Edgar Filing: Raja Prabu G. - Form 4

Raja Prabu G Form 4	r .										
December 21	, 2018										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB AF	PROVAL	
		SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549					OMB Number:	3235-0287			
Check this if no long subject to Section 10 Form 4 or Form 5	er STATE 5. Filed pu	x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							January 31Expires:2005Estimated averageburden hours perresponse0.5		
obligation may conti <i>See</i> Instru 1(b).	nue. Section 17			cility Hold vestment	•			1935 or Sectior 0	1		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Raja Prabu G.			2. Issuer Name and Ticker or Trading Symbol APPLIED MATERIALS INC /DE				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			[AMAT	.]				× ×	11		
(M				3. Date of Earliest Transaction (Month/Day/Year) 12/19/2018				Director 10% Owner X Officer (give title Other (specify below) below) SVP, Semi. Products Group			
				f Amendment, Date Original ed(Month/Day/Year)				6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person			
SANTA CLA	ARA, CA 9505	4						Form filed by M Person	ore than One Rep	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	, or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year		n Date, if	3. Transactio Code (Instr. 8) Code V	(Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/19/2018			F	43,930 (1)	D	\$ 31.53	468,111 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
r of the term	Director	10% Owner	Officer	Other				
Raja Prabu G. C/O APPLIED MATERIALS, INC. 3050 BOWERS AVE., M/S 1268, PO BO SANTA CLARA, CA 95054	X 58039		SVP, Semi. Products Group					
Signatures								
/s/ To-Anh Nguyen, Attorney-in-Fact	12/21/2018							
**Signature of Reporting Person	Date							

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents number of shares that were automatically withheld upon vesting of performance shares to cover tax withholding obligations in (1) a transaction exempt under Rule 16b-3.

Number of shares includes 262,607 performance shares and restricted stock units previously reported that in the future will be converted on a one-for-one basis into shares of Applied Materials common stock upon vesting, which vesting is scheduled to occur as follows: (a) 34,704 performance shares are scheduled to vest in December of 2019, (b) 105,294 restricted stock units are scheduled to vest in

(2) installments in December of 2019 through 2021, and (c) 122,609 performance shares are scheduled to vest in installments in December of 2019 through 2021, which number of shares is the target amount, and the actual number of shares that may vest ranges from 0% to 200% of the target amount, depending on achievement of specified performance goals (all vesting is subject to continued employment through each applicable vest date).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.