

ALLERGAN INC

Form 3

August 27, 2014

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Hindman James M.

(Last) (First) (Middle)

2525 DUPONT DRIVE

(Street)

IRVINE, CA 92612

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

08/18/2014

3. Issuer Name and Ticker or Trading Symbol
ALLERGAN INC [AGN]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

EVP, Finance & Bus. Dev., CFO

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

24,040.619

D

H

Common Stock

6,491.7097

I

By 401(k) (1)

Common Stock

6,041.48

I

By ESOP (2)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security4. Conversion
or Exercise5. Ownership
Form of6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

Edgar Filing: ALLERGAN INC - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	Â (3)	02/06/2016	Common Stock	18,000	\$ 55.98	D	Â
Employee Stock Option (Right to Buy)	Â (4)	02/02/2017	Common Stock	19,000	\$ 58.55	D	Â
Employee Stock Option (Right to Buy)	Â (5)	02/14/2018	Common Stock	17,600	\$ 64.47	D	Â
Employee Stock Option (Right to Buy)	Â (6)	02/20/2019	Common Stock	22,300	\$ 40.16	D	Â
Employee Stock Option (Right to Buy)	Â (7)	02/22/2020	Common Stock	18,950	\$ 59.13	D	Â
Employee Stock Option (Right to Buy)	Â (8)	02/17/2021	Common Stock	19,000	\$ 75.58	D	Â
Employee Stock Option (Right to Buy)	Â (9)	02/17/2022	Common Stock	15,500	\$ 87.91	D	Â
Employee Stock Option (Right to Buy)	Â (10)	02/21/2023	Common Stock	14,000	\$ 105.87	D	Â
Employee Stock Option (Right to Buy)	Â (11)	02/21/2023	Common Stock	1,000	\$ 105.87	D	Â
Employee Stock Option (Right to Buy)	Â (12)	02/21/2024	Common Stock	12,057	\$ 125.07	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hindman James M. 2525 DUPONT DRIVE IRVINE, CA 92612	Â	Â	Â EVP, Finance & Bus. Dev., CFO	Â

Signatures

James M.
Hindman

08/27/2014

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares allocated to reporting person's SIP account as of reporting date.

Edgar Filing: ALLERGAN INC - Form 3

- (2) Shares allocated to the reporting person's ESOP account as of reporting date.
- (3) The option became exercisable in four equal annual installments beginning February 6, 2007.
- (4) The option became exercisable in four equal annual installments beginning February 2, 2008.
- (5) The option became exercisable in four equal annual installments beginning February 14, 2009.
- (6) The option became exercisable in four equal annual installments beginning February 20, 2010.
- (7) The option became exercisable in four equal annual installments beginning February 22, 2011.
- (8) The option became exercisable in four equal annual installments beginning February 17, 2012.
- (9) The option became exercisable in four equal annual installments beginning February 17, 2013.
- (10) The option became exercisable in four equal annual installments beginning February 21, 2014.
- (11) The option became exercisable in four equal annual installments beginning February 21, 2014.
- (12) The option becomes exercisable in four equal annual installments beginning February 21, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.