Edgar Filing: PUBLIX SUPER MARKETS INC - Form 5

PUBLIX SUPER MARKETS INC Form 5 January 30, 20 FORM

January 30, 2015									
FORM 5					OMB AF	PPROVAL			
	UNITE	D STATES	OMB Number:	3235-03	362				
Check this box i no longer subjec	-	Washington, D.C. 20549					31,)05		
to Section 16. Form 4 or Form 5 obligations may continue.	AN	NNUAL ST	Estimated a burden hou response	iverage rs per	1.0				
See Instruction1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or SectionReported30(h) of the Investment Company Act of 1940TransactionsReported									
1. Name and Address of Reporting Person <u>*</u> Smith Michael			2. Issuer Name and Ticker or Trading Symbol PUBLIX SUPER MARKETS INC [NONE]	5. Relationship of I Issuer (Check	Reporting Pers				
(Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/28/2014	Director X Officer (give below)		Owner er (specify			
P.O. BOX 407				VIC	e riesident				

(Street)

LAKELAND, FLÂ 33802

4. If Amendment, Date Original Filed(Month/Day/Year)

(check applicable line)

6. Individual or Joint/Group Reporting

X Form Filed by One Reporting Person Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Tab	le I - Non-Der	rivative Se	ecuriti	es Acqu	uired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3, Amount	d (A) of d of (E 4 and (A) or))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	Â	Â	Â	Â	Â	Â	14,770.7074	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	1,311.1789	Ι	By 401(k)
Common Stock	Â	Â	Â	Â	Â	Â	43,947.3301	Ι	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. P Der Sec (Ins
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
SERP Stock	Â	Â	Â	Â	Â	(<u>3)</u>	(<u>3)</u>	Common Stock	540.1249	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Smith Michael P.O. BOX 407 LAKELAND, FL 33802	Â	Â	Vice President	Â			
Signatures							
/s/ Monica Allman, POA on file Smith	01/30/2015						

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired under the Publix Super Markets, Inc. 401(k) SMART Plan. Transaction exempt under rule 16b-3(c).
- (2) Acquired under the Publix Super Markets, Inc. Employee Stock Ownership Plan. Transaction exempt under rule 16b-3(c).

Each share of SERP stock is the economic equivalent of one share of common stock. The shares of SERP stock become payable in (3) common stock upon the reporting person's termination of employment in accordance with the Publix Super Markets, Inc. Supplemental Executive Retirement Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.