

CHASE CORP
Form 4
January 04, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHASE PETER R

(Last) (First) (Middle)
295 UNIVERSITY AVE.
(Street)

WESTWOOD, MA 02090

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CHASE CORP [CCF]

3. Date of Earliest Transaction
(Month/Day/Year)
01/03/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Executive Chairman

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Chase Corporation Common Stock | | | | | 532,730 | D | |
| Chase Corporation Common Stock | | | | | 258,451 ⁽²⁾ | I | Peter R. Chase 2016 Qualified Annuity Trust #2 |
| Chase Corporation | 01/03/2017 | | S | 500 ⁽⁴⁾ D | \$ 257,560 ⁽¹⁾ | I | Peter R. Chase |
| | | | | | 83.45 | | |

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- (1) Represents shares held by the Peter R. Chase Insurance Trust.
- (2) Reflects shares held by the Peter R. Chase 2016 Qualified Annuity Trust #2
Represents a weighted average price. These shares were sold in multiple transactions at prices ranging from \$83.00 to 83.60. For all
- (3) transactions reported on this date utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.
- (4) Reflects shares sold pursuant to a trading plan that was adopted on November 4, 2016 complying with Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.
Represents a weighted average price. These shares were sold in multiple transactions at prices ranging from \$86.30 to 86.70. For all
- (5) transactions reported on this date utilizing a weighted-average price, the reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.