

LIGHTPATH TECHNOLOGIES INC
 Form 4
 November 05, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 SILVERMAN GARY

2. Issuer Name and Ticker or Trading Symbol
 LIGHTPATH TECHNOLOGIES INC [LPTH]

5. Relationship of Reporting Person(s) to Issuer
 (Check all applicable)

(Last) (First) (Middle)
 2603 CHALLENGER TECH CT, SUITE 100
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 11/03/2010

Director 10% Owner
 Officer (give title below) Other (specify below)

ORLANDO, FL 32826

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Class A Common					19,042	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Non-qualified stock option	\$ 2.77							11/10/2006	11/10/2015	Class A Common
Non-qualified stock option	\$ 2.77							11/10/2007	11/10/2015	Class A Common
Non-qualified stock option	\$ 2.77							11/10/2008	11/10/2015	Class A Common
Restricted stock unit	\$ 0 ⁽¹⁾							11/10/2006	11/10/2015	Class A Common
Restricted stock unit	\$ 0 ⁽¹⁾							11/10/2007	11/10/2015	Class A Common
Restricted stock unit	\$ 0 ⁽¹⁾							11/10/2008	11/10/2015	Class A Common
Restricted stock unit ⁽²⁾	\$ 0 ⁽¹⁾							10/20/2004	10/20/2014	Class A Common
Restricted stock unit	\$ 0 ⁽¹⁾							10/20/2005	10/20/2014	Class A Common
Restricted stock unit	\$ 0 ⁽¹⁾							10/20/2006	10/20/2014	Class A Common
Restricted stock unit	\$ 0 ⁽¹⁾							10/27/2007	10/27/2016	Class A Common
Restricted stock unit	\$ 0 ⁽¹⁾							10/27/2008	10/27/2016	Class A Common
Restricted stock unit	\$ 0 ⁽¹⁾							10/27/2009	10/27/2016	Class A Common
Restricted stock unit	\$ 0							11/06/2006 ⁽³⁾	11/06/2017	Class A Common
Non-qualified stock option	\$ 2.1							02/01/2009 ⁽³⁾	02/01/2018	Class A Common
8% Convertible Debenture	\$ 1.54							08/01/2008	08/01/2011	Class A Common
Common Stock Warrant	\$ 1.68							08/01/2008	08/01/2013	Class A Common

