

Duke Energy CORP
Form 3
December 21, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|---|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â JANSON JULIA S | | (Month/Day/Year) | Duke Energy CORP [DUK] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| 526 S. CHURCH STREET | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Sr. VP & Corporate Secretary | |
| CHARLOTTE,Â NCÂ 28202 | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 17,424 | D | Â |
| Common Stock | 5,066 | I | By 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------------|------------------|-----------------|--------------|----------------------------|----------|---------------------------------------|---|
| Phantom Stock | Â (1) | Â (1) | Common Stock | 394 | \$ (2) | D | Â |
| Stock Option (Right to Buy) | Â (3) | 01/01/2013 | Common Stock | 1,391 | \$ 12.37 | D | Â |
| Stock Option (Right to Buy) | Â (4) | 01/01/2014 | Common Stock | 3,432 | \$ 14.15 | D | Â |
| Stock Option (Right to Buy) | Â (4) | 01/01/2015 | Common Stock | 4,680 | \$ 15.26 | D | Â |
| Stock Option (Right to Buy) | Â (5) | 01/01/2016 | Common Stock | 4,836 | \$ 15.5 | D | Â |
| Phantom Stock | Â (6) | Â (7) | Common Stock | 920 | \$ (2) | D | Â |
| Phantom Stock | Â (8) | Â (7) | Common Stock | 2,520 | \$ (2) | D | Â |
| Phantom Stock | Â (9) | Â (7) | Common Stock | 7,750 | \$ (2) | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| JANSON JULIA S 526 S. CHURCH STREET CHARLOTTE, NC 28202 | Â | Â | Â Sr. VP & Corporate Secretary | Â |

Signatures

/s/ David S. Maltz, attorney-in-fact for Julia S. Janson

12/19/2007

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Generally payable upon the reporting person's termination of service.
- (2) Converts to Common Stock on a 1-for-1 basis.
- (3) The reporting person was granted 3,276 options on January 1, 2003. The options vested in three equal annual installments beginning on the first anniversary date of the grant.
- (4) All options became exercisable in connection with the April 3, 2006 merger between Duke Energy and Cinergy.
- (5) The reporting person was granted 4,836 options on January 1, 2006. The options will vest in three equal annual installments beginning on the first anniversary date of the grant.

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- (6) The phantom stock vests in 5 equal annual installments beginning on the first anniversary of the grant date.
- (7) Expiration date not applicable.
- (8) The reporting person was granted 3,150 shares on July 1, 2006. The phantom stock vests in five equal annual installments beginning on April 4, 2007, and each April 4 thereafter.
- (9) The reporting person was granted 7,750 shares on March 2, 2007. The phantom stock will vest in three equal annual installments beginning on the first anniversary date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.