

IMURA KIMIHIKO
Form 4
May 03, 2011

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

IMURA KIMIHIKO

(Last) (First) (Middle)

2051 PALOMAR AIRPORT ROAD, SUITE 100

(Street)

CARLSBAD, CA 92011

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

MAXLINEAR INC [MXL]

3. Date of Earliest Transaction

(Month/Day/Year)

05/02/2011

4. If Amendment, Date Original Filed

(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

VP, Semiconductor Tech & Ops

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Class A Common Stock (\$0.0001 par value) ⁽¹⁾	05/02/2011		C ⁽¹⁾		7,500	A	<u>1</u>
Class A Common Stock (\$0.0001 par value)	05/02/2011		S ⁽²⁾		153	D	\$ 9.08
	05/02/2011		S ⁽²⁾		100	D	\$ 9.095

Edgar Filing: IMURA KIMIHIKO - Form 4

Class A Common Stock (\$0.0001 par value)								
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	100	D	\$ 9.1	7,147		D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	200	D	\$ 9.11	6,947		D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	100	D	\$ 9.15	6,847		D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	200	D	\$ 9.18	6,647		D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	200	D	\$ 9.23	6,447		D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	400	D	\$ 9.25	6,047		D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	400	D	\$ 9.255	5,647		D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	600	D	\$ 9.26	5,047		D
	05/02/2011	<u>S(2)</u>	600	D	\$ 9.265	4,447		D

Edgar Filing: IMURA KIMIHICO - Form 4

Class A Common Stock (\$0.0001 par value)							
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	600	D	\$ 9.27	3,847	D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	100	D	\$ 9.275	3,747	D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	501	D	\$ 9.28	3,246	D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	270	D	\$ 9.29	2,976	D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	100	D	\$ 9.2975	2,876	D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	354	D	\$ 9.3	2,522	D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	246	D	\$ 9.31	2,276	D
Class A Common Stock (\$0.0001 par value)	05/02/2011	<u>S(2)</u>	200	D	\$ 9.32	2,076	D
	05/02/2011	<u>S(2)</u>	404	D	\$ 9.33	1,672	D

Class A
Common
Stock
(\$0.0001
par value)

Class A
Common
Stock
(\$0.0001
par value)

Class A
Common
Stock
(\$0.0001
par value)

Class A
Common
Stock
(\$0.0001
par value)

Class A
Common
Stock
(\$0.0001
par value)

05/02/2011 S⁽²⁾ 200 D \$ 9.35 1,472 D

05/02/2011 S⁽²⁾ 800 D \$ 9.36 672 D

05/02/2011 S⁽²⁾ 100 D \$ 9.37 572 D

05/02/2011 S⁽²⁾ 200 D \$ 9.38 372 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. De Se (In	
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
	(5)	05/02/2011		C ⁽¹⁾	7,500	(5)	(5)		7,500

Class B Common Stock (convertible into Class A Common Stock) ⁽¹⁾								Class A Common Stock (\$0.0001 par value)	
Class B Common Stock (convertible into Class A Common Stock) ⁽¹⁾	<u>(5)</u>	05/02/2011		<u>C(1)</u>	2,500	<u>(5)</u>	<u>(5)</u>	Class A Common Stock (\$0.0001 par value)	2,500
Class B Common Stock (convertible into Class A Common Stock) ⁽¹⁾	<u>(5)</u>	05/02/2011		<u>C(1)</u>	2,500	<u>(5)</u>	<u>(5)</u>	Class A Common Stock (\$0.0001 par value)	2,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
IMURA KIMIHICO 2051 PALOMAR AIRPORT ROAD SUITE 100 CARLSBAD, CA 92011			VP, Semiconductor Tech & Ops	

Signatures

/s/ Patrick E. McCready, by power of attorney
05/03/2011

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares of Class B common stock converted into an equivalent number of shares of Class A common stock pursuant to a power of attorney granted by the reporting person on June 7, 2010 in connection with the adoption of a Rule 10b5-1 trading plan.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 7, 2010.
- (3) Shares held directly by KI Trust, a grantor retained annuity trust, for which the Reporting Person serves as trustee.
- (4) Shares held directly by YI Trust, a grantor retained annuity trust, for which the Reporting Person's spouse serves as trustee.
- (5) Each share of Class B Common Stock is convertible into one share of Class A Common Stock, which is the publicly traded stock, upon certain transfers and at the option of the holder. On the seventh anniversary of the closing of the Registrant's initial public offering (March

Edgar Filing: IMURA KIMHIKO - Form 4

29, 2017), the Class B Common Stock and the Class A Common Stock will automatically convert into a single class of Common Stock.

Remarks:

Form 1 of 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.