Edgar Filing: WHITE CHRISTOPHER A - Form 4

WHITE CHRISTOPHER A

Form 4

November 03, 2009

FORM -	4
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

3235-0287 January 31, Expires:

2005

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * WHITE CHRISTOPHER A	2. Issuer Name and Ticker or Trading Symbol Cowen Holdings, Inc. [COWN]	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)	3. Date of Earliest Transaction	(Check all applicable)			
COWEN GROUP, INC., 1221 AVENUE OF THE AMERICAS	(Month/Day/Year) 11/02/2009	Director 10% Owner _X Officer (give title Other (specify below) Vice President			
(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
NEW YORK, NY 10020	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

	(City)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								ly Owned	
1.Title of Security		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. 4. Secur Transaction(A) or D		rities Acquired Disposed of		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	
	(Instr. 3)		any	Code	(D)			Beneficially	(D) or	Beneficial	
			(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4	and 5	5)	Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
	Common stock	11/02/2009		D	59,978	D	<u>(1)</u>	0	D		
	Common stock	11/02/2009		D	200	D	<u>(1)</u>	0	I	By children	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options (right to buy)	\$ 16	11/02/2009		D	19,276	(2)	<u>(2)</u>	Common Stock	0	\$

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WHITE CHRISTOPHER A COWEN GROUP, INC. 1221 AVENUE OF THE AMERICAS NEW YORK, NY 10020

Vice President

Signatures

/s/ Christopher A. 11/02/2009 White

**Signature of Reporting Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- All common stock of the Issuer (f/k/a Cowen Group, Inc.) beneficially owned by the filer was converted into Class A common stock, par (1) value \$0.01 per share, of Cowen Group, Inc. (f/k/a LexingtonPark Parent Corp.) at the closing of the business combination transaction involving the Issuer and RCG Holdings LLC (f/k/a Ramius LLC).
- The stock options of the Issuer (f/k/a Cowen Group, Inc.) beneficially owned by the filer were converted into stock options to purchase (2) the equivalent number of shares of Class A common stock, par value \$0.01 per share, of Cowen Group, Inc. (f/k/a LexingtonPark Parent Corp.) at the closing of the business combination transaction involving the Issuer and RCG Holdings LLC (f/k/a Ramius LLC).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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