Edgar Filing: MidWestOne Financial Group, Inc. - Form 4

MidWestOne Financial Group, Inc. Form 4 November 21, 2014

November 2	1, 2014										
FORM	4 UNITED S	STATES	SECUI	RITIES A	AND EX	CHA	NGE	COMMISSIO		APPROVAL	
Check th	uis box		Wa	shington,	, D.C. 20)549			Number		
Check this box if no longer subject to Section 16. Form 4 or			OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							January 31, 2005 d average nours per e 0.5	
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									2 0.3		
(Print or Type	Responses)										
1. Name and A POTHOVE	Address of Reporting 2 N JOHN P	;	Symbol	r Name and stOne Fir			c	5. Relationship Issuer			
			[MOFC			1	,	(Check all applicable)			
(Last) (First) (Middle) 102 S. CLINTON STREET, P.O.			3. Date of Earliest Transaction (Month/Day/Year) 11/21/2014					X Director Officer (gi below)		10% Owner Other (specify	
BOX 1700	VION SIRLEI,	1.0.	11/21/2	014							
Fi			4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
IOWA CIT	Y, IA 52244-1700)						Person		incporting	
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secu	rities A	cquired, Disposed	of, or Benefi	cially Owned	
1.Title of Security 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, any (Month/Day/Year)		Date, if	Code (D)				SecuritiesOvBeneficiallyFoOwned(D	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
						(A) or		Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock				Code V	Amount	(D)	Price	28,254	D		
Common Stock								300	I	Self/UTMA Custodian Minor Grandchild (<u>1)</u>	
Common Stock								50,005	Ι	By IRA	
Common	11/21/2014			М	500	А	\$	4,540	Ι	By Spouse	

Stock	9.34		
Common Stock	1,488 <u>(2)</u>	I	By Spouse's ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio (Instr. 8)	on Code	5. Numb of Deriva Securi Acqui (A) or Dispos of (D) (Instr. 3, 4, and 5)	ties red		7. Title and A Underlying S (Instr. 3 and	Securi
				Options to Purchase Common Stock	\$ 11.94					
Options to Purchase Common Stock	\$ 14.23						10/09/2001	01/20/2011	Common Stock	2,
Options to Purchase Common Stock	\$ 26.49						03/29/2005 <u>(2)</u>	03/29/2014	Common Stock	16.
Demen										

Reporting Owners

porting Owner Name / Address			Relationships			
F 0	Director	10% Owner	Officer	Other		
			Executive Vice President			

Re

HERPICH MARK A 701 POYNTZ AVENUE MANHATTAN, KS 66052

Signatures

Mark Herpich as Attorney In Fact for Mark Herpich

**Signature of Reporting Person

Date

05/22/2006

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vest equally over the initial 4 years subsequent to grant date beginning April 19, 2007.

(2) Vest equally over the initial 4 years subsequent to grant date beginning March 29, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.