

Edgar Filing: Oxford Lane Capital Corp. - Form NSAR-B

Oxford Lane Capital Corp.  
Form NSAR-B  
May 29, 2015

PAGE 1  
000 B000000 03/31/2015  
000 C000000 0001495222  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 OXFORD LANE CAPITAL CORP.  
001 B000000 811-22432  
001 C000000 2039835275  
002 A000000 8 SOUNDSHORE DRIVE  
002 B000000 GREENWICH  
002 C000000 CT  
002 D010000 06830  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
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007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
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020 C000010 0  
021 000000 0  
022 A000001 DEUTSCHE BANK  
022 B000001 13-2518466  
022 C000001 65380  
022 D000001 4000  
022 A000002 CREDIT SUISSE  
022 B000002 13-3442768  
022 C000002 49000  
PAGE 2  
022 D000002 0  
022 A000003 GOLDMAN SACHS  
022 B000003 13-5108880  
022 C000003 37000  
022 D000003 6025  
022 A000004 NOMURA SECURITIES INTERNATIONAL

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|     |         |                               |
|-----|---------|-------------------------------|
| 022 | B000004 | 13-2642206                    |
| 022 | C000004 | 34404                         |
| 022 | D000004 | 0                             |
| 022 | A000005 | BARCLAYS                      |
| 022 | B000005 | 30-6324196                    |
| 022 | C000005 | 27348                         |
| 022 | D000005 | 0                             |
| 022 | A000006 | JEFFERIES & CO., INC.         |
| 022 | B000006 | 95-4719745                    |
| 022 | C000006 | 25000                         |
| 022 | D000006 | 0                             |
| 022 | A000007 | BANK OF AMERICA/MERRILL LYNCH |
| 022 | B000007 | 56-0906609                    |
| 022 | C000007 | 24000                         |
| 022 | D000007 | 4935                          |
| 022 | A000008 | CITI GROUP GLOBAL MARKETS     |
| 022 | B000008 | 11-2418191                    |
| 022 | C000008 | 0                             |
| 022 | D000008 | 24750                         |
| 022 | A000009 | RBC CAPITAL MARKETS           |
| 022 | B000009 | 13-5033225                    |
| 022 | C000009 | 19057                         |
| 022 | D000009 | 12213                         |
| 022 | A000010 | KGS ALPHA CAPITAL MANAGEMENT  |
| 022 | B000010 | 27-0687300                    |
| 022 | C000010 | 0                             |
| 022 | D000010 | 7779                          |
| 023 | C000000 | 281189                        |
| 023 | D000000 | 59702                         |
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| 025 | D000002 | 0                             |
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| 025 | D000004 | 0                             |
| 025 | D000005 | 0                             |
| 025 | D000006 | 0                             |
| 025 | D000007 | 0                             |
| 025 | D000008 | 0                             |
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| 028 | A020000 | 0                             |
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| 028 | B010000 | 0                             |
| 028 | B020000 | 0                             |
| 028 | B030000 | 0                             |
| 028 | B040000 | 0                             |
|     | PAGE    | 3                             |
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| 028 | C020000 | 0                             |
| 028 | C030000 | 0                             |
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| 028 | D020000 | 0                             |
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| 028 | E020000 | 0                             |
| 028 | E030000 | 0                             |
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| 028 | F010000 | 0                             |
| 028 | F020000 | 0                             |
| 028 | F030000 | 0                             |
| 028 | F040000 | 0                             |

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|     |         |       |
|-----|---------|-------|
| 028 | G010000 | 0     |
| 028 | G020000 | 0     |
| 028 | G030000 | 0     |
| 028 | G040000 | 0     |
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| 030 | B000000 | 0.00  |
| 030 | C000000 | 0.00  |
| 031 | A000000 | 0     |
| 031 | B000000 | 0     |
| 032 | 000000  | 0     |
| 033 | 000000  | 0     |
| 035 | 000000  | 0     |
| 036 | B000000 | 0     |
| 038 | 000000  | 0     |
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| 048 | C010000 | 0     |
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| 048 | D020000 | 0.000 |
| 048 | E010000 | 0     |
|     | PAGE 4  |       |
| 048 | E020000 | 0.000 |
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| 048 | F020000 | 0.000 |
| 048 | G010000 | 0     |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0     |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0     |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0     |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0     |
| 048 | K020000 | 0.000 |
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| 055 | B000000 | N     |
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| 062 | A000000 | Y     |
| 062 | B000000 | 0.0   |
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| 062 | F000000 | 0.0   |
| 062 | G000000 | 0.0   |
| 062 | H000000 | 0.0   |
| 062 | I000000 | 0.0   |
| 062 | J000000 | 0.0   |

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|       |         |        |
|-------|---------|--------|
| 062   | K000000 | 0.0    |
| 062   | L000000 | 3.4    |
| 062   | M000000 | 0.0    |
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| 062   | P000000 | 0.0    |
| 062   | Q000000 | 7.0    |
| 062   | R000000 | 157.3  |
| 063   | A000000 | 0      |
| 063   | B000000 | 10.9   |
| 066   | A000000 | N      |
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| 071   | B000000 | 222065 |
| 071   | C000000 | 321600 |
| 071   | D000000 | 69     |
| 072   | A000000 | 12     |
| 072   | B000000 | 1451   |
| 072   | C000000 | 43741  |
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| 072   | E000000 | 1448   |
| 072   | F000000 | 12090  |
| 072   | G000000 | 883    |
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| 072   | I000000 | 68     |
| 072   | J000000 | 41     |
|       | PAGE    | 5      |
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| 072   | M000000 | 164    |
| 072   | N000000 | 0      |
| 072   | O000000 | 0      |
| 072   | P000000 | 10659  |
| 072   | Q000000 | 0      |
| 072   | R000000 | 676    |
| 072   | S000000 | 165    |
| 072   | T000000 | 0      |
| 072   | U000000 | 0      |
| 072   | V000000 | 0      |
| 072   | W000000 | 620    |
| 072   | X000000 | 25366  |
| 072   | Y000000 | 0      |
| 072   | Z000000 | 21274  |
| 072AA | 000000  | 9154   |
| 072BB | 000000  | 0      |
| 072CC | 010000  | 0      |
| 072CC | 020000  | 27097  |
| 072DD | 010000  | 0      |
| 072DD | 020000  | 0      |
| 072EE | 000000  | 0      |
| 073   | A010000 | 2.2583 |
| 073   | A020000 | 0.0000 |
| 073   | B000000 | 0.1417 |
| 073   | C000000 | 0.0000 |
| 074   | A000000 | 7732   |
| 074   | B000000 | 0      |
| 074   | C000000 | 0      |
| 074   | D000000 | 0      |
| 074   | E000000 | 0      |
| 074   | F000000 | 0      |
| 074   | G000000 | 0      |
| 074   | H000000 | 0      |
| 074   | I000000 | 369635 |

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|     |         |                           |
|-----|---------|---------------------------|
| 074 | J000000 | 0                         |
| 074 | K000000 | 0                         |
| 074 | L000000 | 14019                     |
| 074 | M000000 | 6122                      |
| 074 | N000000 | 397508                    |
| 074 | O000000 | 21917                     |
| 074 | P000000 | 3532                      |
| 074 | Q000000 | 0                         |
| 074 | R010000 | 0                         |
| 074 | R020000 | 0                         |
| 074 | R030000 | 0                         |
| 074 | R040000 | 732                       |
| 074 | S000000 | 146395                    |
| 074 | T000000 | 224932                    |
| 074 | U010000 | 15972                     |
|     | PAGE    | 6                         |
| 074 | U020000 | 0                         |
| 074 | V010000 | 14.08                     |
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| 074 | Y000000 | 0                         |
| 075 | A000000 | 0                         |
| 075 | B000000 | 239232                    |
| 076 | 000000  | 14.82                     |
| 077 | A000000 | Y                         |
| 077 | B000000 | Y                         |
| 077 | C000000 | N                         |
| 077 | D000000 | N                         |
| 077 | E000000 | N                         |
| 077 | F000000 | N                         |
| 077 | G000000 | N                         |
| 077 | H000000 | N                         |
| 077 | I000000 | N                         |
| 077 | J000000 | N                         |
| 077 | K000000 | N                         |
| 077 | L000000 | N                         |
| 077 | M000000 | N                         |
| 077 | N000000 | N                         |
| 077 | O000000 | N                         |
| 077 | P000000 | N                         |
| 077 | Q010000 | Y                         |
| 077 | Q020000 | Y                         |
| 077 | Q030000 | N                         |
| 078 | 000000  | N                         |
| 080 | A000000 | OXFORD LANE CAPITAL CORP. |
| 080 | C000000 | 1000                      |
| 081 | A000000 | Y                         |
| 081 | B000000 | 2                         |
| 082 | A000000 | N                         |
| 082 | B000000 | 0                         |
| 083 | A000000 | N                         |
| 083 | B000000 | 0                         |
| 084 | A000000 | N                         |
| 084 | B000000 | 0                         |
| 085 | A000000 | Y                         |
| 085 | B000000 | N                         |
| 086 | A010000 | 463                       |
| 086 | A020000 | 7551                      |
| 086 | B010000 | 72                        |
| 086 | B020000 | 1049                      |
| 086 | C010000 | 2753                      |

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086 C020000 66198  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0

PAGE 7

086 F010000 0  
086 F020000 0

SIGNATURE PATRICK F. CONROY  
TITLE CFO