

Edgar Filing: TRANSGENOMIC INC - Form SC 13G/A

TRANSGENOMIC INC  
Form SC 13G/A  
February 11, 2003

February 11, 2003

Securities and Exchange Commission  
450 Fifth Street, N.W.  
Washington, DC 20549

Re: Schedule 13G - Transgenomic, Inc.

Gentlemen:

Pursuant to Rule 13d-1 under the Securities and Exchange Act of 1934, as amended (the "Exchange Act") and Rule 13d-2 of the General Rules and Regulations promulgated pursuant to the Exchange Act, enclosed for filing electronically is Schedule 13G Amendment No. 1 filed by GlaxoSmithKline plc with respect to ownership of securities of Transgenomic, Inc.

Any questions concerning this filing may be directed to Donald F. Parman at 215-751-7633.

Very truly yours,

/s/  
S.M. Bicknell  
Company Secretary  
GlaxoSmithKline plc

cc w/encs: Transgenomic, Inc.

SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934  
(Amendment No. 1)\*

TRANSGENOMIC, INC.

-----  
(Name of Issuer)

Common Stock, \$.01 Par Value

-----  
(Title of Class of Securities)

89365K206

-----  
(CUSIP Number)

Check the following box if a fee is being paid with this statement [ ]. (A fee is not required only if the filing person: (1) has a previous statement on the file reporting beneficial ownership of more than five percent of the class of securities described in Item 1; and (2) has no amendment subsequent thereto reporting beneficial ownership of five percent or less of such class.) (See Rule

Edgar Filing: TRANSGENOMIC INC - Form SC 13G/A

13d-7.)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing of this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

The undersigned hereby amends and supplements Item 5 of the Initial Statement.

(Continued on following page(s))

CUSIP NO. 89365K206

13G

1 NAME OF REPORTING PERSON  
S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON  
GlaxoSmithKline plc

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

(a) [ ]

(b) [ ]

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION  
England and Wales

5 SOLE VOTING POWER  
1,247,687

NUMBER OF  
SHARES  
BENEFICIALLY  
OWNED BY  
EACH  
REPORTING  
PERSON  
WITH

6 SHARED VOTING POWER

7 SOLE DISPOSITIVE POWER  
1,247,687

8 SHARED DISPOSITIVE POWER

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON  
1,247,687

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES  
[ ]

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

5.3%

Edgar Filing: TRANSGENOMIC INC - Form SC 13G/A

12 TYPE OF REPORTING PERSON\*  
CO

CUSIP NO. 89365K206 \*SEE INSTRUCTION BEFORE FILLING OUT!  
13G

Item 1. (a). Name of Issuer:  
  
(b). Address of Issuer's Principal Executive Offices:

Item 2. (a). Names of Person Filing:  
  
(b). Address of Principal Business Office:  
  
(c). Citizenship:  
  
(d). Title of Class of Securities:  
  
(e). CUSIP Number:

Item 3. Not Applicable.

Item 4. Ownership.  
  
The information in items 1 and 5 through 11 on the cover pages (page 2) on Schedule 13G Amendment No. 1 is hereby incorporated by reference.

Item 5. Ownership of Five Percent or Less of a Class:  
  
If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following [ ].

Item 6. Ownership of More Than Five Percent on Behalf of Another Person:  
  
All shares held in the escrow account described in the Initial Statement were delivered to owners of record in May 2002.

Item 7. Identification and Classification of Subsidiaries Which Acquired the Security Being Reported on by the Parent Holding Company:

Edgar Filing: TRANSGENOMIC INC - Form SC 13G/A

Item 8. Identification and Classification of Members of the Group:

Item 9. Notice of Dissolution of Group:

Item 10. Certification:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of such securities and were not acquired in connection with or as a participant in any transaction having such purpose or effect.

Signature:

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

GLAXOSMITHKLINE PLC

By: /s/ \_\_\_\_\_  
S.M. Bicknell  
Company Secretary

Dated: February 11, 2003