Edgar Filing: WisdomTree Investments, Inc. - Form 4

WisdomTree Investments, Inc. Form 4

February 06	5, 2012										
FORM	Л 4									OMB AF	PPROVAL
	UNITED	STATES				D EXCH .C. 2054		GE CO	MMISSION	OMB Number:	3235-0287
Check the check	nger		-							Expires:	January 31, 2005
subject Section Form 4	to SIAIE N 16.	F CHAN	HANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					ERSHIP OF	Estimated a burden hou	verage	
Form 5 obligation may con See Inst 1(b).	Filed pur ons htinue. Section 17(a) of the l	Public U	tility Ho	ldir		any A	ct of 1	Act of 1934, 935 or Section	response	0.5
(Print or Type	Responses)										
	Address of Reporting ares III-A, LP	Person *	Symbol			icker or Tr tments, I	-		. Relationship of I ssuer	Reporting Pers	son(s) to
			[WETF		vesi	linents, i	lic.		(Check	all applicable	:)
(Last) 130 EAST FLOOR	(First) (1) 59TH STREET, 1	Middle)		of Earliest Day/Year) 2012	Fran	saction		 - b	Director Officer (give ti elow)	$\begin{array}{c} \underline{X} 10\% \\ \text{itle} \\ \underline{W} \\ \text{below} \end{array}$	6 Owner er (specify
	(Street)			endment, I nth/Day/Ye		Original		А	. Individual or Joi applicable Line) X_ Form filed by Ou	-	-
NEW YOR	RK, NY 10022							P	Form filed by Mo erson	ore than One Re	porting
(City)	(State)	(Zip)	Tab	le I - Non	Der	ivative Se	curitie	s Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deem (Month/Day/Year) Execution any (Month/D		Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) ay/Year) (Instr. 8)			red (A)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
G				Code V		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common	02/02/2012			C	1	504 704	D	\$	16 260 272	D	

\$ 5.33 \$ 5.33 Common 02/03/2012 S 245,826 D 16,123,447 Stock

S

1,524,734 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

02/02/2012

Stock

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

16,369,273

D

D

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Tit Amou Unde Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
L O	Director	10% Owner	Officer	Other			
RRE Ventures III-A, LP 130 EAST 59TH STREET 17TH FLOOR NEW YORK, NY 10022	Х						
Signatures							
/s/ Peter M. Ziemba, Attorney-in-Fact	02/06/2012						
<u>**</u> Signature of Reporting Person		Date					
Evaluation of Decanonace							

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 160;12/05/200712/05/2017 Common Stock 18,000 18,000 D Option to Buy Common Stock \$ 33.17 12/06/200612/06/2016 Common Stock 31,200 31,200 D

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Othe		
CORNELISON ALBERT O JR						
HALLIBURTON COMPANY						
3000 N. SAM HOUSTON PKWY E.			EVP and General Counsel			
HOUSTON, TX 77032						

Signatures

Robert L. Hayter, by Power of Attorney

03/14/2011

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 22, 2011.

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