## Edgar Filing: TRI-CONTINENTAL CORP - Form 4

TRI-CONTINEN Form 4 March 02, 2010	ITAL CORP											
FORM 4	L								C	MB APPF	OVAL	
	UNITEDS	FATES	SECURITII Washing			NG	E COMM	ISSION	OME Num		235-0287	
Check this box if no longer									Ехрії	res: Ja	anuary 31, 2005	
subject to STATEMENT OF CHANGES				S IN BENEFICIAL OWNERSH				HIP OF		Estimated average		
Section 16. Form 4 or	SEC				£S				burd	er		
Form 5	Filed pursu	ant to S	Section 16(a)	of the Sec	urities F	Excha	ange Act o	f 1934	respo	onse	0.5	
obligations	Section $17(a)$		Public Utility				•		L			
may continue. <i>See</i> Instructior 1(b).			of the Investr	•	-	•						
(Print or Type Respo	onses)											
1. Name and Address of Reporting Person * TRUSCOTT WILLIAM F2. Issuer Name Symbol			ne <b>and</b> Ticker or Trading 5. Relations Issuer				tionship of l	nship of Reporting Person(s) to				
			TRI-CONTI	NENTAI	CORP	[TY]		(Check	all an	plicable)		
(Last)	(First) (Mic	ddle)	3. Date of Earli	est Transac	tion			(Cheek	t un up	phouoio)		
53600 AMERIP	DISE EINANC	TAT	(Month/Day/Ye	ear)				Director Officer (give	title	10% Ow Other (sp		
CENTER	KISE FIINANC	LIAL	03/02/2010				below)			low)	Jeeny	
(Street) 4. If Amendmen Filed(Month/Day				y/Year) Applical				5. Individual or Joint/Group Filing(Check				
								ble Line) m filed by One Reporting Person				
MINNEAPOLIS	S, MN 55474							m filed by M				
(City)	(State) (Z	ip)	Table I - N	Non-Deriva	tive Secu	rities .	Acquired, D	Disposed of,	or Be	neficially C	wned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	. Deemed ecution Date, if onth/Day/Year)	3.4. Securities AcquirTransactionor Disposed of (D)Code(Instr. 3, 4 and 5)(Instr. 8)			(D)	red (A) 5. Amoun Securities Beneficial Owned Following		6. Ownership Form: Direct (D) or Indirect	Beneficia ) Ownershi		
				Code V	(A) or Code V Amount (D) Pri		Price	Reported Transaction(s) (Instr. 3 and 4)		(I) (Instr. 4)	(11011-1)	
Tri-Continental Corporation Common Stock	03/02/2010			Р	1,900	А	\$ 11.6478	2,000		D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## Edgar Filing: TRI-CONTINENTAL CORP - Form 4

## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
TRUSCOTT WILLIAM F 53600 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474	R X		Vice President					
Signatures								
Joseph D'Alessandro, power of attorney	03/02/2010							

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.