

LABRANCHE GEORGE M L IV

Form 3

July 07, 2011

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *Â LABRANCHE GEORGE M
L IV

(Last) (First) (Middle)

COWEN GROUP, INC., Â 599
LEXINGTON AVENUE

(Street)

NEW YORK, Â NY Â 10022

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
06/28/20113. Issuer Name **and** Ticker or Trading Symbol
COWEN GROUP, INC. [COWN]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Senior Managing Director5. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Class A Common Stock

1,697,691 ⁽¹⁾

D Â

Class A Common Stock

1,297,400 ⁽²⁾

I Held by wife

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security4. Conversion
or Exercise5. Ownership
Form of6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LABRANCHE GEORGE M L IV COWEN GROUP, INC. 599 LEXINGTON AVENUE NEW YORK, NY 10022		X	Senior Managing Director	

Signatures

/s/ George M.L.
LaBranche IV
07/07/2011
Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Received in exchange for 1,701,094 shares of LaBranche & Co Inc. ("LaBranche") common stock upon consummation of the transactions contemplated by the Agreement and Plan of Merger, dated as of February 16, 2011, by and among the Issuer, Louisiana Merger Sub, Inc., a wholly owned subsidiary of the Issuer, and LaBranche.
- (2) Received in exchange for 1,300,000 shares of LaBranche & Co Inc. ("LaBranche") common stock upon consummation of the transactions contemplated by the Agreement and Plan of Merger, dated as of February 16, 2011, by and among the Issuer, Louisiana Merger Sub, Inc., a wholly owned subsidiary of the Issuer, and LaBranche.

^

Remarks:

The Reporting Person was appointed as an officer and director of the Issuer on June 28, 2011, e

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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