Edgar Filing: ZOGENIX, INC. - Form 4

| ZOGENIX, INC. Form 4 | | | | | | | | | |
|--|---|------------|--------------------|--|---|--|--|---|--|
| June 19, 2013 FORM 4 | | | | | | | | PPROVAL | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | 3235-0287 | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | Estimated burden hou response | Expires:January 31,Expires:2005Estimated averageburden hours perresponse0.5 | |
| 1(b). (Print or Type Responses) | | | | | | | | | |
| 1. Name and Address of Report MAST ERLE T | 2. Issuer Name and Ticker or Trading Symbol ZOGENIX, INC. [ZGNX] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last)(First)(Middle)3. Date of Ea(Month/Day/C/O ZOGENIX, INC., 12400 HIGHBLUFF DR., SUITE 650 | | | | e of Earliest Transaction h/Day/Year)X_Director | | | ve title 10% Owner below) | | |
| (Street) | Filed(Month/Day/Year) | | | 1 | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| SAN DIEGO, CA 92130 | | | | | | Person | | - F | |
| (City) (State) | (Zip) | | ole I - Non- | | | Acquired, Disposed | | - | |
| 1.Title of Security2. Transaction D (Month/Day/Yea (Instr. 3) | | Date, if | Code (Instr. 8) | 4. Securit onAcquired Disposed (Instr. 3, 4 Amount | (A) or of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Reminder: Report on a separate | line for each cl | ass of sec | urities bene | ficially ow | ned directly | or indirectly. | | | |
| | | | | Perso inforn requir | ns who re nation con ed to resp lys a curre | spond to the colle tained in this forn ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of | 8 |
|-------------|-------------|---------------------|--------------------|-----------|--------------|-------------------------|------------------------|---|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orDerivative | Expiration Date | Underlying Securities | D |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | S |

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| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8) | r. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | | (|
|--------------------------|------------------------------------|------------|------------------|------------|--|-----|---------------------|--------------------|-----------------|-------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Right to buy stock | \$ 1.5 | 06/18/2013 | 06/18/2013 | А | 45,000 | | (1) | 06/18/2023 | Common stock | 45,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| MAST ERLE T C/O ZOGENIX, INC. 12400 HIGH BLUFF DR., SUITE 650 SAN DIEGO, CA 92130 | х | | | | | | |
| Signatures | | | | | | | |
| Vickie Reed, Attorney-in-fact | 9/2013 | | | | | | |

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option was granted on June 18, 2013 and vests in a series of twelve (12) successive, equal monthly installments beginning on June 18, 2013, subject to the Reporting Person's continued service to the Company on each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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