

SEENDRIPU KISHORE

Form 3

March 23, 2010

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

SEENDRIPU KISHORE

(Last)

(First)

(Middle)

2051 PALOMAR AIRPORT  
ROAD, SUITE 100

(Street)

CARLSBAD, CA 92011

(City)

(State)

(Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

03/23/2010

3. Issuer Name and Ticker or Trading Symbol  
MAXLINEAR INC [MXL]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☒ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

President and CEO

6. Individual or Joint/Group  
Filing(Check Applicable Line)☒ Form filed by One Reporting  
Person☐ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)Common Stock (\$0.0001 par value) <sup>(1)</sup> <sup>(2)</sup>

18,920

I

See footnote <sup>(3)</sup>Common Stock (\$0.0001 par value) <sup>(1)</sup> <sup>(2)</sup>

2,163,116

I

See footnote <sup>(4)</sup>Common Stock (\$0.0001 par value) <sup>(1)</sup> <sup>(2)</sup>

1,065,616

I

See footnote <sup>(5)</sup>Common Stock (\$0.0001 par value) <sup>(1)</sup> <sup>(2)</sup>

1,065,616

I

See footnote <sup>(6)</sup>

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: SEENDRIPU KISHORE - Form 3

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and<br>Expiration Date<br><small>(Month/Day/Year)</small> |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) | 4.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5.<br>Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |   |
|-----------------------------------------------|-------------------------------------------------------------------------------|--------------------|--------------------------------------------------------------------------------------|-----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|---|
|                                               | Date<br>Exercisable                                                           | Expiration<br>Date | Title                                                                                | Amount or<br>Number of<br>Shares                                      |                                                                                                         |                                                             |   |
| Employee Stock Option<br>(right to buy)       | Â <u>(7)</u>                                                                  | 07/28/2019         | Common<br>Stock <u>(1)</u><br><u>(2)</u>                                             | 86,110                                                                | \$ 4.6916                                                                                               | D                                                           | Â |
| Employee Stock Option<br>(right to buy)       | Â <u>(8)</u>                                                                  | 10/27/2019         | Common<br>Stock <u>(1)</u><br>(2)                                                    | 226,039                                                               | \$ 8.191                                                                                                | D                                                           | Â |

## Reporting Owners

| Reporting Owner Name / Address                                                      | Relationships |           |                        |       |
|-------------------------------------------------------------------------------------|---------------|-----------|------------------------|-------|
|                                                                                     | Director      | 10% Owner | Officer                | Other |
| SEENDRIPU KISHORE<br>2051 PALOMAR AIRPORT ROAD<br>SUITE 100<br>CARLSBAD,Â CAÂ 92011 | Â X           | Â X       | Â President<br>and CEO | Â     |

## Signatures

/s/ Patrick E. McCready, by power of attorney

03/23/2010

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Common Stock shall be automatically converted into one share of Class B Common Stock immediately prior to the closing of the Registrant's initial public offering on March 29, 2010 and has no expiration date.
- (2) Following the conversion of Common Stock into Class B Common Stock immediately prior to the closing of the Registrant's initial public offering on March 29, 2010, each share of Class B Common Stock will be convertible into one share of Class A Common Stock upon certain transfers and at the option of the holder. On the seventh anniversary of the closing of the Registrant's initial public offering (March 29, 2017), the Class B Common Stock and the Class A Common Stock will automatically convert into a single class of Common Stock.
- (3) Shares held directly by the Seendripu Relatives Trust dated 10/5/09, a trust for the benefit of the Reporting Person's parents and siblings, and for which the Reporting Person serves as trustee.
- (4) Shares held directly by the Seendripu Family Trust dated 10/5/09, a trust for the benefit of the Reporting Person and the Reporting Person's spouse, for which the Reporting Person and the Reporting Person's spouse serve as trustees.
- (5) Shares held directly by the Kishore V. Seendripu Annuity Trust dated 10/5/09, a grantor retained annuity trust, for which the Reporting Person serves as trustee.
- (6) Shares held directly by the Rekha S. Seendripu Annuity Trust dated 10/5/09, a grantor retained annuity trust, for which the Reporting Person's spouse serves as trustee.
- (7) This stock option was granted on July 28, 2009 and vests over four years. Subject to the optionee's continuing to provide services, 25% of the shares subject to the option vest and become exercisable one year after May 10, 2009, and 2.08% of the shares vest and become

## Edgar Filing: SEENDRIPU KISHORE - Form 3

exercisable at the end of each monthly period thereafter.

This stock option was granted on October 27, 2009 and vests over four years. Subject to optionee's continuing to provide services, 10% of the shares subject to the stock option vest and become exercisable one year after the grant date, 20% of the shares subject to the stock option vest and become exercisable on the second anniversary of the grant date, 30% of the shares subject to the stock option vest and become exercisable on the third anniversary of the grant date, and 40% of the shares subject to the shares subject to the stock option vest and become exercisable on the fourth anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.