	IFN	4/27/2012	1.2	Elect Lawrence Becker	Mgmt	For	85%Withhol 15% For
India Fund Inc	454089103	IFN	4/27/2012	1.3	Elect Jeswald Salacuse	Mgmt	For	85%Withhol 15% For
India Fund Inc Lazard Global	454089103	IFN	4/27/2012	1.4	Elect Hugh Young	Mgmt	For	85%Withhol 15% For
Total Return and Income Fund Inc	52106W103	LGI	4/27/2012	1.1	Elect Charles Carroll	Mgmt	For	99%Withhol 1%

	0 0							
Lazard Global Total Return and								For 99%Withhole
Income Fund Inc Lazard Global	52106W103	LGI	4/27/2012	1.2	Elect Leon Pollack	Mgmt	For	1% For
Total Return and					Elect Robert			99%Withhole
Income Fund Inc	52106W103	LGI	4/27/2012	1.3	Solmson	Mgmt	For	1%
Lazard World								For
Dividend & Income Fund Inc	521076109	LOR	4/27/2012	1.1	Elect Ashish Bhutani	Mgmt	For	97.5%Withho 2.5%
Lazard World	521070109	LOK	4/2/12012	1.1	Dilutain	Mgiin	1.01	For
Dividend &					Elect Richard Reiss,			97.5%Withho
Income Fund Inc	521076109	LOR	4/27/2012	1.2	Jr.	Mgmt	For	2.5%
					<b>E1.</b>			For
LMP Real Estate Income Fund Inc	50208C108	RIT	4/27/2012	1.1	Elect William Hutchinson	Mgmt	For	97%Withhole 3%
meonie i una me	502000100	KII	4/2//2012	1.1	Thuenmson	wight	1.01	For
LMP Real Estate					Elect Jeswald			97%Withhole
Income Fund Inc	50208C108	RIT	4/27/2012	1.2	Salacuse	Mgmt	For	3%
Western Asset Inflation								Ear
Management Fund								For 68%Withhole
Inc	95766U107	IMF	4/27/2012	1.1	Elect Carol Colman	Mgmt	For	32%
Western Asset						C		
Inflation								For
Management Fund Inc	95766U107	IMF	4/27/2012	1.2	Elast Danial Cronin	Mamt	For	68%Withhole 32%
Western Asset	937000107	IIVIF	4/2//2012	1.2	Elect Daniel Cronin	Mgmt	FOI	3270
Inflation								For
Management Fund								68%Withhole
Inc	95766U107	IMF	4/27/2012	1.3	Elect Paolo Cucchi	Mgmt	For	32%
Western Asset					Shareholder Proposal Regarding			
Inflation					Termination of			
Management Fund	l				Management			For 47%
Inc	95766U107	IMF	4/27/2012	2	Agreements	ShrHoldr	Against	Against 53%
American Express			4/20/2012	1 1	Elect Charlene	Mamt	Ear	Withhald
Co. American Express	025816109	AXP	4/30/2012	1.1	Barshefsky	Mgmt	For	Withhold
Co.	025816109	AXP	4/30/2012	1.2	Elect Ursula Burns	Mgmt	For	For
American Express					Elect Kenneth	C		
Co.	025816109	AXP	4/30/2012	1.3	Chenault	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	1.4	Elect Peter Chernin	Mgmt	For	For
American Express		AAI	4/30/2012	1.4	Elect Theodore	Wight	1'01	101
Co.	025816109	AXP	4/30/2012	1.5	Leonsis	Mgmt	For	Withhold
American Express						-		
Co.	025816109	AXP	4/30/2012	1.6	Elect Jan Leschly	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	1.7	Elect Richard Levin	Mgmt	For	For
American Express		ΠΛΓ	+13012012	1./	Elect Richard	wigint	1.01	1.01
Co.	025816109	AXP	4/30/2012	1.8	McGinn	Mgmt	For	For

American Express								
American Express Co. American Express	025816109	AXP	4/30/2012	1.9	Elect Edward Miller Elect Steven	Mgmt	For	For
Co. American Express	025816109	AXP	4/30/2012	1.10	Reinemund	Mgmt	For	For
Co. American Express	025816109	AXP	4/30/2012	1.11	Elect Robert Walter Elect Ronald	Mgmt	For	For
Co. American Express	025816109	AXP	4/30/2012	1.12	Williams Ratification of	Mgmt	For	For
Co.	025816109	AXP	4/30/2012	2	Auditor Advisory Vote on	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	3	Executive Compensation Amendment to the	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	4	2007 Incentive Compensation Plan Shareholder	Mgmt	For	For
American Express Co.	025816109	AXP	4/30/2012	5	Proposal Regarding Cumulative Voting Shareholder	ShrHoldr	Against	Against
American Express Co.	025816109	AXP	4/30/2012	6	Proposal Regarding Independent Board Chair	ShrHoldr	Against	For
Western Asset-Claymore Inflation-Linked Opportunities & Income Western Asset-Claymore	95766R104	WIW	5/1/2012	1.1	Elect Ronald Nyberg	Mgmt	For	For 93% Withhold 79
Inflation-Linked Securities & Income Fu Western Asset-Claymore Inflation-Linked	95766Q106	WIA	5/1/2012	1.1	Elect Ronald Toupin, Jr.	Mgmt	For	For 93% Withhold 79
Securities & Income Fu	95766Q106	WIA	5/1/2012	1.2	Elect R. Jay Gerken Elect Ralph Boyd,	Mgmt	For	For 93% Withhold 79
DIRECTV	25490A101	DTV	5/3/2012	1	Jr.	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	2	Elect David Dillon Elect Samuel	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	3	DiPiazza, Jr.	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	4	Elect Dixon Doll	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	5	Elect Peter Lund Elect Nancy	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	6	Newcomb Elect Lorrie	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	7	Norrington Ratification of	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	8	Auditor	Mgmt	For	For

DIRECTV	25490A101	DTV	5/3/2012	9	Elimination of Dual Class Stock Advisory Vote on	Mgmt	For	For
DIRECTV	25490A101	DTV	5/3/2012	10	Executive Compensation Shareholder Proposal Regarding Compensation in the Event of a Change	Mgmt	For	For
DIRECTV Duke Energy	25490A101	DTV	5/3/2012	11	in Control Elect William	ShrHoldr	Against	For
Corp. Duke Energy	26441C105	DUK	5/3/2012	1.1	Barnet III Elect G. Alex	Mgmt	For	For
Corp. Duke Energy	26441C105	DUK	5/3/2012	1.2	Bernhardt, Sr. Elect Michael	Mgmt	For	For
Corp. Duke Energy	26441C105	DUK	5/3/2012	1.3	Browning Elect Daniel	Mgmt	For	For
Corp. Duke Energy	26441C105	DUK	5/3/2012	1.4	DiMicco	Mgmt	For	For
Corp. Duke Energy	26441C105	DUK	5/3/2012	1.5	Elect John Forsgren Elect Ann Maynard	Mgmt	For	For
Corp. Duke Energy	26441C105	DUK	5/3/2012	1.6	Gray Elect James Hance,	Mgmt	For	For
Corp. Duke Energy	26441C105	DUK	5/3/2012	1.7	Jr. Elect E. James	Mgmt	For	For
Corp. Duke Energy	26441C105	DUK	5/3/2012	1.8	Reinsch	Mgmt	For	For
Corp. Duke Energy	26441C105	DUK	5/3/2012	1.9	Elect James Rhodes	Mgmt	For	For
Corp. Duke Energy	26441C105	DUK	5/3/2012	1.10	Elect James Rogers	Mgmt	For	For
Corp. Duke Energy	26441C105	DUK	5/3/2012	1.11	Elect Philip Sharp Ratification of	Mgmt	For	For
Corp.	26441C105	DUK	5/3/2012	2	Auditor Advisory Vote on	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	3	Executive Compensation Amendment to the Certificate of Incorporation to Reduce the	Mgmt	For	For
Duke Energy Corp.	26441C105	DUK	5/3/2012	4	Supermajority Requirement	Mgmt	For	For
Duke Energy					Shareholder Proposal Regarding	C		
Corp.	26441C105	DUK	5/3/2012	5	Coal Risk Shareholder Proposal Regarding	ShrHoldr	Against	Against
Duke Energy Corp.	26441C105	DUK	5/3/2012	6	Majority Vote for Election of Directors		Against	For
Aflac Inc.	001055102	AFL	5/7/2012	1	Elect Daniel Amos	Mgmt	For	For

					Elect John Shelby			
Aflac Inc.	001055102	AFL	5/7/2012	2	Amos II	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	3	Elect Paul Amos II	Mgmt	For	For
. ~ ~					Elect Kriss		_	
Aflac Inc.	001055102	AFL	5/7/2012	4	Cloninger III Elect Elizabeth	Mgmt	For	Against
Aflac Inc.	001055102	AFL	5/7/2012	5	Hudson Elect Douglas	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	6	Johnson Elect Robert	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	7	Johnson	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	8	Elect Charles Knapp Elect E. Stephen	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	9	Purdom	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	10	Elect Barbara Rimer Elect Marvin	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	11	Schuster	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	12	Elect Melvin Stith Elect David	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	13	Thompson Elect Takuro	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	14	Yoshida Advisory Vote on Executive	Mgmt	For	Against
Aflac Inc.	001055102	AFL	5/7/2012	15	Compensation Amendment to the 2004 Long-Term	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	16	Incentive Plan Amendment to the 2013 Management	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	17	Incentive Plan. Ratification of	Mgmt	For	For
Aflac Inc.	001055102	AFL	5/7/2012	18	Auditor	Mgmt	For	For
						-		For
Source Capital,								98%Withhole
Inc.	836144105	SOR	5/7/2012	1.1	Elect Eric Ende	Mgmt	For	2%
								For
Source Capital,	926144105	COD	5/7/2012	1.0	Elect Thomas	Manut	Ear	98%Withhole
Inc.	836144105	SOR	5/7/2012	1.2	Merrick	Mgmt	For	2% For
Source Capital,								98%Withhole
Inc.	836144105	SOR	5/7/2012	1.3	Elect Patrick Purcell	Mgmt	For	2%
		~				8		For
Source Capital,								98%Withhole
Inc.	836144105	SOR	5/7/2012	1.4	Elect David Rees Elect Richard	Mgmt	For	2%
Conoco Phillips	20825C104	COP	5/9/2012	1	Armitage Elect Richard	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	2	Auchinleck Elect James	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	3	Copeland, Jr.	Mgmt	For	For

					Elect Kenneth			
Conoco Phillips	20825C104	COP	5/9/2012	4	Duberstein	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	5	Elect Ruth Harkin	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	6	Elect Ryan Lance	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	7	Elect Mohd Hassan Marican	Mamt	For	For
Conoco Finnips	20823C104	COP	51912012	1	Elect Harold	Mgmt	FOI	го
Conoco Phillips	20825C104	COP	5/9/2012	8	McGraw III	Mgmt	For	Against
Conoco Phillips	20825C104	COP	5/9/2012	9	Elect James Mulva	Mgmt	For	For
					Elect Robert			
Conoco Phillips	20825C104	COP	5/9/2012	10	Niblock	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	11	Elect Harald Norvik	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	12	Elect William Reilly	Mgmt	For	For
Conoco Dhilling	208250104	COD	5/9/2012	13	Elect Victoria Tschinkel	Mant	Ear	Ean
Conoco Phillips	20825C104	COP	5/9/2012	15	Elect Kathryn	Mgmt	For	For
Conoco Phillips	20825C104	COP	5/9/2012	14	Turner	Mgmt	For	Against
conoco r mmps	200250101	001	5/7/2012	11	Elect William Wade,	•	1.01	riguinist
Conoco Phillips	20825C104	COP	5/9/2012	15	Jr.	Mgmt	For	Against
					Ratification of	C		C
Conoco Phillips	20825C104	COP	5/9/2012	16	Auditor	Mgmt	For	Against
					Advisory Vote on			
					Executive		_	
Conoco Phillips	20825C104	COP	5/9/2012	17	Compensation	Mgmt	For	Against
					Shareholder Proposal Regarding			
Conoco Phillips	20825C104	COP	5/9/2012	18	Louisiana Wetlands	ShrHoldr	Against	Against
conoco i minps	200230104	COI	51712012	10	Shareholder	Shiriola	rgamst	rigamst
					Proposal Regarding			
Conoco Phillips	20825C104	COP	5/9/2012	19	Safety Report	ShrHoldr	Against	Against
					Shareholder		C	C
					Proposal Regarding			
Conoco Phillips	20825C104	COP	5/9/2012	20	Lobbying Report	ShrHoldr	Against	Against
					Shareholder			
					Proposal Regarding			
					Reporting and			
					Reducing Greenhouse Gas			
Conoco Phillips	20825C104	COP	5/9/2012	21	Emissions	ShrHoldr	Against	Against
conoco i minps	200250104	cor	51712012	21	Shareholder	Shiriola	Agamst	riguinst
					Proposal Regarding			
					Adopting Gender			
					Identity and			
					Expression			
Conoco Phillips	20825C104	COP	5/9/2012	22	Anti-Bias Policy	ShrHoldr	Against	Against
								For
JF China Region	466140107	IEC	5/10/2010	1 1	Elect The Earl of		F	90%Withhole
Fund Inc	46614T107	JFC	5/10/2012	1.1	Cromer	Mgmt	For	10% Ear
JF China Region								For 90%Withhole
Fund Inc	46614T107	JFC	5/10/2012	1.2	Elect Simon Crinage	Momt	For	90% w tuliion 10%
i unu me	100111107	91 C	5/10/2012	1.4	Liver Simon Crinage	11-2111	1.01	1070

	igar i ning. O			oun				
JF China Region					Amendment to the Investment Advisory and Management			For 91% Against 8%
Fund Inc Union Pacific	46614T107	JFC	5/10/2012	2	Agreement Elect Andrew Card,	Mgmt	For	Abstain 1%
Corp. Union Pacific	907818108	UNP	5/10/2012	1	Jr. Elect Erroll Davis,	Mgmt	For	For
Corp. Union Pacific	907818108	UNP	5/10/2012	2	Jr. Elect Thomas	Mgmt	For	For
Corp. Union Pacific	907818108	UNP	5/10/2012	3	Donohue Elect Archie	Mgmt	For	For
Corp. Union Pacific	907818108	UNP	5/10/2012	4	Dunham Elect Judith	Mgmt	For	For
Corp. Union Pacific	907818108	UNP	5/10/2012	5	Richards Hope	Mgmt	For	For
Corp.	907818108	UNP	5/10/2012	6	Elect Charles Krulak Elect Michael	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	7	McCarthy Elect Michael	Mgmt	For	For
Union Pacific Corp. Union Pacific	907818108	UNP	5/10/2012	8	McConnell	Mgmt	For	For
Corp. Union Pacific	907818108	UNP	5/10/2012	9	Elect Thomas McLarty III	Mgmt	For	For
Corp. Union Pacific	907818108	UNP	5/10/2012	10	Elect Steven Rogel	Mgmt	For	For
Corp. Union Pacific	907818108	UNP	5/10/2012	11	Elect Jose Villarreal	Mgmt	For	For
Corp. Union Pacific	907818108	UNP	5/10/2012	12	Elect James Young Ratification of	Mgmt	For	For
Corp.	907818108	UNP	5/10/2012	13	Auditor Advisory Vote on	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	14	Executive Compensation Shareholder	Mgmt	For	For
Union Pacific Corp.	907818108	UNP	5/10/2012	15	Proposal Regarding Lobbying Report Shareholder	ShrHoldr	Against	For
Union Pacific Corp.	907818108	UNP	5/10/2012	16	Proposal Regarding Retention of Shares After Retirement	ShrHoldr	Against	Against
Gabelli Global Multimedia Trust Inc.	36239Q109	GGT	5/14/2012	1.1	Elect Anthony Pustorino	Mgmt	For	For 93%Withhole 7%
Gabelli Healthcare & WellnessRx Trust	36246K103	GRX	5/14/2012	1.1	Elect Robert Kolodny	Mgmt	For	For 92%Withhole 8%
Gabelli Healthcare & WellnessRx		01/21	5/1 //2012	1.1	Elect Salvatore	1115mit	1 01	For 92%Withhole
Trust GDL FD	36246K103 361570104	GRX GDL	5/14/2012 5/14/2012	1.2 1.1	Zizza Elect Mario Gabelli	Mgmt Mgmt	For For	8% For 85%Withhole
								55 /0 // fullion

								15% For 95%Withhole
GDL FD	361570104	GDL	5/14/2012	1.2	Elect Mario d'Urso	Mgmt	For	95% Withhole 5% For
GDL FD	361570104	GDL	5/14/2012	1.3	Elect Michael Melarkey	Mgmt	For	95%Withhole 5% For
Liberty All-Star Equity Fund	530158104	USA	5/14/2012	1.1	Elect John Benning	Mgmt	For	85.2%Withho 14.8% For
Liberty All-Star Equity Fund JP Morgan Chase	530158104	USA	5/14/2012	1.2	Elect Edmund Burke	Mgmt	For	85.2%Withho 14.8%
& Company JP Morgan Chase	46625H100	JPM	5/15/2012	1	Elect James Bell Elect Crandall	Mgmt	For	For
& Company JP Morgan Chase	46625H100	JPM	5/15/2012	2	Bowles	Mgmt	For	For
& Company JP Morgan Chase	46625H100	JPM	5/15/2012	3	Elect Stephen Burke	Mgmt	For	For
& Company JP Morgan Chase	46625H100	JPM	5/15/2012	4	Elect David Cote	Mgmt	For	For
& Company JP Morgan Chase	46625H100	JPM	5/15/2012	5	Elect James Crown	Mgmt	For	For
& Company JP Morgan Chase	46625H100	JPM	5/15/2012	6	Elect James Dimon	Mgmt	For	For
& Company JP Morgan Chase	46625H100	JPM	5/15/2012	7	Elect Timothy Flynn	Mgmt	For	For
& Company JP Morgan Chase	46625H100	JPM	5/15/2012	8	Elect Ellen Futter Elect Laban	Mgmt	For	Against
& Company JP Morgan Chase	46625H100	JPM	5/15/2012	9	Jackson, Jr.	Mgmt	For	For
& Company JP Morgan Chase	46625H100	JPM	5/15/2012	10	Elect Lee Raymond Elect William	Mgmt	For	For
& Company JP Morgan Chase	46625H100	JPM	5/15/2012	11	Weldon Ratification of	Mgmt	For	For
& Company	46625H100	JPM	5/15/2012	12	Auditor Advisory Vote on	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	13	Executive Compensation Shareholder	Mgmt	For	For
JP Morgan Chase & Company	46625H100	JPM	5/15/2012	14	Proposal Regarding Affirmation of Political Nonpartisanship	ShrHoldr	Against	Against
JP Morgan Chase	4662511100	IDM	5/15/2012	15	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	For
& Company JP Morgan Chase & Company	46625H100 46625H100	JPM JPM	5/15/2012 5/15/2012	15 16	Shareholder Proposal Regarding	ShrHoldr	Against Against	Against

					Mortgage Loan Servicing Shareholder			
					Proposal Regarding			
JP Morgan Chase					Political Contributions and			
& Company	46625H100	JPM	5/15/2012	17	Expenditures Report Shareholder Proposal Regarding	ShrHoldr	Against	Against
JP Morgan Chase					Genocide-Free			
& Company	46625H100	JPM	5/15/2012	18	Investing Shareholder Proposal Regarding	ShrHoldr	Against	Against
JP Morgan Chase					Right to Act by			
& Company	46625H100	JPM	5/15/2012	19	Written Consent	ShrHoldr	Against	For
					Shareholder Proposal Regarding			
JP Morgan Chase					Retention of Shares			
& Company	46625H100	JPM	5/15/2012	20	After Retirement Elect James	ShrHoldr	Against	Against
Time Warner Inc	887317303	TWX	5/15/2012	1	Barksdale	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	2	Elect William Barr Elect Jeffrey	Mgmt	For	Against
Time Warner Inc	887317303	TWX	5/15/2012	3	Bewkes Elect Stephen	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	4	Bollenbach	Mgmt	For	Against
Time Warner Inc	887317303	TWX	5/15/2012	5	Elect Robert Clark Elect Mathias	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	6	Dopfner Elect Jessica	Mgmt	For	Against
Time Warner Inc	887317303	TWX	5/15/2012	7	Einhorn	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	8	Elect Fred Hassan Elect Kenneth	Mgmt	For	For
Time Warner Inc		TWX	5/15/2012	9	Novack	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	10	Elect Paul Wachter Elect Deborah	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	11	Wright Ratification of	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	12	Auditor Advisory Vote on Executive	Mgmt	For	For
Time Warner Inc	887317303	TWX	5/15/2012	13	Compensation Shareholder Proposal Regarding	Mgmt	For	Against
Time Warner Inc	887317303	TWX	5/15/2012	14	Right to Act by Written Consent	ShrHoldr	Against	For
Zweig Total								For 78%Withhole
Return Fund Inc	989837109	ZTR	5/15/2012	1.1	Elect Charles Brunie	Mgmt	For	22%
Zweig Total	989837109	ZTR	5/15/2012	1.2	Elect James Rogers,	Mgmt	For	For
Return Fund Inc					Jr.	-		78%Withhole

								22%
Zweig Total					To Approve a Revision to the Fund's Investment			For 77% Against 21
Return Fund Inc	989837109	ZTR	5/15/2012	2	Objective To Approve a Reclassification of	Mgmt	For	Abstain 2%
Zweig Total					the Fund's Investment Objective as			For 71.5% Against 27%Abstain
Return Fund Inc	989837109	ZTR	5/15/2012	3	"Non-Fundamental" To Approve an Amendment to the Fundamental Investment	Mgmt	For	1.5%
Zurai a Tatal					Restrictions			For 77%
Zweig Total Return Fund Inc	989837109	ZTR	5/15/2012	4	Regarding Diversification To Approve an Amendment to the Fundamental	Mgmt	For	Against 21%Abstain 2
					Investment Restrictions			For
Zweig Total					Regarding the Issuance			76.5%Agains 21.5%Abstai
Return Fund Inc	989837109	ZTR	5/15/2012	5	of Senior Securities To Approve an	Mgmt	For	2%
					Amendment to the Fundamental Investment			
Zweig Total					Restrictions Reserving Investing			For 76%
Zweig Total Return Fund Inc	989837109	ZTR	5/15/2012	6	Regarding Investing in Commodities To Approve an Amendment to the Fundamental	Mgmt	For	Against 22% Abstain 2%
					Investment Restrictions			For
Zweig Total					Regarding Purchasing			76.5%Agains 21.5%Abstair
Return Fund Inc	989837109	ZTR	5/15/2012	7	Securities on Margin To Approve an Amendment to the Fundamental Investment	Mgmt	For	2%
					Restrictions			For 76%
Zweig Total Return Fund Inc	989837109	ZTR	5/15/2012	8	Regarding Short Sales	Mgmt	For	Against 22%Abstain 2
Zweig Total Return Fund Inc	989837109	ZTR	5/15/2012	9	To Approve an Amendment to the Fundamental	Mgmt	For	For 76% Against 22%Abstain 2
					i unuannontai			22 /01 Wordin 2

					Investment Restrictions Regarding Purchasing Restricted Securities To Approve an Amendment to the			For 69%
Zweig Total Return Fund Inc	989837109	ZTR	5/15/2012	10	Fund's Investment Advisory Agreement	Mgmt	For	Against 29%Abstain 2
Apollo Senior Floating Rate Inc	037636107	AFT	5/21/2012	1.1	Elect Elliot Stein, Jr.	Mgmt	For	For 99% Abstain 1%
Merck & Co., Inc	58933Y105	MRK	5/22/2012	1.1	Elect Leslie Brun	Mgmt	For	For
Merck & Co., Inc	58933Y105	MRK	5/22/2012	2	Elect Thomas Cech	Mgmt	For	For
Merek & Co., Inc	507551105	WIIT	512212012	2	Elect Kenneth	wight	1.01	101
Merck & Co., Inc	58933Y105	MRK	5/22/2012	3	Frazier	Mgmt	For	For
Merek & Co., Inc	507551105	WIIT	512212012	5	Elect Thomas	wight	1.01	101
Merck & Co., Inc	58933Y105	MRK	5/22/2012	4	Glocer	Mgmt	For	For
Merek & Co., Inc	507551105	MIXIX	572272012	Т	Elect William	Might	1.01	1.01
Merck & Co., Inc	58933Y105	MRK	5/22/2012	5	Harrison, Jr.	Mgmt	For	For
Merek & Co., me	507551105	min	512212012	5	Elect C. Robert	Maint	1.01	101
Merck & Co., Inc	58933Y105	MRK	5/22/2012	6	Kidder	Mgmt	For	For
	00,001100		0,22,2012	Ũ	Elect Rochelle		1 01	1 01
Merck & Co., Inc	58933Y105	MRK	5/22/2012	7	Lazarus	Mgmt	For	For
					Elect Carlos	8		
Merck & Co., Inc	58933Y105	MRK	5/22/2012	8	Represas	Mgmt	For	For
Merck & Co., Inc	58933Y105	MRK	5/22/2012	9	Elect Patricia Russo	Mgmt	For	For
,					Elect Craig	U		
Merck & Co., Inc	58933Y105	MRK	5/22/2012	10	Thompson	Mgmt	For	For
					Elect Wendell	C		
Merck & Co., Inc	58933Y105	MRK	5/22/2012	11	Weeks	Mgmt	For	For
Merck & Co., Inc	58933Y105	MRK	5/22/2012	12	Elect Peter Wendell	Mgmt	For	For
					Ratification of	C		
Merck & Co., Inc	58933Y105	MRK	5/22/2012	13	Auditor	Mgmt	For	For
					Advisory Vote on	-		
					Executive			
Merck & Co., Inc	58933Y105	MRK	5/22/2012	14	Compensation	Mgmt	For	For
					Shareholder			
					Proposal Regarding			
					Right to Act by			
Merck & Co., Inc	58933Y105	MRK	5/22/2012	15	Written Consent	ShrHoldr	Against	For
					Shareholder			
					Proposal Regarding			
					Right to Call a			
Merck & Co., Inc	58933Y105	MRK	5/22/2012	16	Special Meeting Shareholder Proposal Regarding Paviawing Political	ShrHoldr	Against	Against
					Reviewing Political Spending or			
Merck & Co., Inc	58933Y105	MRK	5/22/2012	17	Lobbying	ShrHoldr	Against	Against
Chevron Corp.	166764100	CVX	5/30/2012	1	Elect Linnet Deily	Mgmt	For	For
Chevron Corp.	166764100	CVX	5/30/2012	2	LICET LIMITET DEILY	Mgmt	For	For
chevron corp.	100704100		515012012	4		mann	1.01	1 01

					Elect Robert			
					Denham			
Chevron Corp.	166764100	CVX	5/30/2012	3	Elect Chuck Hagel	Mgmt	For	For
	166764100	CUN	5/20/2012	4	Elect Enrique	Manut	<b>F</b> a a	<b>F</b>
Chevron Corp.	166764100	CVX	5/30/2012	4	Hernandez, Jr. Elect George	Mgmt	For	For
Chevron Corp.	166764100	CVX	5/30/2012	5	Kirkland	Mgmt	For	For
chevron corp.	100704100	CVA	5/50/2012	5	Elect Charles	Wight	1.01	1.01
Chevron Corp.	166764100	CVX	5/30/2012	6	Moorman, IV	Mgmt	For	For
Chevron Corp.	166764100	CVX	5/30/2012	7	Elect Kevin Sharer	Mgmt	For	For
Chevron Corp.	166764100	CVX	5/30/2012	8	Elect John Stumpf	Mgmt	For	For
Chevron Corp.	166764100	CVX	5/30/2012	9	Elect Ronald Sugar	Mgmt	For	For
Chevron Corp.	166764100	CVX	5/30/2012	10	Elect Carl Ware	Mgmt	For	For
Chevron Corp.	166764100	CVX	5/30/2012	11	Elect John Watson	Mgmt	For	For
~ ~		~~~~~			Ratification of		_	_
Chevron Corp.	166764100	CVX	5/30/2012	12	Auditor	Mgmt	For	For
					Advisory Vote on			
01 0	166764100	OWN	5/20/2012	10	Executive		F	Б
Chevron Corp.	166764100	CVX	5/30/2012	13	Compensation Shareholder	Mgmt	For	For
					Proposal Regarding			
					Exclusive Forum			
Chevron Corp.	166764100	CVX	5/30/2012	14	Provisions	ShrHoldr	Against	For
chevron corp.	100704100	CVA	5/50/2012	17	Shareholder	Shiriotai	rgamst	101
					Proposal Regarding			
					Independent Board			
Chevron Corp.	166764100	CVX	5/30/2012	15	Chairman	ShrHoldr	Against	For
1					Shareholder		e	
					Proposal Regarding			
Chevron Corp.	166764100	CVX	5/30/2012	16	Lobbying Report	ShrHoldr	Against	Against
					Shareholder			
					Proposal Regarding			
					Country Selection			
Chevron Corp.	166764100	CVX	5/30/2012	17	Guidelines	ShrHoldr	Against	Against
					Shareholder			
01 0	166764100	OWN	5/20/2012	10	Proposal Regarding	01 11 11	<b>.</b> • <i>.</i>	<b>•</b> • •
Chevron Corp.	166764100	CVX	5/30/2012	18	Hydraulic Fracturing Shareholder	ShrHoldr	Against	Against
					Proposal Regarding			
Chevron Corp.	166764100	CVX	5/30/2012	19	Safety Report	ShrHoldr	Against	Against
Chevron Corp.	100704100	CVA	575072012	19	Shareholder	Shiriolui	Agailist	Against
					Proposal Regarding			
					Right to Call a			
Chevron Corp.	166764100	CVX	5/30/2012	20	Special Meeting	ShrHoldr	Against	Against
1					Shareholder		e	e
					Proposal Regarding			
					Environmental			
Chevron Corp.	166764100	CVX	5/30/2012	21	Expertise on Board	ShrHoldr	Against	Against
					Elect Kenneth			
Comcast Corp.	20030N101			1.1	Bacon	Mgmt	For	Withhold
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.2		Mgmt	For	For

					Elect Sheldon			
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.3	Bonovitz Elect Joseph Collins Elect J. Michael	Mgmt	For	For
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.4	Cook	Mgmt	For	For
Comcast Corp.	20030N101			1.5	Elect Gerald Hassell	Mgmt	For	For
Ĩ					Elect Jeffrey	C		
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.6	Honickman Elect	Mgmt	For	For
Comcast Corp.	20030N101			1.7	Eduardo Mestre	Mgmt	For	For
Comcast Corp.	20030N101			1.8	Elect Brian Roberts	Mgmt	For	For
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.9	Elect Ralph Roberts Elect Johnathan	Mgmt	For	For
Comcast Corp.	20030N101			1.10	Rodgers	Mgmt	For	For
Comcast Corp.	20030N101	CMCSA	5/31/2012	1.11	Elect Judith Rodin Ratification of	Mgmt	For	Withhold
Comcast Corp.	20030N101	CMCSA	5/31/2012	2	Auditor	Mgmt	For	For
					Amendment to the 2002 Employee			
Comcast Corp.	20030N101	CMCSA	5/31/2012	3	Stock Purchase Plan	Mgmt	For	For
					Amendment to the 2011 Employee			
Comcast Corp.	20030N101	CMCSA	5/31/2012	4	Stock Purchase Plan	Mgmt	For	For
contrast corp.	200201(101	enreorr	010112012	•	Shareholder	11181111	101	101
					Proposal Regarding			
Comcast Corp.	20030N101	CMCSA	5/31/2012	5	Cumulative Voting	ShrHoldr	Against	For
					Shareholder			
					Proposal Regarding			
Comcast Corp.	20030N101	CMCSA	5/31/2012	6	Independent Board Chairman	ShrHoldr	Against	For
conteast corp.	200301101	CINCSA	575172012	0	Shareholder	Simiona	Agamst	101
					Proposal Regarding			
					Retention of Shares			
Comcast Corp.	20030N101	CMCSA	5/31/2012	7	Until Retirement	ShrHoldr	Against	Against
					Shareholder			
0 10	000201101		5/21/2012	0	Proposal Regarding	01 11 11	<b>A</b> • <i>i</i>	Г
Comcast Corp. Wal-Mart Stores,	20030N101	CMCSA	5/51/2012	8	Poison Pills	ShrHoldr	Against	For
Inc.	931142103	WMT	6/1/2012	1	Elect Aida Alvarez	Mgmt	For	Against
Wal-Mart Stores,		. /		-				
Inc.	931142103	WMT	6/1/2012	2	Elect James Breyer	Mgmt	For	For
Wal-Mart Stores,					Elect M. Michele			
Inc.	931142103	WMT	6/1/2012	3	Burns	Mgmt	For	Against
Wal-Mart Stores,	021142102		6/1/2012	4	Elect James Cash,	Manut	Ear	A
Inc. Wal-Mart Stores,	931142103	WMT	6/1/2012	4	Jr.	Mgmt	For	Against
Inc.	931142103	WMT	6/1/2012	5	Elect Roger Corbett	Mgmt	For	For
Wal-Mart Stores,				2				
Inc.	931142103	WMT	6/1/2012	6	Elect Douglas Daft	Mgmt	For	For
Wal-Mart Stores,				_			_	
Inc.	931142103	WMT	6/1/2012	7	Elect Michael Duke	Mgmt	For	Against

Wal-Mart Stores,								
Inc.	931142103	WMT	6/1/2012	8	Elect Marissa Mayer	Mgmt	For	For
Wal-Mart Stores,					Elect Gregory	8		
Inc.	931142103	WMT	6/1/2012	9	Penner	Mgmt	For	For
Wal-Mart Stores,					Elect Steven	8		
Inc.	931142103	WMT	6/1/2012	10	Reinemund	Mgmt	For	For
Wal-Mart Stores,				-	Elect H. Lee Scott,	0		-
Inc.	931142103	WMT	6/1/2012	11	Jr.	Mgmt	For	Against
Wal-Mart Stores,						0		0
Inc.	931142103	WMT	6/1/2012	12	Elect Arne Sorenson	Mgmt	For	Against
Wal-Mart Stores,						8		8
Inc.	931142103	WMT	6/1/2012	13	Elect Jim Walton	Mgmt	For	For
Wal-Mart Stores,					Elect S. Robson	8		
Inc.	931142103	WMT	6/1/2012	14	Walton	Mgmt	For	For
Wal-Mart Stores,	2000		0/1/2012		Elect Christopher		1 01	1 01
Inc.	931142103	WMT	6/1/2012	15	Williams	Mgmt	For	Against
Wal-Mart Stores,						8		8
Inc.	931142103	WMT	6/1/2012	16	Elect Linda Wolf	Mgmt	For	For
Wal-Mart Stores,					Ratification of	8		
Inc.	931142103	WMT	6/1/2012	17	Auditor	Mgmt	For	For
	2000		0/1/2012	1,	Advisory Vote on	1.18.1.1	1 01	1 01
Wal-Mart Stores,					Executive			
Inc.	931142103	WMT	6/1/2012	18	Compensation	Mgmt	For	For
					Shareholder	8		
					Proposal Regarding			
					Political			
Wal-Mart Stores,					Contributions and			
Inc.	931142103	WMT	6/1/2012	19	Expenditures Report	ShrHoldr	Against	For
				- /	Shareholder		8	
					Proposal Regarding			
Wal-Mart Stores,					Director			
Inc.	931142103	WMT	6/1/2012	20	Qualifications	ShrHoldr	Against	Against
	2000		0/1/2012		Shareholder	511110101	- iguilist	1-8411101
					Proposal Regarding			
					an Incentive			
Wal-Mart Stores,					Compensation			
Inc.	931142103	WMT	6/1/2012	21	Report	ShrHoldr	Against	Against
					1		e	For 92%
Japan Equity								Against
Fund, Inc.	471057109	JEQ	6/4/2012	1	Elect Martin Gruber	Mgmt	For	7%Abstain 19
,						0		For 92%
Japan Equity					Elect Yoshihiro			Against 7%
Fund, Inc.	471057109	JEQ	6/4/2012	2	Fujisawa	Mgmt	For	Abstain 1%
,					5	0		For
New Ireland Fund								91%Withhole
Inc	645673104	IRL	6/5/2012	1.1	Elect Peter Hooper	Mgmt	For	9%
					1	C		For
New Ireland Fund								92%Withhole
Inc	645673104	IRL	6/5/2012	1.2	Elect George Moore	Mgmt	For	8%
Alpine Global	02083A103	AWP	6/8/2012	1.1	Elect H. Guy Leibler	U	For	For
Premier Properties						C		98%Withhole
×								

	5 5					
Fund						2%
Alpine Global						For 80%
Premier Properties			Transaction of Other			Against
Fund	02083A103 AWP 6/8/	/2012 2	Business	Mgmt	For	19% Abstain 1
Freeport-McMoran	l					
Copper & Gold			Elect Richard			
Inc.	35671D857 FCXPRA 6/14	/2012 1.1	Adkerson	Mgmt	For	For
Freeport-McMoran	l			-		
Copper & Gold			Elect Robert			
Inc.	35671D857 FCXPRA 6/14	/2012 1.2	Allison, Jr.	Mgmt	For	Withhold
Freeport-McMoran	L			-		
Copper & Gold						
Inc.	35671D857 FCXPRA 6/14	/2012 1.3	Elect Robert Day	Mgmt	For	For
Freeport-McMoran	L		•	C		
Copper & Gold						
Inc.	35671D857 FCXPRA 6/14	/2012 1.4	Elect Gerald Ford	Mgmt	For	For
Freeport-McMoran				0		
Copper & Gold			Elect H. Devon			
Inc.	35671D857 FCXPRA 6/14	/2012 1.5	Graham, Jr.	Mgmt	For	For
Freeport-McMoran		12012 1.0	Granani, vr.	ingini	1.01	1.01
Copper & Gold						
Inc.	35671D857 FCXPRA 6/14	/2012 1.6	Elect Charles Krulak	Momt	For	For
Freeport-McMoran		1.0	Liver charles Hauk	ingint	101	1.01
Copper & Gold						
Inc.	35671D857 FCXPRA 6/14	/2012 1.7	Elect Bobby Lackey	Mgmt	For	For
Freeport-McMoran		72012 1.7	Licer Bobby Lackey	Might	101	101
Copper & Gold						
Inc.	35671D857 FCXPRA 6/14	/2012 1.8	Elect Jon Madonna	Mgmt	For	For
		1.0	Elect Jon Madolina	Mgiin	1.01	1.01
Freeport-McMoran Copper & Gold						
Inc.	35671D857 FCXPRA 6/14	1/2012 1.0	Elect Dustan McCoy	Mamt	For	For
Freeport-McMoran		/2012 1.9	Elect Dustall McCoy	Mgiin	FOI	FOI
•						
Copper & Gold	35671D857 FCXPRA 6/14	1/2012 1.10	Elect Iones Moffett	Manut	Ear	Ean
Inc.		72012 1.10	Elect James Moffett	Mgmt	For	For
Freeport-McMoran			Elect D. M. Doulsin			
Copper & Gold	25671D957 ECVDD & 6/14	12012 1 11	Elect B. M. Rankin,	Manut	Ear	Withhald
Inc.	35671D857 FCXPRA 6/14	/2012 1.11	Jr.	Mgmt	For	Withhold
Freeport-McMoran	l		$\mathbf{F}^{1}$ (0) 1			
Copper & Gold		10010 1.10	Elect Stephen		Б	Б
Inc.	35671D857 FCXPRA 6/14	/2012 1.12	Siegele	Mgmt	For	For
Freeport-McMoran	l		Advisory Vote on			
Copper & Gold		12010 0	Executive			
Inc.	35671D857 FCXPRA 6/14	/2012 2	Compensation	Mgmt	For	For
Freeport-McMoran	l					
Copper & Gold			Ratification of		-	-
Inc.	35671D857 FCXPRA 6/14	/2012 3	Auditor	Mgmt	For	For
			Shareholder			
Freeport-McMoran	l		Proposal Regarding			
Copper & Gold			Environmental			
Inc.	35671D857 FCXPRA 6/14		•		Against	Against
	870875101 SWZ 6/20	)/2012 1.1	Elect Brian Berris	Mgmt	For	

Swice Helvetie								Eor
Swiss Helvetia Fund Inc								For 93%Withhole
								93% <b>vv</b> fumor 7%
								For
Swiss Helvetia								93%Withhole
Fund Inc	870875101	SWZ	6/20/2012	1.2	Elect David Bock	Mgmt	For	7%
	0,000	2	0, _ 0, _ 1					For
Swiss Helvetia					Elect Paul			93%Withhold
Fund Inc	870875101	SWZ	6/20/2012	1.3	Hottinguer	Mgmt	For	7%
						U		For 98%
Swiss Helvetia					Ratification of			Against
Fund Inc	870875101	SWZ	6/20/2012	2	Auditor	Mgmt	For	1%Abstain 19
						-		For
European Equity					Elect Detlef			82%Withhold
Fund Inc	298768102	EEA	6/27/2012	1.1	Bierbaum	Mgmt	For	18%
								For
European Equity					Elect Robert			82%Withhold
Fund Inc	298768102	EEA	6/27/2012	1.2	Wadsworth	Mgmt	For	18%
								For
European Equity					Elect			82%Withhole
Fund Inc	298768102	EEA	6/27/2012	1.3	Richard Goeltz	Mgmt	For	18%
								For 98%
								Against
European Equity					Ratification of			1.5%Abstain
Fund Inc	298768102	EEA	6/27/2012	2	Auditor	Mgmt	For	0.5%
								For
New Germany		~ ~					_	89.5%Withho
Fund Inc	644465106	GF	6/27/2012	1.1	Elect Richard Burt	Mgmt	For	10.5%
								For
New Germany		25					-	89.5%Withho
Fund Inc	644465106	GF	6/27/2012	1.2	Elect Franz Hopp	Mgmt	For	10.5%
N. C								For
New Germany	< + + + < <b>F</b> 1 O C		C 107 10010	1.0			-	89.5%Withho
Fund Inc	644465106	GF	6/27/2012	1.3	Elect Friedbert Malt	Mgmt	For	10.5%
N. Company					<b>F</b> 1 (			For
New Germany	CAAAGE106	CE	( 100 IOO 1 0	1 /	Elect Bishard Coaltz	A. ant	<b>D</b>	89.5%Withho
Fund Inc	644465106	GF	6/27/2012	1.4	Richard Goeltz	Mgmt	For	10.5% For
								For
Now Cormony					Detification of			97.8%Agains
New Germany	644465106	CE	6/27/2012	r	Ratification of	Mant	Eor	1.7%Abstain
Fund Inc	644465106	GF	6/27/2012	2	Auditor	Mgmt	For	0.5% For
Cutwater Select					Elect W. Thacher			For 98%Withhole
Income Fund	232229104	CSI	6/28/2012	1.1	Brown	Mamt	For	98% w Iumon 2%
Income rund	232227104	Cor	0/20/2012	1.1	DIOWII	Mgmt	1.01	2% For
Cutwater Select					Elect Suzanne			98%Withhole
Income Fund	232229104	CSI	6/28/2012	1.2	Welsh	Mgmt	For	2%
	<i>LJLLL</i> /10 <sup>-</sup> T	COI	0/20/2012	1,4	W 01511	wight	1.01	For
Cutwater Select					Elect Morris Lloyd,			98%Withhole
Income Fund	232229104	CSI	6/28/2012	1.3	Jr.	Mgmt	For	2%
meonie i una	232229104	CSI	6/28/2012	1.5	Elect Ellen Harvey	Mgmt	For	270
	252227104	COI	0/20/2012	1.7	Elect Ellen Hurvey	mgint	1.01	

Cutwater Select Income Fund								For 98%Withhol 2%
Cutwater Select Income Fund	232229104	CSI	6/28/2012	2	Transaction of Other Business	Mgmt	For	For 80% Against 17.79 Abstain 2.3%