

UNITED TECHNOLOGIES CORP /DE/  
Form DEFA14A  
March 15, 2013

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**

WASHINGTON, DC 20549

**SCHEDULE 14A INFORMATION**

**Proxy Statement Pursuant to Section 14(a) of the**

**Securities Exchange Act of 1934**

**(Amendment No. )**

Filed by the Registrant

Filed by a Party other than the Registrant

Check the appropriate box:

Preliminary Proxy Statement

**Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))**

Definitive Proxy Statement

Definitive Additional Materials

Soliciting Material under §240.14a-12

**United Technologies Corporation**

(Name of Registrant as Specified in Its Charter)

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form DEFA14A

(Name of Person(s) Filing Proxy Statement if other than the Registrant)

Payment of Filing Fee (Check the appropriate box):

No fee required.

Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.

(1) Title of each class of securities to which transaction applies:

(2) Aggregate number of securities to which transaction applies:

(3) Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (set forth the amount on which the filing fee is calculated and state how it was determined):

(4) Proposed maximum aggregate value of transaction:

(5) Total fee paid:

Fee paid previously with preliminary materials.

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form DEFA14A

Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the Form or Schedule and the date of its filing.

(1) Amount Previously Paid:

(2) Form Schedule or Registration Statement No.:

(3) Filing Party:

(4) Date Filed:

Form of email message:

From:

Sent:

To:

Subject: UNITED TECHNOLOGIES CORPORATION ANNUAL MEETING

PROXYVOTE.COM

Important Notice Regarding Availability of Proxy Materials

2013 UNITED TECHNOLOGIES CORPORATION Annual Meeting of Shareowners

MEETING DATE: April 29, 2013

This e-mail represents all shares in the following account(s):

CONTROL NUMBER: 012345678901

Dear UTC Shareowner,

Thank you for participating in online access to UTC's Annual Meeting Materials. Broadridge is the independent inspector of elections and tabulator of votes for UTC's 2013 Annual Meeting of Shareowners.

The UTC Annual Report for 2012 and the Proxy Statement for the 2013 Annual Meeting of Shareowners are now available. To view the Annual Report and Proxy Statement, please click on the following links:

Proxy Statement

[HTTP://materials.proxyvote.com/913017](http://materials.proxyvote.com/913017) \*interactive\*

Annual Report

[HTTP://materials.proxyvote.com/913017](http://materials.proxyvote.com/913017)

**REMEMBER, YOUR VOTE IS IMPORTANT. PLEASE VOTE YOUR SHARES AS SOON AS YOU RECEIVE AND REVIEW YOUR PROXY MATERIALS.**

**IMPORTANT NOTICE TO PARTICIPANTS IN THE UTC EMPLOYEE SAVINGS PLAN: Broadridge must receive your voting instructions by 11:00 a.m., Eastern Daylight Time, on April 25, 2013 in order to tabulate voting instructions of Savings Plan Participants and communicate those instructions to the Savings Plan Trustee, who will vote your shares.** If your voting instructions are not received by that time, your plan shares will be voted by the trustee as described in the Proxy Statement.

You can enter your voting instructions and view the shareowner material at the following Internet site. If your browser supports secure transactions, you will be automatically directed to a secure site.

<http://www.proxyvote.com/0012345678901>

Note: If your e-mail software supports it, you can simply click on the above link.

**To access proxyvote.com, you will need your four digit PIN, which is the last four digits of your Social Security number.**

You also can use your Control Number to vote your shares by telephone. Simply call **1-800-690-6903** to access the secure and confidential telephone voting service. When voting by telephone, please keep the above Control Number available for your reference.

**FURTHER INFORMATION CONCERNING THE FOLLOWING PROPOSALS IS INCLUDED IN UTC s 2013 PROXY STATEMENT:**

**Proposal 1-** Election of Directors, Nominees:

- |                        |                           |
|------------------------|---------------------------|
| 01 Louis R. Chênevert  | 07 Marshall O. Larsen     |
| 02 John V. Faraci      | 08 Harold McGraw III      |
| 03 Jean-Pierre Garnier | 09 Richard B. Myers       |
| 04 Jamie S. Gorelick   | 10 H. Patrick Swygert     |
| 05 Edward A. Kangas    | 11 André Villeneuve       |
| 06 Ellen J. Kullman    | 12 Christine Todd Whitman |

**Proposal 2-** Appointment of the firm of PricewaterhouseCoopers LLP as Independent Auditor for 2013.

**Proposal 3-** Advisory vote to approve the compensation of our named executive officers.

**If you would like to request a ticket to attend UTC s 2013 Annual Meeting, please click on the following link:**  
**<http://www.utc.com/Investor+Relations/Shareowner+Ticket+Requests>**

**For assistance or if you would like to request paper copies of the Annual Report or Proxy Statement, please visit**  
**<http://www.utc.com/Investor+Relations/Printed+Materials> or call the UTC Corporate Secretary s Office at 1-860-728-7870.**

**Please do not send any e-mail to [ID@ProxyVote.com](mailto:ID@ProxyVote.com). Please REPLY to this e-mail with any comments or questions about proxyvote.com. (Include the original text and subject line of this message for identification purposes.)**

This message and any attachments are intended only for use of the addressee and may contain information that is privileged and confidential. If the reader of the message is not the intended recipient or an authorized representative of the intended recipient, you are hereby notified that any dissemination of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by e-mail and delete the message and any attachments from your system.

Form of email message:

From:

Sent:

To:

Subject: UNITED TECHNOLOGIES CORPORATION ANNUAL MEETING

Dear UBS Account Holder,

The following communication from United Technologies Corporation ( UTC ) is being provided to you at the request of UTC. Please be advised that the information and recommendations provided below are from UTC alone, and UBS Financial Services Inc. ( UBS ) expressly disclaims any and all responsibility and/or liability for the information and recommendations provided. UBS makes no representation concerning the accuracy or completeness of the information provided and takes no position with respect to the advisability of the recommendations made. Any questions or concerns should be addressed to UTC management.

United Technologies Corporation

Annual Meeting of Shareowners

April 29, 2013 at 2:00 p.m. EDT

Dear Fellow Shareowner,

The 2013 Annual Meeting of UTC Shareowners will be held on April 29, 2013.

**YOUR VOTE IS VERY IMPORTANT. WE URGE YOU TO SUBMIT VOTING INSTRUCTIONS FOR YOUR SHARES OF UTC COMMON STOCK HELD THROUGH UBS AS SOON AS POSSIBLE.** The Board of Directors recommends that you vote FOR the election of each of the nominees for director and FOR Proposals 2 and 3.

CONTROL NUMBER: 012345678901

You can enter your voting instructions and view the shareholder material at the Internet site below. If your browser supports secure transactions, you will automatically be directed to a secure site.

<http://www.proxyvote.com/0012345678901>

FURTHER INFORMATION CONCERNING THE FOLLOWING PROPOSALS IS INCLUDED IN UTC S 2013 PROXY STATEMENT:

Proposal 1 - Election of Directors, Nominees:

01 Louis R. Chênevert

02 John V. Faraci

03 Jean-Pierre Garnier

04 Jamie S. Gorelick

05 Edward A. Kangas

06 Ellen J. Kullman

07 Marshall O. Larsen

08 Harold McGraw III

09 Richard B. Myers

10 H. Patrick Swygert

11 André Villeneuve

12 Christine Todd Whitman

Proposal 2 - Appointment of the firm of PricewaterhouseCoopers LLP as Independent Auditor for 2013

Proposal 3 - Advisory vote to approve the compensation of our named executive officers

We urge you to carefully review the additional information concerning these proposals that is provided in your Proxy Statement.

This reminder to vote has been sent in connection with your shares of UTC Common Stock held through UBS. If you also hold shares of UTC Common Stock through another account, you should receive a separate communication from the firm administering those holdings that explains how to vote those shares. For shares held through a bank or through another broker, shares registered directly in your name or shares held through the UTC Savings Plan, you should receive communications from Broadridge Financial Solutions, Inc.

UTC is a great company whose results have been made possible by the efforts and skills of countless current and former employees. Special thanks for your own part in this.

Louis R. Chênevert

Chairman & Chief Executive Officer

This message has been forwarded to you by Broadridge Financial Services, Inc., on behalf of UBS and at the request of UTC management, in connection with your holdings of UTC Common Stock through your account with UBS.

The UTC Annual Report for 2012 and the Proxy Statement for the 2013 Annual Meeting of Shareowners are available at the following link:

[www.proxyvote.com](http://www.proxyvote.com)

Broadridge will receive and tabulate voting instructions and act as independent Inspectors of Election. VOTING IS CONFIDENTIAL, AS DESCRIBED IN UTC'S PROXY STATEMENT. TO SUBMIT YOUR VOTING INSTRUCTIONS FOR SHARES OF UTC COMMON STOCK HELD THROUGH UBS, PLEASE VISIT THE SECURE AND CONFIDENTIAL ONLINE VOTING SITE AT [WWW.PROXYVOTE.COM](http://WWW.PROXYVOTE.COM) AND FOLLOW THE ON-SCREEN INSTRUCTIONS. YOU WILL NEED YOUR CONFIDENTIAL VOTING CONTROL NUMBER PROVIDED ABOVE TO SUBMIT YOUR VOTING INSTRUCTIONS FOR YOUR SHARES OF UTC COMMON STOCK HELD THROUGH YOUR BROKER.

USING YOUR VOTING CONTROL NUMBER DETAILS PROVIDED ABOVE, YOU CAN ALSO SUBMIT YOUR CONFIDENTIAL VOTING INSTRUCTIONS BY TELEPHONE BY CALLING 1-800-474-7485.

Questions? For additional assistance regarding your account or submitting voting instructions for your shares, please visit <https://www.ubs.com/usingonlineservices> where you will find useful FAQs, phone numbers and our contact information.



Please visit our website at

<http://financialservicesinc.ubs.com/wealth/E-maildisclaimer.html> for important disclosures and information about our e-mail policies. For your protection, please do not transmit orders or instructions by e-mail or include account numbers, Social Security numbers, credit card numbers, passwords, or other personal information.

This message and any attachments are intended only for the use of the addressee and may contain information that is privileged and confidential. If the reader of the message is not the intended recipient or an authorized representative of the intended recipient, you are hereby notified that any dissemination of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by e-mail and delete the message and any attachments from your system.