Edgar Filing: NEW YORK MORTGAGE TRUST INC - Form 4

NEW YORK MORTGAGE TRUST INC Form 4 April 05, 2007 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Akre David A Issuer Symbol NEW YORK MORTGAGE TRUST (Check all applicable) INC [NTR] __X__ Director (Last) (First) (Middle) 3. Date of Earliest Transaction 10% Owner Other (specify X_Officer (give title (Month/Day/Year) below) below) 1301 AVENUE OF THE 04/05/2007 Vice Chairman/Co-Chief Exe Ofr AMERICAS, 7TH FLOOR (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting NEW YORK, NY 10019 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) any Code (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) (Instr. 4) Following (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common Stock, par 04/05/2007 Ρ 600 92.903 D A 2.38 value \$.01 per share Common Stock, par 04/05/2007 Р 300 93.203 D Α 2.38 value \$.01 per share Common 04/05/2007 Ρ 500 \$ 2.4 93,703 D А Stock, par value \$.01

per share

I						
Commo Stock, p value \$. per shar	ar 04/05/2007 01	Р	3,800	A	\$ 97,503 2.44	D
Commo Stock, p value \$. per shar	ar 04/05/2007 01	Р	300	A	\$ 2.43 97,803	D
Commo Stock, p value \$. per shar	ar 04/05/2007 01	Р	700	A	\$ 98,503 2.41	D
Commo Stock, p value \$. per shar	ar 04/05/2007	Р	400	A	\$ 2.4 98,903	D
Commo Stock, p value \$. per shar	ar 04/05/2007 01	Р	200	A	\$ 99,103 2.39	D
Commo Stock, p value \$. per shar	ar 04/05/2007	Р	700	A	\$ 99,803 2.38	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	24 Deemed	4.	5.	6. Date Exer	aicable and	7. Title	and	8. Price of	9. Nu
	Δ.							7. The	anu		
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amoun	ıt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	ve		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities	s		(Instr. 3	3 and 4)		Owne
	Security				Acquired	l					Follo
					(A) or						Repo
					Disposed	l					Trans
					of (D)						(Instr
					(Instr. 3,						Ì
					4, and 5)						
					.,						
				Code V	(A) (D)	Date	Expiration	Title A	Amount		
						Exercisable	Date	(or		
								1	Number		

Reporting Owners

Reporting Owner Name / Address		Relationships						
		Director	10% Owner	Officer	Other			
Akre David A 1301 AVENUE OF THE AMERICAS 7TH FLOOR NEW YORK, NY 10019		Х		Vice Chairman/Co-Chief Exe Ofr				
Signatures								
/s/ David A. Akre	04/05/2007							
<u>**</u> Signature of Reporting Person	Date							

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.