

FISERV INC
Form 4
December 05, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Gianoni Michael P

2. Issuer Name and Ticker or Trading Symbol
FISERV INC [FISV]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
10 EXCHANGE PLACE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/03/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP, Group President

JERSEY CITY, NJ 07302

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 12/03/2013 | | M | 6,918 A \$ 54.21 | 23,173 | D | |
| Common Stock | 12/03/2013 | | S | 6,918 D \$ 110.05 (1) | 16,255 | D | |
| Common Stock | 12/03/2013 | | M | 2,418 A \$ 32.74 | 18,673 | D | |
| Common Stock | 12/03/2013 | | S | 2,418 D \$ 110.05 (2) | 16,255 | D | |
| | 12/03/2013 | | M | 8,332 A \$ 47.69 | 24,587 | D | |

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| | | | | | | | | |
|--------------|------------|---|-------|---|----------------------------|--------|--|---|
| Common Stock | | | | | | | | |
| Common Stock | 12/03/2013 | S | 8,332 | D | \$ <u>110.04</u> (3) | 16,255 | | D |
| Common Stock | 12/03/2013 | M | 8,778 | A | \$ 61.71 | 25,033 | | D |
| Common Stock | 12/03/2013 | S | 1,180 | D | \$ <u>110.04</u> (4) | 23,853 | | D |
| Common Stock | 12/03/2013 | M | 3,868 | A | \$ 65.27 | 27,721 | | D |
| Common Stock | 12/03/2013 | S | 3,868 | D | \$ <u>110.05</u> (5) | 23,853 | | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (right to buy) | \$ 54.21 | 12/03/2013 | | M | 6,918 <u>(6)</u> | 02/27/2009 02/27/2018 | Common Stock | 6,918 |
| Stock Option (right to buy) | \$ 32.74 | 12/03/2013 | | M | 2,418 <u>(7)</u> | 02/26/2010 02/26/2019 | Common Stock | 2,418 |
| | \$ 47.69 | 12/03/2013 | | M | | 02/24/2011 02/24/2020 | | 8,332 |

| | | | | | | | | | |
|--------------------------------------|----------|------------|--|---|----------------------|------------|------------|-----------------|-------|
| Stock Option (right to buy) | | | | | 8,332 <u>(8)</u> | | | Common Stock | |
| Stock Option (right to buy) | \$ 61.71 | 12/03/2013 | | M | 8,778 <u>(9)</u> | 02/23/2012 | 02/23/2021 | Common Stock | 8,778 |
| Stock Option (right to buy) | \$ 65.27 | 12/03/2013 | | M | 3,868 <u>(10)</u> | 02/22/2013 | 02/22/2022 | Common Stock | 3,868 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Gianoni Michael P 10 EXCHANGE PLACE JERSEY CITY, NJ 07302 | | | EVP, Group President | |

Signatures

/s/ Thomas J. Hirsch
(attorney-in-fact)

12/05/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was executed in multiple trades at prices ranging from \$109.97 to \$110.13. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) This transaction was executed in multiple trades at prices ranging from \$109.98 to \$110.13. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (3) This transaction was executed in multiple trades at prices ranging from \$109.97 to \$110.13. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) This transaction was executed in multiple trades at prices ranging from \$109.98 to \$110.11. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (5) This transaction was executed in multiple trades at prices ranging from \$109.98 to \$110.13. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (6) The option vested in 3 equal annual installments beginning February 27, 2009 and is due to expire February 27, 2018.
- (7) The option vested in 3 equal annual installments beginning February 26, 2010 and is due to expire February 26, 2019.
- (8) The option vested in 3 equal annual installments beginning February 24, 2011 and is due to expire February 24, 2020.

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(9) The option vests in 3 equal annual installments beginning February 23, 2012 and is due to expire February 23, 2021.

(10) The option vests in 3 equal annual installments beginning February 22, 2013 and is due to expire February 22, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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