

Edgar Filing: JUNIATA VALLEY FINANCIAL CORP - Form 8-K

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07

SUBMISSION OF MATTERS TO A VOTE OF SECURITY HOLDERS

The Annual Meeting of Shareholders (the “Annual Meeting”) of Juniata Valley Financial Corp. (the “Company” or “Juniata Valley”) was held on May 17, 2016. At the Annual Meeting, the shareholders elected 4 Class B directors to serve until the 2019 Annual Meeting, as described below:

| Name | For | Withhold Abstentions / Authority | Broker Non-votes |
|------------------------|-----------|----------------------------------|------------------|
| Marcie A. Barber | 2,580,553 | 67,376 | 0 |
| Timothy I. Havice | 2,546,161 | 101,767 | 0 |
| Charles L. Hershberger | 2,586,668 | 61,260 | 0 |
| Bradley J. Wagner | 2,594,200 | 53,729 | 0 |

At the Annual Meeting, the shareholders approved an amendment to the Juniata Valley Financial Corp. 2011 Stock Option Plan. The amendment includes a change in the plan name to 2016 Long Term Incentive Plan of Juniata Valley Financial Corp.

| | | | | |
|-----------|-----------|---------|--------|-----------|
| For | Against | Abstain | Broker | Non-votes |
| 2,458,340 | 06785,910 | 103,678 | 0 | 0 |

At the Annual Meeting, the shareholders approved the non-binding “say on pay” proposal regarding compensation of the named executive officers, as follows:

| | | | | |
|-----------|---------|---------|--------|-----------|
| For | Against | Abstain | Broker | Non-votes |
| 2,403,593 | 81,479 | 162,857 | 0 | 0 |

There were no other matters considered at the meeting.

Item 8.01 Other Events

On May 17, 2016, Juniata Valley Financial Corp. held its Annual Meeting of Shareholders (“Annual Meeting”). The presentation for the Annual Meeting, attached as Exhibit 99.1 and incorporated by reference, is being furnished to the SEC and shall not be deemed to be “filed” for any purpose.

Item 9.01 Financial Statements and Exhibits

Exhibit 10.1 2016 Long Term Incentive Plan of Juniata Valley Financial Corp. (incorporated by reference to the Company’s 2016 proxy statement, filed April 8, 2016)

Exhibit 99.1 Juniata Valley Financial Corp. Annual Meeting Presentation

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Juniata Valley Financial Corp.

Date: May 18, 2016 By: /s/ JoAnn McMinn
Name: JoAnn McMinn
Title: EVP, Chief Financial Officer