LEVY RICHARD

Form 4 July 18, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

Number:

January 31, 2005

0.5

Expires:

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * LEVY RICHARD			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			WELLS FARGO & COMPANY/MN [WFC]	(Check all applicable)			
(Last) 301 SOUTH	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/31/2017	Director 10% Owner _X Officer (give title Other (specify below)  Executive VP & Controller			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
CHARLOTTE, NC 28202				Form filed by More than One Reportin Person			

(City)	(State)	(Zip) Tak	ole I - N	lon-	Derivative Sec	curitie	s Acquir	ed, Disposed of, o	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (Instr.		4. Securities Acquired (A) onor Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Stock, \$1 2/3 Par Value	03/31/2017		G	V	28,000	D	\$ 0	366	D	
Common Stock, \$1 2/3 Par Value	03/31/2017		G	V	28,000	A	\$ 0	162,222	I	Through RL & DL Trust
Common Stock, \$1 2/3 Par Value	07/15/2017		M		2,588.388 (1)	A	\$ 0	2,954.388	D	

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Common Stock, \$1 2/3 Par Value	07/15/2017	F	1,309.388 D	\$ 54.99	1,645	D	
Common Stock, \$1 2/3 Par Value					99	I	Ira
Common Stock, \$1 2/3 Par Value					1,556.1272 (2)	Ι	Through 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Restricted Share Right	(3)	07/15/2017		M	2,588.388	<u>(4)</u>	<u>(4)</u>	Common Stock, \$1 2/3 Par Value	2,588.38

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

LEVY RICHARD 301 SOUTH COLLEGE STREET CHARLOTTE, NC 28202

Executive VP & Controller

**Signatures** 

Richard Levy, by Anthony R. Augliera, as
Attorney-in-Fact

07/18/2017

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\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Number of shares represents a Restricted Share Right ("RSR") vesting on 7/15/2017. Original grant date was 6/24/2014. This vesting represents one-fourth of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (2) Reflects share equivalent of units in the Wells Fargo ESOP Fund under the 401(k) Plan (the "Plan") as of June 30, 2017, as if investable cash equivalents held by the Plan were fully invested in Wells Fargo & Company (the "Company") common stock.
- (3) Each RSR represents a contingent right to receive one share of Company common stock.
  - These RSRs vest in four installments: one-fourth on 7/15/2015, 7/15/2016, 7/15/2017, and 7/15/2018. As a condition to receiving the
- (4) grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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