Edgar Filing: AMERIPRISE FINANCIAL INC - Form 4

AMERIPRI Form 4 February 13 FORN Check t if no lor subject Section Form 4 Form 5 obligation may con <i>See</i> Inst 1(b).	A 4 UNITED his box his box his box box to 16. or Filed pur Section 170	STATES MENT O rsuant to S (a) of the	Wa F CHA Section Public U	ashington NGES IN SECU 16(a) of t Jtility Ho	n, D.C. 20 N BENEF RITIES he Securi Iding Con)549 TICL ties I npar	AL OWNE Exchange A	MMISSION CRSHIP OF Act of 1934, 935 or Section	OMB AP OMB Number: Expires: Estimated at burden hour response		
TRUSCOTT WILLIAM F Symb				RIPRISE	nd Ticker of		Is	Relationship of Reporting Person(s) to suer (Check all applicable)			
(Last) (First) (Middle) 3. Date (Mont			3. Date (Month/	. Date of Earliest Transaction				Director 10% Owner _X Officer (give title Other (specify below) CEO, Global Asset Management			
			ed(Month/Day/Year) Ap _X				Individual or Joint/Group Filing(Check pplicable Line) K_ Form filed by One Reporting Person _ Form filed by More than One Reporting				
	POLIS, MN 55474	ł						_ Form filed by Mc	ne man One Rej	Joitting	
(City)	(State)	(Zip)	Tal	ble I - Non	-Derivative	Secu	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	Transactic Code (Instr. 8)		of (D))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/11/2015			S	17,191	D	φ 135.9283 (1)	42,682	Ι	By LLC	
Common Stock								12,742	D		
Common Stock								253.73 <u>(2)</u>	Ι	By 401(k) Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
TRUSCOTT WILLIAM F GENERAL COUNSEL'S OFFICE 1098 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474			CEO, Global Asset Management				
Signatures							
/s/ Thomas R. Moore for William Fredrick Truscott	02/13	/2015					

Explanation of Responses:

**Signature of Reporting Person

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reflects the weighted average price of 17,191 shares of common stock of Ameriprise Financial, Inc. sold by the reporting person in multiple transactions on February 11, 2015 with sale prices ranging from \$135.71 to \$136.00 per share. The reporting person undertakes (1) to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full

Date

information regarding the number of shares sold at each separate price.

Estimate of the number of shares held in the reporting person's account in the Ameriprise Financial Stock Fund under the Ameriprise (2) Financial 401(k) plan as of February 11, 2015. This plan uses unit accounting and the number of shares that a participant is deemed to hold varies with the price of Ameriprise stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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