

3M CO  
Form 4  
November 08, 2016

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Bushman Julie L

(Last) (First) (Middle)  
3M CENTER  
(Street)

ST. PAUL, MN 55144-1000

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
3M CO [MMM]

3. Date of Earliest Transaction (Month/Day/Year)  
11/04/2016

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Senior Vice President

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	11/04/2016		S	200	D \$ 166.53	34,093	D
Common Stock	11/04/2016		S	200	D \$ 166.575	33,893	D
Common Stock	11/04/2016		S	300	D \$ 166.585	33,593	D
Common Stock	11/04/2016		S	600	D \$ 166.59	32,993	D
Common Stock	11/04/2016		S	400	D \$ 166.6	32,593	D

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Common Stock	11/04/2016	S	800	D	\$ 166.61	31,793	D
Common Stock	11/04/2016	S	200	D	\$ 166.62	31,593	D
Common Stock	11/04/2016	S	700	D	\$ 166.625	30,893	D
Common Stock	11/04/2016	S	200	D	\$ 166.65	30,693	D
Common Stock	11/04/2016	S	100	D	\$ 166.665	30,593	D
Common Stock	11/04/2016	S	50	D	\$ 166.67	30,543	D
Common Stock	11/04/2016	S	400	D	\$ 166.68	30,143	D
Common Stock	11/04/2016	S	200	D	\$ 166.69	29,943	D
Common Stock	11/04/2016	S	99	D	\$ 166.691	29,844	D
Common Stock	11/04/2016	S	650	D	\$ 166.7	29,194	D
Common Stock	11/04/2016	S	550	D	\$ 166.71	28,644	D
Common Stock	11/04/2016	S	1,146	D	\$ 166.72	27,498	D
Common Stock	11/04/2016	S	500	D	\$ 166.73	26,998	D
Common Stock	11/04/2016	S	100	D	\$ 166.731	26,898	D
Common Stock	11/04/2016	S	13	D	\$ 166.74	26,885	D
Common Stock	11/04/2016	S	100	D	\$ 166.745	26,785	D
Common Stock	11/04/2016	S	100	D	\$ 166.75	26,685	D
Common Stock	11/04/2016	S	100	D	\$ 166.76	26,585	D
Common Stock	11/04/2016	S	350	D	\$ 166.77	26,235	D
Common Stock	11/04/2016	S	201	D	\$ 166.78	26,034	D
	11/04/2016	S	200	D	\$ 166.81	25,834	D

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Common Stock									
Common Stock	11/04/2016		S	2,204	D	\$ 166.83	23,630	D	
Common Stock	11/04/2016		S	108	D	\$ 166.86	23,522	D	
Common Stock							800 <sup>(1)</sup>	I	by 401k/paesop Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Transaction (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bushman Julie L 3M CENTER ST. PAUL, MN 55144-1000			Senior Vice President	

## Signatures

/s/ Sheila B. Clagherty, attorney-in-fact for Julie L. Bushman

11/08/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired pursuant to the 3M Voluntary Investment Plan.

### Remarks:

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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