Edgar Filing: CVD EQUIPMENT CORP - Form 4

CVD EQUIPMENT CORP

Form 4

November 17, 2010

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-				_	TINI

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

0.5

OMB APPROVAL

Section 16. Form 4 or Form 5 obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

5 D 1 (* 1 * CD - (* D - () (

See Instruction 1(b).

Common

Common

Stock

Stock

01/15/2010

(Print or Type Responses)

1 Name and Address of Departing De

TEITELBAUM MARTIN J			Symbol CVD EQUIPMENT CORP [CVV]			Issuer				
						P[CV	Vj	(Che	ck all applicable	e)
(Last)	(First) (Middle)	3. Date of	Earliest Tr	ansaction					
			(Month/D	ay/Year)				_X_ Director		Owner
195 EAST MAIN STREET, PO			01/15/2010				_X_ Officer (giv below)	e title Other	er (specify	
BOX 514								· · · · · · · · · · · · · · · · · · ·	istant Secretary	
(Street)			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
			Filed(Mon	th/Day/Year)			Applicable Line) _X_ Form filed by	1 0	
SMITHTOV	VN, NY 11787							Form filed by l Person	More than One Re	porting
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securiti	ies Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of	2. Transaction Dat	e 2A. Dee	med	3.	4. Securit	ies Acc	quired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution	on Date, if	Transactio	on(A) or Di	sposed	of	Securities	Form: Direct	Indirect
(Instr. 3)		any		Code	(D)			Beneficially	(D) or	Beneficial
		(Month/	Day/Year)	(Instr. 8)	(Instr. 3,	4 and 5)	Owned	Indirect (I)	Ownership
								Following	(Instr. 4)	(Instr. 4)
						(A)		Reported		
						or		Transaction(s)		
				Code V	Amount	(D)	Price	(Instr. 3 and 4)		

3,300

(1)

Α

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

55,200

2,000

4.25

D

Ι

By Spouse

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	of Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to purch common stock	\$ 4.25	01/15/2010		M	5,310 (2)	01/15/2010	01/15/2017	Common Stock	5,310

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
TEITELBAUM MARTIN J							
195 EAST MAIN STREET	X		Assistant Cometany				
P O BOX 514	Λ		Assistant Secretary				
SMITHTOWN, NY 11787							

Signatures

Martin J.

Teitelbaum 11/17/2010

**Signature of Person Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common stock issued pursuant to the Corporation's 2007 Share Incentive Plan, vests as to 825 shares on each of January 15, 2010, April 15, 2010, July 15, 2010 and October 15, 2010
- Options issued pursuant to the Corporation's 2001 Stock Option Plan, vest as to 1328 shares on January 15, 2010, 1327 shares on April 15, 2010, 1327 shares on July 15, 2010 and 1328 shares on October 15, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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