

Meloun John P  
Form 4  
July 02, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Meloun John P

2. Issuer Name and Ticker or Trading Symbol  
JOINT Corp [JYNT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
16767 N. PERIMETER DR., STE. 240  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
06/28/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Chief Financial Officer

SCOTTSDALE, AZ 85260

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	06/28/2018		M		5,000	A	\$ 4.1
Common Stock	06/28/2018		M		5,000	A	\$ 2.24
Common Stock	06/28/2018		M		8,750	A	\$ 2.51
Common Stock	06/28/2018		M		13,907	A	\$ 3.88
Common Stock	06/28/2018		S		29,909	D	\$ 8.02

Edgar Filing: Meloun John P - Form 4

Common Stock	06/28/2018	S	10,630	D	\$ 8	6,040	D
Common Stock	06/29/2018	S	4,040	D	\$ 8	2,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 4.1	06/28/2018		M	5,000	<u>(1)</u>	03/14/2026	Common Stock	5,000
Employee Stock Option (right to buy)	\$ 2.24	06/28/2018		M	5,000	<u>(2)</u>	08/09/2026	Common Stock	5,000
Employee Stock Option (right to buy)	\$ 2.51	06/28/2018		M	8,750	<u>(3)</u>	11/08/2026	Common Stock	8,750
Employee Stock Option (right to buy)	\$ 3.88	06/28/2018		M	13,907	<u>(4)</u>	05/09/2027	Common Stock	13,907

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Meloun John P 16767 N. PERIMETER DR., STE. 240 SCOTTSDALE, AZ 85260			Chief Financial Officer	

## Signatures

/s/Robin C. Friedman,  
Attorney-in-fact

07/02/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 5,000 options of a total award of 10,000 options vested in two equal installments on March 14, 2017 and 2018.
  - (2) 5,000 options of a total award of 20,000 options vested on August 9, 2017.
  - (3) 8,750 options of a total award of 35,000 options vested on November 8, 2017.
  - (4) 13,907 options of a total award of 13,907 options vested on March 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.