

Horizon Technology Finance Corp
Form 8-K
November 07, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 7, 2013

HORIZON TECHNOLOGY FINANCE CORPORATION

(Exact name of registrant as specified in its charter)

Delaware	814-00802	27-2114934
(State or other jurisdiction of incorporation)	(Commission File Number)	(I.R.S. Employer Identification No.)

312 Farmington Avenue

Farmington, CT 06032

(Address of principal executive offices and zip code)

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Registrant's telephone number, including area code: **(860) 676-8654**

Check the appropriate box below if the Form 8-K is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Section 8 Other Events

Item 8.01 Other Events

On November 7, 2013, Horizon Technology Finance Corporation (the “Company”) announced that its previous dividend announcement incorrectly reported the ex-dividend dates with respect to the February 17, 2014 and March 17, 2014 dividend payment dates. Set forth in the table below are the correct dates for all of the monthly dividends declared on November 1, 2013.

Declared	Ex-Dividend Date	Record Date	Payment Date	Amount Per Share
November 1, 2013	December 12, 2013	December 16, 2013	January 15, 2014	\$ 0.115
November 1, 2013	January 15, 2014	January 20, 2014	February 17, 2014	\$ 0.115
November 1, 2013	February 12, 2014	February 17, 2014	March 17, 2014	\$ 0.115
Total Declared and to be Paid:				\$ 0.345

A copy of the press release announcing such corrected ex-dividend dates is attached hereto as Exhibit 99.1 and is incorporated by reference herein.

Section 9 Financial Statements and Exhibits

Item 9.01 Financial Statements and Exhibits

(d) Exhibits.

99.1 Press release of the Company dated November 7, 2013.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 7, 2013 HORIZON TECHNOLOGY
FINANCE CORPORATION

By: /s/ Robert D. Pomeroy, Jr.
Robert D. Pomeroy, Jr.
Chief Executive Officer

Exhibit Index

Exhibit No.	Description
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99.1	Press release of the Company dated November 7, 2013
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