

Miller Marc D
Form 4
December 01, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Miller Marc D

2. Issuer Name **and** Ticker or Trading
Symbol

UNIVERSAL HEALTH SERVICES
INC [UHS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

UNIVERSAL HEALTH
SERVICES, INC., 367 SOUTH
GULPH ROAD

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/29/2017

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President

KING OF PRUSSIA, PA 19406

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Class B Common Stock	11/29/2017		M		45,000	A \$ 102.33	132,345 D
Class B Common Stock	11/29/2017		F		32,324	D \$ 102.33	100,021 D
Class B Common Stock	11/30/2017		M		22,500	A \$ 107.47	122,521 D

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Class B Common Stock	11/30/2017	F	16,283	D	\$ 107.47	106,238	D	
Class B Common Stock						14,858	I	AMK 2014 LLC held by The Abby Danielle Miller 2002 Trust
Class B Common Stock						22,259	I	AMK 2014 LLC held by The Abby Miller King 2015 GRAT
Class B Common Stock						62,883	I	AMK 2014 LLC held by The Abby Miller King 2017 GRAT
Class B Common Stock						14,858	I	MDM 2014 LLC held by The Marc Daniel Miller 2002 Trust
Class B Common Stock						22,259	I	MDM 2014 LLC held by The Marc Daniel Miller 2015 GRAT
Class B Common Stock						62,883	I	MDM 2014 LLC held by The Marc

				Daniel Miller 2017 GRAT
				MS 2014 LLC held by The Marni Spencer 2002 Trust
Class B Common Stock	14,858	I		
				MS 2014 LLC held by The Marni Spencer 2015 GRAT
Class B Common Stock	22,259	I		
				MS 2014 LLC held by The Marni Spencer 2017 GRAT
Class B Common Stock	62,883	I		
				The Abby Danielle Miller 2002 Trust
Class B Common Stock	43,247	I		
				The Abby Miller King 2011 Family Trust
Class B Common Stock	55,763	I		
				The Marc Daniel Miller 2002 Trust
Class B Common Stock	44,110	I		
				The Marc Daniel Miller 2011 Family Trust
Class B Common Stock	59,900	I		

Class B Common Stock	43,247	I	The Marni Spencer 2002 Trust
Class B Common Stock	55,763	I	The Marni Spencer 2011 Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Option To Purchase Class B Common Stock	\$ 53.38	11/29/2017		M	45,000	<u>(1)</u>	01/15/2018	Class B Common Stock 45,000
Option To Purchase Class B Common Stock	\$ 53.38	11/30/2017		M	22,500	<u>(1)</u>	01/15/2018	Class B Common Stock 22,500

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer Other

Miller Marc D
UNIVERSAL HEALTH SERVICES, INC. X President
367 SOUTH GULPH ROAD
KING OF PRUSSIA, PA 19406

Signatures

/s/ Marc D. 12/01/2017
Miller

__Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option vests ratably on each of 1/16/2014, 1/16/2015, 1/16/2016 and 1/16/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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