

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-A/A

Oxford Lane Capital Corp.
Form NSAR-A/A
October 09, 2013

PAGE 1
000 A000000 09/30/2012
000 C000000 0001495222
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 Y
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 OXFORD LANE CAPITAL CORP.
001 B000000 811-22432
001 C000000 2039835275
002 A000000 8 SOUND SHORE DRIVE
002 B000000 GREENWICH
002 C000000 CT
002 D010000 06830
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 OXFORD LANE MANAGEMENT, LLC
008 B000001 A
008 C000001 801-71654
008 D010001 GREENWICH
008 D020001 CT
008 D030001 06830
010 A000001 BDC PARTNERS LLC
010 C010001 GREENWICH
010 C020001 CT
010 C030001 06830
012 A000001 COMPUTER SHARE INC.
012 B000001 84-05925
012 C010001 CANTON
012 C020001 MA
012 C030001 02021
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10017
PAGE 2
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02110
015 E010001 X

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-A/A

018 000000 Y
 019 A000000 N
 019 B000000 0
 020 C000001 0
 020 C000002 0
 020 C000003 0
 020 C000004 0
 020 C000005 0
 020 C000006 0
 020 C000007 0
 020 C000008 0
 020 C000009 0
 020 C000010 0
 021 000000 0
 022 A000001 GOLDMAN SACHS & CO.
 022 B000001 13-5108880
 022 C000001 19564
 022 D000001 0
 022 A000002 CITIGROUP
 022 B000002 11-2418191
 022 C000002 6000
 022 D000002 0
 022 A000003 MARV CAPITAL (SOUTHWEST SECURITIES)
 022 B000003 33-0910711
 022 C000003 2000
 022 D000003 0
 022 A000004 RBS SECURITIES
 022 B000004 13-3172275
 022 C000004 3500
 022 D000004 0
 022 A000005 THE SEAPORT GROUP
 022 B000005 13-3026219
 022 C000005 5910
 022 D000005 0
 022 A000006 STORMHARBOUR SECURITIES
 022 B000006 26-4606771
 022 C000006 3000
 022 D000006 0
 022 C000007 0
 022 D000007 0
 022 C000008 0
 022 D000008 0
 022 C000009 0
 022 D000009 0
 022 C000010 0
 PAGE 3
 022 D000010 0
 023 C000000 39974
 023 D000000 0
 024 000000 N
 025 D000001 0
 025 D000002 0
 025 D000003 0
 025 D000004 0
 025 D000005 0
 025 D000006 0
 025 D000007 0
 025 D000008 0
 026 A000000 N
 026 B000000 N
 026 C000000 N
 026 D000000 Y

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-A/A

026	E000000	N	
026	F000000	N	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
	PAGE	4	
030	A000000		0
030	B000000		0.00
030	C000000		0.00
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	N	
048	000000		0.000
048	A010000		0
048	A020000		0.000

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-A/A

048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	Y
051	000000	N
052	000000	N
053	A000000	N
	PAGE	5
054	A000000	N
054	B000000	N
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	N
054	H000000	N
054	I000000	N
054	J000000	N
054	K000000	N
054	L000000	N
054	M000000	N
054	N000000	N
054	O000000	N
055	A000000	N
055	B000000	N
056	000000	N
057	000000	N
058	A000000	N
059	000000	Y
060	A000000	N
060	B000000	N
061	000000	0
062	A000000	Y
062	B000000	0.0
062	C000000	0.0
062	D000000	0.0
062	E000000	0.0
062	F000000	0.0
062	G000000	0.0
062	H000000	0.0
062	I000000	0.0
062	J000000	0.0
062	K000000	0.0
062	L000000	3.9

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-A/A

062	M000000	0.0
062	N000000	0.0
062	O000000	0.0
062	P000000	0.0
062	Q000000	50.5
062	R000000	45.0
063	A000000	0
063	B000000	8.0
064	A000000	N
064	B000000	N
066	A000000	N
067	000000	N
068	A000000	N
068	B000000	N
069	000000	N
	PAGE	6
070	A010000	Y
070	A020000	N
070	B010000	N
070	B020000	N
070	C010000	N
070	C020000	N
070	D010000	N
070	D020000	N
070	E010000	N
070	E020000	N
070	F010000	N
070	F020000	N
070	G010000	N
070	G020000	N
070	H010000	N
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	N
070	K010000	Y
070	K020000	N
070	L010000	Y
070	L020000	Y
070	M010000	N
070	M020000	N
070	N010000	N
070	N020000	N
070	O010000	Y
070	O020000	N
070	P010000	N
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	N
070	R020000	N
071	A000000	30878
071	B000000	0
071	C000000	74267
071	D000000	0
072	A000000	6
072	B000000	4427
072	C000000	0
072	D000000	0
072	E000000	0
072	F000000	700

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-A/A

072 G000000 235
 072 H000000 0
 072 I000000 23
 072 J000000 0
 072 K000000 0

PAGE 7

072 L000000 0
 072 M000000 97
 072 N000000 0
 072 O000000 0
 072 P000000 0
 072 Q000000 0
 072 R000000 128
 072 S000000 78
 072 T000000 0
 072 U000000 18
 072 V000000 0
 072 W000000 767
 072 X000000 2046
 072 Y000000 0
 072 Z000000 2381
 072AA000000 0
 072BB000000 0
 072CC010000 13718
 072CC020000 0
 072DD010000 0
 072DD020000 0
 072EE000000 0
 073 A010000 1.1000
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 0.0000
 074 A000000 3381
 074 B000000 0
 074 C000000 0
 074 D000000 0
 074 E000000 0
 074 F000000 0
 074 G000000 0
 074 H000000 0
 074 I000000 82390
 074 J000000 0
 074 K000000 0
 074 L000000 1443
 074 M000000 43
 074 N000000 87257
 074 O000000 0
 074 P000000 368
 074 Q000000 0
 074 R010000 0
 074 R020000 0
 074 R030000 0
 074 R040000 568
 074 S000000 0
 074 T000000 86321
 074 U010000 5040
 074 U020000 0

PAGE 8

074 V010000 17.13
 074 V020000 0.00
 074 W000000 0.0000
 074 X000000 61

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-A/A

074	Y000000	0
075	A000000	0
075	B000000	74267
076	000000	15.30
077	A000000	N
078	000000	N
086	A010000	2508
086	A020000	32771
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	691543102
087	A030000	OXLC
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	PATRICK F. CONVOY	
TITLE	CFO	