

Edgar Filing: HUDSON TECHNOLOGIES INC /NY - Form NT 10-K

HUDSON TECHNOLOGIES INC /NY
Form NT 10-K
April 01, 2003

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

FORM 12b-25

COMMISSION FILE NUMBER
033-80270-NY

NOTIFICATION OF LATE FILING

(Check One): Form 10-K Form 11-K Form 20-F Form 10-Q
 Form N-SAR

For Period Ended: December 31, 2002

Transition Report on Form 10-K

Transition Report on Form 20-F

Transition Report on Form 11-K

Transition Report on Form 10-Q

Transition Report on Form N-SAR

For the Transition Period Ended: _____

Read Instruction (on back page) Before Preparing Form. Please Print or Type.

Nothing in this form shall be construed to imply that the Commission has verified any information contained herein.

If the notification relates to a portion of the filing checked above, identify the item(s) to which the notification relates:

PART I -- REGISTRANT INFORMATION

Hudson Technologies, Inc.

Full Name of Registrant

Former Name If Applicable

275 North Middletown Road

Address of Principal Executive Office (Street and Number)

Pearl River, New York 10965

City, State and Zip Code

PART II -- RULE 12b-25(b) AND (c)

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If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed. (Check box if appropriate.)

- (a) The reasons described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense;
- (b) The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, Form 11-K or Form N-SAR, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report or transition report on Form 10-Q, or portion thereof will be filed on or before the fifth calendar day following the prescribed due date; and
- (c) The accountant's statement or other exhibit required by Rule 12b-25(c) has been attached if applicable.

PART III -- NARRATIVE

State below in reasonable detail why the Form 10-K, 11-K, 20-F 10-Q, N-SAR or the transition report portion thereof could not be filed within the prescribed time period. (Attach Extra Sheets if Needed.)

The Form 10-KSB for the fiscal year ended December 31, 2002 could not be filed within the prescribed time period due to unanticipated delays arising in connection with its preparation.

PART IV -- OTHER INFORMATION

- (1) Name and telephone number of person to contact in regard to this notification

| | | |
|------------------------|-------------|--------------------|
| Stephen P. Mandracchia | (845) | 735-6000 |
| ----- | | |
| (Name) | (Area Code) | (Telephone Number) |

- (2) Have all other periodic reports required under Section 13 or 15(d) of the Securities Exchange Act of 1934 or Section 30 of the Investment Company Act of 1940 during the preceding 12 months or for such shorter period that the registrant was required to file such report(s) been filed? If the answer is no, identify report(s).

Yes No

- (3) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

Yes No

If so, attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.

See Attachment

Hudson Technologies, Inc.

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(Name of Registrant as Specified in Charter)

has caused this notification to be signed on its behalf by the undersigned thereunto duly authorized.

Date: March 31, 2003

By: /s/ Stephen P. Mandracchia

Stephen P. Mandracchia
Vice President of Operations

INSTRUCTION: The form may be signed by an executive officer of the registrant or by any other duly authorized representative. The name and title of the person signing the form shall be typed or printed beneath the signature. If the statement is signed on behalf of the registrant by an authorized representative (other than an executive officer), evidence of the representative's authority to sign on behalf of the registrant shall be filed with the form.

ATTENTION

Intentional misstatements or omissions of fact constitute Federal Criminal Violations (see 18 U.S.C. 1001).

ATTACHMENT TO PART IV-ITEM (3) OF
FORM 12B-25
HUDSON TECHNOLOGIES, INC.

WITH RESPECT TO ITS FORM 10-KSB FOR THE
YEAR ENDED DECEMBER 31, 2002

The registrant estimates that its results of operations for the year ended December 31, 2002, as reflected in its consolidated statements of operations to be included in its Form 10-KSB for the year ended December 31, 2002 ("Fiscal 2002"), will reflect the following changes:

A decrease in total revenues to approximately \$19,963,000 for Fiscal 2002 as compared to total revenues of \$20,768,000 for the year ended December 31, 2001 ("Fiscal 2001").

In addition, the registrant expects to report that loss from operations increased to approximately \$2,453,000 for Fiscal 2002 as compared to \$2,220,000 for Fiscal 2001; and net loss increased to approximately \$2,522,000 for Fiscal 2002 as compared to \$2,399,000 for Fiscal 2001.