## Edgar Filing: Limoneira CO - Form 4

Limoneira CO

Form 4											
January 28, 2										PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549							• · · · • · ·	3235-0287			
Check this if no longe subject to Section 16 Form 4 or Form 5	er <b>STATH</b> 5.		ENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires:January 31 2001Estimated average burden hours per response0.1	
obligation may contin <i>See</i> Instruct 1(b).	s Section 1	7(a) of the		ility Hold	ing Com	pany	Act o	of 1935 or Section	on		
(Print or Type R	esponses)										
Sawyer Robert M Syn				Name and '		Fradin	g	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				(Che	ck all applicable	e)		
				th/Day/Year) 6/2016				_X_ Director 10% Owner Officer (give title Other (specify below)			
	(Street)	Street) 4. If Amendm Filed(Month/E						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SANTA PAU	JLA, CA 9300	50							More than One Re		
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)		2. Transaction Date 2A. Deemed Month/Day/Year) Execution Date, any (Month/Day/Yea		Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A)				SecuritiesHBeneficially(i)OwnedHFollowing(i)ReportedTransaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	01/26/2016			Code V A	Amount 2,412 (1)	or (D) A	Price \$ 0	(Instr. 3 and 4) 54,939	I	By Trust (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Tit	le and	8. Price of	9
Derivative Conversion		(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	Expiration Date		int of	Derivative	]
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	5
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	J
	Derivative				Securities			(Instr	. 3 and 4)		(
	Security				Acquired						J
					(A) or						J
					Disposed						5
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount or		
							Expiration	Title N	Number		
							Date		of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

**Reporting Owner Name / Address** 

C/O LIMONEIRA COMPANY

1141 CUMMINGS ROAD SANTA PAULA, CA 93060

Sawyer Robert M

Signatures

/s/ Robert M. Sawyer, by Don P. Delmatoff and Joseph D. Rumley as attorneys-in-fact

Director

Х

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Relationships

10% Owner Officer Other

- (1) Reflects the granting of a stock award pursuant to the Limoneira Company Amended and Restated 2010 Omnibus Incentive Plan as approved by the shareholders.
- (2) Shares beneficially owned by the Reporting Person through the Sawyer Family 2004 Revocable Trust u/t/a/ dated July 22, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

01/28/2016

Date

9. Nt

Deriv Secu: Bene Own Follo Repo Trans (Instr