SunCoke Energy, Inc. Form 3
October 02, 2015

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SunCoke Energy, Inc. [SXC] A Hardesty Phillip Michael (Month/Day/Year) 10/01/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1011 WARRENVILLE ROAD, (Check all applicable) STE. 600 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Senior Vice President Person LISLE, ILÂ 60532 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â D Common Stock 45,963 Common Stock (1)  $\underline{^{(1)}}$ 6,195 I 401(k) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
RSU's (Feb 2013) (2)	(3)	(3)	Common Stock	1,612	\$ 0	D	Â
RSU's (Feb 2014) (4)	(3)	(3)	Common Stock	2,680	\$ 0	D	Â
RSU's (Feb 2015) (5)	(3)	(3)	Common Stock	5,500	\$ 0	D	Â
Stock Options 2011.09.12 (6)	09/12/2012	09/12/2021	Common Stock	40,000	\$ 13.75	D	Â
Stock Options 2013.02.20 (6)	02/20/2014	02/20/2023	Common Stock	13,344	\$ 16.55	D	Â
Stock Options 2014.02.26 (6)	02/26/2015	02/26/2024	Common Stock	12,368	\$ 22.3	D	Â
Stock Options 2015.02.18 (6)	02/18/2016	02/18/2025	Common Stock	19,950	\$ 16.9	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Hardesty Phillip Michael 1011 WARRENVILLE ROAD, STE. 600 LISLE, IL 60532	Â	Â	Senior Vice President	Â	

#### **Signatures**

/s/ Rita M. Slager, attorney-in-fact

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents share equivalents obtained over time through periodic ongoing acquisitions in the SunCoke Energy, Inc. 401(k), plan administered by the trustee.
- (2) Restricted share units awarded pursuant to the SunCoke Energy, Inc. Long-Term Performance Enhancement Plan in a transaction exempt under Rule 16b-3. The restricted share units vest in three equal annual installments commencing on February 20, 2013.
- (3) Not applicable.
- (4) Restricted share units awarded pursuant to the SunCoke Energy, Inc. Long-Term Performance Enhancement Plan in a transaction exempt under Rule 16b-3. The restricted share units vest in three equal annual installments commencing on February 26, 2014.
- (5) Restricted share units awarded pursuant to the SunCoke Energy, Inc. Long-Term Performance Enhancement Plan in a transaction exempt under Rule 16b-3. The restricted share units vest in three equal annual installments commencing on February 18, 2015.

Reporting Owners 2

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Grant of stock options (right to buy SunCoke Energy, Inc. common stock) awarded pursuant to the SunCoke Energy, Inc. Long-Term

(6) Performance Enhancement Plan in a transaction exempt under Rule 16b-3. These stock options are exercisable during a term expiring ten years from the date of the grant, and vest in three equal annual installments commencing on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.