#### Edgar Filing: SIMMONS FIRST NATIONAL CORP - Form 4

#### SIMMONS FIRST NATIONAL CORP

Form 4 January 29, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

January 31, Expires: 2005

10% Owner

Other (specify

**OMB APPROVAL** 

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

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obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Bartlett David L Issuer Symbol SIMMONS FIRST NATIONAL CORP [SFNC]

(Check all applicable)

(First) SIMMONS FIRST NATIONAL

(Street)

(State)

CORP, 501 MAIN STREET

(Middle)

(Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

(Month/Day/Year)

01/27/2014

3. Date of Earliest Transaction

X\_ Officer (give title

\_X\_\_ Director

6. Individual or Joint/Group Filing(Check Applicable Line)

President and CBO

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

PINE BLUFF, AR 71603

(City)	(State)	(Zip) Tal	ble I - Non-	-Derivative Securities	Acquired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
SFNC	01/27/2014		A	$\frac{2,500}{(1)}$ A $\frac{\$}{36.6}$	, 30,051	D	
SFNC					1,988	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option	\$ 24.5	05/23/2005		X	0	05/23/2007	05/24/2015	Common	222
Incentive Stock Option	\$ 24.5	05/23/2005		X	0	05/23/2008	05/24/2015	Common	222
Incentive Stock Option	\$ 24.5	05/23/2005		X	0	05/23/2009	05/24/2015	Common	222
Incentive Stock Option	\$ 24.5	05/23/2005		X	0	12/31/2005	05/24/2015	Common	444
Incentive Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2007	05/20/2016	Common	360
Incentive Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2008	05/20/2016	Common	360
Incentive Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2009	05/20/2016	Common	360
Incentive Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2010	05/20/2016	Common	360
Incentive Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2011	05/20/2016	Common	360
Non-Qualified Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2007	05/20/2016	Common	500
Non-Qualified Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2008	05/20/2016	Common	500
Non-Qualified Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2009	05/20/2016	Common	500
Non-Qualified Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2010	05/20/2016	Common	500
Non-Qualified Stock Option	\$ 26.19	05/22/2006		X	0	05/22/2011	05/20/2016	Common	3,000

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Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2008	05/31/2017	Common	480
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2009	05/31/2017	Common	480
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2010	05/31/2017	Common	480
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2011	05/31/2017	Common	480
Incentive Stock Option	\$ 28.42	05/31/2007	X	0	05/31/2012	05/31/2017	Common	480
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2009	05/28/2018	Common	1,284
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2010	05/28/2018	Common	1,284
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2011	05/28/2018	Common	1,284
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2012	05/28/2018	Common	1,284
Non-Qualified Stock Option	\$ 30.31	05/29/2008	X	0	05/29/2013	05/28/2018	Common	1,284

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Bartlett David L					
SIMMONS FIRST NATIONAL CORP	X		President		
501 MAIN STREET	Λ		and CBO		
PINE BLUFF, AR 71603					

# **Signatures**

/s/ David L. Bartlett by Piper P.
Erwin 01/29/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares will vest evenly over the next five years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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