Garrison Capital Inc. Form 4

August 13, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or
Form 5
obligations
may continue.
See Instruction

Filed pursuant to Section 1
Section 17(a) of the Public U
30(h) of the Ir

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \*\*
Tansey Joseph Bertrand

(First) (Middle)

1290 AVENUE OF THE AMERICAS., SUITE 914

(Street)

2. Issuer Name **and** Ticker or Trading Symbol

Garrison Capital Inc. [GARS]

3. Date of Earliest Transaction (Month/Day/Year) 08/11/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**OMB APPROVAL** 

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January 31,

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Chief Executive Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)
\_X\_ Form filed by One Reporting Person
\_\_ Form filed by More than One Reporting
Person

NEW YORK, NY 10104

| (City)  | (State)                                 | (Zip) Tabl  | e I - Non-D                         | Derivative | Secur  | ities Acq  | uired, Disposed o   | f, or Beneficial | ly Owned        |
|---|---|---|-------------------------------------|------------|--|--|---|------------------|-----------------|
| 1.Title of<br>Security<br>(Instr. 3)                  | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 3, 4 and 5) (Instr. 8) |            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                  |                 |
|   |   |   | Code V                              | Amount     | (A)<br>or<br>(D)   | Price  | Transaction(s) (Instr. 3 and 4)                                   |                  |                 |
| Common<br>Stock, par<br>value<br>\$0.001 per<br>share | 08/11/2014                              |   | Р                                   | 99         |  | \$<br>14.43  | 7,324   | D                |                 |
| Common<br>Stock, par<br>value<br>\$0.001 per<br>share | 08/12/2014                              |   | P                                   | 2,500      | A  | \$<br>14.43  | 9,824   | D                |                 |
| Common<br>Stock, par                                  |   |   |                                     |            |  |  | 180,860   | I                | See<br>footnote |

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| value<br>\$0.001 per<br>share                         |         |   | <u>(1)</u>        |
|---|---------|---|-------------------|
| Common<br>Stock, par<br>value<br>\$0.001 per<br>share | 95,376  | I | See footnote (2)  |
| Common<br>Stock, par<br>value<br>\$0.001 per<br>share | 158,120 | I | See footnote (3)  |
| Common<br>Stock, par<br>value<br>\$0.001 per<br>share | 101,284 | I | See footnote (4)  |
| Common<br>Stock, par<br>value<br>\$0.001 per<br>share | 62,316  | I | See footnote (5)  |
| Common<br>Stock, par<br>value<br>\$0.001 per<br>share | 732,224 | I | See footnote (6)  |
| Common<br>Stock, par<br>value<br>\$0.001 per<br>share | 11,382  | I | See footnote (7)  |
| Common<br>Stock, par<br>value<br>\$0.001 per<br>share | 7,750   | I | See footnote (8)  |
| Common<br>Stock, par<br>value<br>\$0.001 per<br>share | 1,261   | I | See footnote (9)  |
| Common<br>Stock, par<br>value                         | 4,079   | I | See footnote (10) |

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| \$0.001 per share                                     |         |   |                   |
|---|---------|---|-------------------|
| Common<br>Stock, par<br>value<br>\$0.001 per<br>share | 340,801 | I | See footnote (11) |
| Common<br>Stock, par<br>value<br>\$0.001 per<br>share | 581,907 | I | See footnote (12) |
| Common<br>Stock, par<br>value<br>\$0.001 per<br>share | 67,202  | I | See footnote (13) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed |                     | ate                | 7. Title<br>Amou<br>Under<br>Securi<br>(Instr. | nt of<br>lying                         | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|--|--|---|---|
|   |   |   |   | Code V                                | of (D)<br>(Instr. 3,<br>4, and 5)<br>(A) (D)                  | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   | (Instr  |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |                         |       |  |  |  |
|--------------------------------|---------------|-----------|-------------------------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer                 | Other |  |  |  |
|                                | X             |           | Chief Executive Officer |       |  |  |  |

Reporting Owners 3

Tansey Joseph Bertrand 1290 AVENUE OF THE AMERICAS, SUITE 914 NEW YORK, NY 10104

### **Signatures**

/s/ Joseph Tansey 08/13/2014

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates indirectly serves as investment adviser to GSOF-SP LLC. Due to his control and ownership interest in GSOF-SP LLC, which directly owns 180,860 shares of Common Stock of the Issuer, Mr. Tansey may be viewed as having investment power over all of the shares owned by such entity although voting rights to the Common Stock have been passed through to the members of GSOF-SP LLC. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.
- Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates indirectly serves as investment adviser to GSOF-SP II LLC. Due to his control and ownership interest in GSOF-SP II LLC, which directly owns 95,376 shares of Common Stock of the Issuer, Mr. Tansey may be viewed as having investment power over all of the
- directly owns 95,376 shares of Common Stock of the Issuer, Mr. Tansey may be viewed as having investment power over all of the shares owned by such entity although voting rights to the Common Stock have been passed through to the members of GSOF-SP II LLC. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.
- Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates indirectly serves as investment adviser to GSOF-SP DB LLC. Due to his control and ownership interest in GSOF-SP DB LLC, which directly owns 158,120 shares of Common Stock of the Issuer, Mr. Tansey may be viewed as having investment power over all of the shares owned by such entity although voting rights to the Common Stock have been passed through to the members of GSOF-SP DB LLC. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.
- Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates indirectly serves as investment adviser to GSOF LLC. Due to his control and ownership interest in GSOF LLC, which directly owns
   (4) 101,284 shares of Common Stock of the Issuer, Mr. Tansey may be viewed as having investment power over all of the shares owned by such entity although voting rights to the Common Stock have been passed through to the members of GSOF LLC. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.
- Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates indirectly serves as investment adviser to GSOIF Corporate Loan Pools Ltd. Due to his control and ownership interest in GSOIF

  Corporate Loan Pools Ltd., which directly owns 62,316 shares of Common Stock of the Issuer, Mr. Tansey may be viewed as having investment power over all of the shares owned by such entity although voting rights to the Common Stock have been passed through to the limited partners of the sole shareholder of GSOIF Corporate Loan Pools Ltd. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.
- Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates indirectly serves as investment adviser to GCOH SubCo 2014-1 LLC. Due to his control and ownership interest in GCOH SubCo 2014-1 LLC, which directly owns 732,224 shares of Common Stock of the Issuer, Mr. Tansey may be viewed as having investment power over all of the shares owned by such entity although voting rights to the Common Stock have been passed through to the limited partners of the sole shareholder of GCOH SubCo 2014-1 LLC. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.
- Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates indirectly serves as investment adviser to GCOH SubCo 2014-2 LLC. Due to his control and ownership interest in GCOH SubCo 2014-2 LLC, which directly owns 11,382 shares of Common Stock of the Issuer, Mr. Tansey may be viewed as having investment power over all of the shares owned by such entity although voting rights to the Common Stock have been passed through to the limited partners of the sole shareholder of GCOH SubCo 2014-2 LLC. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.
- (8) Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates indirectly serves as investment adviser to GSOF 2014 LLC. Due to his control and ownership interest in GSOF 2014 LLC, which

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directly owns 7,750 shares of Common Stock of the Issuer, Mr. Tansey may be viewed as having investment power over all of the shares owned by such entity although voting rights to the Common Stock have been passed through to the limited partners of the sole shareholder of GSOF 2014 LLC. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.

Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates indirectly serves as investment adviser to GSOF-SP II 2014 LLC. Due to his control and ownership interest in GSOF-SP II 2014 LLC, which directly owns 1,261shares of Common Stock of the Issuer, Mr. Tansey may be viewed as having investment power over all of the shares owned by such entity although voting rights to the Common Stock have been passed through to the limited partners of the sole shareholder of GSOF-SP II 2014 LLC. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.

Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates indirectly serves as investment adviser to GSOF-SP 2014 LLC. Due to his control and ownership interest in GSOF-SP 2014 LLC, which directly owns 4,079 shares of Common Stock of the Issuer, Mr. Tansey may be viewed as having investment power over all of the shares owned by such entity although voting rights to the Common Stock have been passed through to the limited partners of GSOF-SP 2014 LLC. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.

Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates serves as investment adviser to Garrison Capital Fairchild I, Ltd. Due to his control and ownership interest in Garrison Capital Fairchild I, Ltd., which directly owns 340,801 shares of Common Stock of the Issuer, Mr. Tansey may be viewed as having investment power over all of the shares owned by such entity although voting rights to the Common Stock have been passed through to the limited partners of Garrison Capital Fairchild I, Ltd. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.

Mr. Tansey is a control person of Garrison Investment Group LP and its affiliates. Garrison Investment Group LP or one of its affiliates serves as investment adviser to Garrison Capital Fairchild II Ltd. Due to his control and ownership interest in Garrison Capital Fairchild II Ltd., which directly owns 581,907 shares of Common Stock of the Issuer, Mr. Tansey may be viewed as having investment power over all of the shares owned by such entity although voting rights to the Common Stock have been passed through to the limited partners of the sole shareholder of Garrison Capital Fairchild II Ltd. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock, except to the extent of his pecuniary interest therein.

Due to his control and ownership interest in Garrison Capital Advisers Holdings MM LLC, Mr. Tansey may be viewed as having investment power over all of the shares owned by such entity. Mr. Tansey disclaims beneficial ownership of such shares of Common Stock held by Garrison Capital Advisers Holdings MM LLC, except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.