

MILLER ALAN B
Form 4
December 01, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
MILLER ALAN B

2. Issuer Name **and** Ticker or Trading
Symbol
UNIVERSAL HEALTH SERVICES
INC [UHS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

UNIVERSAL HEALTH
SERVICES, INC., 367 SOUTH
GULPH ROAD

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/29/2017

☐ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman and CEO

KING OF PRUSSIA, PA 19406

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Class B Common Stock	11/29/2017		M		200,000	A	\$ 102.33	1,134,088	D
Class B Common Stock	11/29/2017		F		147,467	D	\$ 102.33	986,621	D
Class B Common Stock	11/30/2017		M		150,000	A	\$ 107.47	1,136,621	D

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Class B Common Stock	11/30/2017	F	108,546	D	\$ 107.47	1,028,075	D	
Class B Common Stock	12/01/2017	M	100,000	A	\$ 108.35	1,128,075	D	
Class B Common Stock	12/01/2017	F	72,142	D	\$ 108.35	1,055,933	D	
Class B Common Stock						14,858	I	AMK 2014 LLC held by The Abby Danielle Miller 2002 Trust
Class B Common Stock						22,259	I	AMK 2014 LLC held by The Abby Miller King 2015 GRAT
Class B Common Stock						62,883	I	AMK 2014 LLC held by The Abby Miller King 2017 GRAT
Class B Common Stock						55,763	I	By The Abby Miller King 2011 Family Trust
Class B Common Stock						14,858	I	MDM 2014 LLC held by The Marc Daniel Miller 2002 Trust
Class B Common Stock						22,259	I	MDM 2014 LLC held by The Marc

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Class B Common Stock	62,883	I	Daniel Miller 2015 GRAT
Class B Common Stock	14,858	I	MDM 2014 LLC held by The Marc Daniel Miller 2017 GRAT
Class B Common Stock	22,259	I	MS 2014 LLC held by The Marni Spencer 2002 Trust
Class B Common Stock	62,883	I	MS 2014 LLC held by The Marni Spencer 2015 GRAT
Class B Common Stock	10,810	I	MS 2014 LLC held by The Marni Spencer 2017 GRAT
Class B Common Stock	59,900	I	The Alan and Jill Miller Foundation
Class B Common Stock	55,763	I	The Marc Daniel Miller 2011 Family Trust
Class B Common Stock			The Marni Spencer 2011 Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Option To Purchase Class B Common Stock	\$ 53.38	11/29/2017		M		200,000		<u>(1)</u>	01/15/2018	Class B Common Stock	200,000
Option To Purchase Class B Common Stock	\$ 53.38	11/30/2017		M		150,000		<u>(1)</u>	01/15/2018	Class B Common Stock	150,000
Option To Purchase Class B Common Stock	\$ 53.38	12/01/2017		M		100,000		<u>(1)</u>	01/15/2018	Class B Common Stock	100,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MILLER ALAN B UNIVERSAL HEALTH SERVICES, INC. 367 SOUTH GULPH ROAD KING OF PRUSSIA, PA 19406	X	X	Chairman and CEO	

Signatures

/s/ Alan B.

12/01/2017

Miller

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option vests ratably on each of 1/16/2014, 1/16/2015, 1/16/2016 and 1/16/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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