

CHASE CORP

Form 4

December 12, 2014

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CHASE PETER R

(Last) (First) (Middle)

26 SUMMER STREET

(Street)

BRIDGEWATER, MA 02324

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
CHASE CORP [CCF]

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/11/2014

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|-----------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Chase<br>Corporation<br>Common<br>Stock |                                         |                                                             |                                      |                                                                         | 605,069                                                                                                            | D                                                                       |                                                                   |
| Chase<br>Corporation<br>Common<br>Stock |                                         |                                                             |                                      |                                                                         | 127,000 <sup>(1)</sup>                                                                                             | I                                                                       | Peter R.<br>Chase<br>2013<br>Annuity<br>Trust                     |
| Chase<br>Corporation<br>Common          |                                         |                                                             |                                      |                                                                         | 150,880 <sup>(4)</sup>                                                                                             | I                                                                       | Peter R.<br>Chase<br>2014                                         |

# Edgar Filing: CHASE CORP - Form 4

|                                |            |   |     |   |              |             |   |  |                                |
|--------------------------------|------------|---|-----|---|--------------|-------------|---|--|--------------------------------|
| Stock                          |            |   |     |   |              |             |   |  | Annuity Trust                  |
| Chase Corporation Common Stock | 12/11/2014 | S | 275 | D | \$ 35.01 (3) | 191,882 (2) | I |  | Peter R. Chase Insurance Trust |
| Chase Corporation Common Stock | 12/12/2014 | S | 275 | D | \$ 33.82 (3) | 191,607 (2) | I |  | Peter R. Chase Insurance Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date                                               | Title                                      | Amount or Number of Shares                                                          |

## Reporting Owners

| Reporting Owner Name / Address                             | Director | 10% Owner | Officer          | Other |
|------------------------------------------------------------|----------|-----------|------------------|-------|
| CHASE PETER R<br>26 SUMMER STREET<br>BRIDGEWATER, MA 02324 | X        | X         | Chairman and CEO |       |

## Signatures

Paula Myers by power of attorney  
12/12/2014

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares held by the Peter R. Chase 2013 qualified Annuity Trust, a grantor retained annuity trust.

(2) Represents shares held by the Peter R. Chase Insurance Trust.

(3) Reflects shares sold pursuant to a trading plan that was adopted on August 25, 2014 complying with rule 10b5-1 under the Securities Act of 1934, as amended.

(4) Reflects shares held by the Peter R. Chase 2014 qualified Annuity Trust, a grantor retained annuity trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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