WEST BANCORPORATION INC

Form 4

August 04, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

0.5

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

Estimated average

See Instruction

1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * NELSON DAVID D			2. Issuer Name and Ticker or Trading Symbol WEST BANCORPORATION INC [WTBA]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) 1601 22ND S	(First) TREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/16/2014	_X_ Director 10% Owner Other (specify below) CEO & PRESIDENT		
West des 1	(Street)	50266	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
WEST DES M	(State)	(Zip)	Table I - Non-Derivative Securities Acc	Person quired, Disposed of, or Beneficially Owned		

• •		Table	I - Moll-De	iivauve s	ecuri	nes Acqu	n eu, Disposeu oi	, or belieficia	ny Owneu
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	m: Beneficial ect (D) Ownership	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
COMMON STOCK	07/16/2014		G	6,831	D	<u>(1)</u>	77,148	D	
COMMON STOCK	08/01/2014		F	549 (2)	D	\$ 14.57	76,599	D	
COMMON STOCK	07/16/2014		G	6,831	A	<u>(1)</u>	12,393	I	By Katherine A. Nelson Rev Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

Edgar Filing: WEST BANCORPORATION INC - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securiti	es	(Instr. 5)	Bene
	Derivative				Securities	1		(Instr. 3	and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						·
					4, and 5)						
								,	Amount		
									r		
						Date	Expiration		Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Kelationsinps							
	Director	10% Owner	Officer	Other				
NELSON DAVID D 1601 22ND STREET WEST DES MOINES, IA 50266	X		CEO & PRESIDENT					

Signatures

Marie I. Roberts, By Power of Attorney

**Signature of Reporting Person

08/04/2014 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 6,831 shares were transferred to the Katherine A. Nelson Revocable Trust.
- (2) Restricted stock units withheld to pay payroll taxes due upon vesting and issuance of restricted stock units shares on August 1, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2