

Truett-Hurst, Inc.
Form 4
July 01, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
1987-1988 Richard C Blum
Irrevocable Children's Trust

(Last) (First) (Middle)

1133 CONNECTICUT AVENUE
NW SUITE 600,

(Street)

WASHINGTON, DC 20036

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Truett-Hurst, Inc. [THST]

3. Date of Earliest Transaction
(Month/Day/Year)
06/20/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X___ 10% Owner
___ Officer (give title below) ___ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

___ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Class A Common Stock | 06/20/2013 | | P | 30,000 | A \$ 5.7944 | 535,000 | D (2) |
| | | | | | (1) | | |
| Class A Common Stock | 06/24/2013 | | P | 10,000 | A \$ 5.325 | 545,000 | D (2) |
| Class A Common Stock | 06/25/2013 | | P | 13,500 | A \$ 5.9077 | 558,500 | D (2) |
| | | | | | (3) | | |
| Class A Common | 06/26/2013 | | P | 5,000 | A \$ 5.73 | 563,500 | D (2) |

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| | | | | | | | | |
|--------------------------------------|------------|---|--------|---|---------------------|---------|---|-----|
| Stock | | | | | | | | |
| Class A Common Stock | 06/27/2013 | P | 10,500 | A | \$ 5.6772 (4) | 569,000 | D | (2) |
| Class A Common Stock | 07/11/2013 | P | 26,764 | A | \$ 5.1194 (5) | 600,764 | D | (2) |
| Class A Common Stock | 07/15/2013 | P | 4,300 | A | \$ 5.0281 | 605,064 | D | (2) |
| Class A Common Stock | 07/16/2013 | P | 1,000 | A | \$ 5.055 | 606,064 | D | (2) |
| Class A Common Stock | 07/17/2013 | P | 9,000 | A | \$ 5.2828 | 615,064 | D | (2) |
| Class A Common Stock | 08/01/2013 | P | 5,000 | A | \$ 5.2855 | 620,064 | D | (2) |
| Class A Common Stock | 08/02/2013 | P | 11,000 | A | \$ 5.2855 (6) | 631,064 | D | (2) |
| Class A Common Stock | 08/06/2013 | P | 100 | A | \$ 5.53 | 631,164 | D | (2) |
| Common Class A Common Stock | 08/13/2013 | P | 48,836 | A | \$ 5.779 (7) | 680,000 | D | (2) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

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- (7) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.7463 to \$5.7860, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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